

07 CV 2762

MARK K. SCHONFELD (MS-2798)
REGIONAL DIRECTOR
Attorney for Plaintiff
SECURITIES AND EXCHANGE COMMISSION
Northeast Regional Office
3 World Financial Center, Suite 400
New York, New York 10281-1022
(212) 336-1020

JUDGE BAER

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-against-

ALEXIS AMPUDIA,
a/k/a ALEXIS GEANCARLOS AMPUDIA NAVALO,
a/k/a ALEXIS EMIAS, a/k/a ALEXIS ROJAS,

Defendant.

07 CV ____ ()

**DECLARATION OF DOREEN KRAUSE IN SUPPORT OF
PLAINTIFF'S EMERGENCY *EX PARTE* APPLICATION FOR A TEMPORARY
RESTRAINING ORDER, ORDER TO SHOW CAUSE, PRELIMINARY INJUNCTION,
ASSET FREEZE AND OTHER RELIEF**

I, DOREEN KRAUSE, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am employed as a Branch Chief in the Division of Enforcement at the United States Securities and Exchange Commission ("Commission"), Northeast Regional Office, in New York, New York. I have been employed by the Commission since August 1995. I have a B.A. in Accounting and an M.B.A. in Finance.

2. I make this declaration in support of the Commission's Emergency *Ex Parte* Application for a Temporary Restraining Order, Order to Show Cause, Preliminary Injunction, Asset Freeze and Other Relief involving Alexis Ampudia, also known as Alexis Geancarlos Ampudia Navalo, Alexis Emias and Alexis Rojas ("Ampudia").
3. My duties as a Branch Chief include analyzing brokerage records, bank records, business records, market data and research regarding securities trading and related individuals, all in relation to Commission investigations to enforce the federal securities laws. During my tenure with the Commission, I have assisted the Division of Enforcement Staff (the "Staff") on numerous enforcement actions, including market manipulation investigations.
4. During late December 2006, I was assigned to assist in the investigation that led to this action.
5. I make this declaration based upon information and belief. The sources of my information and the bases of my belief are documents obtained by and/or reviewed by me or other members of the Staff under my supervision during the investigation that led to the present action, various analyses undertaken by me or other members of the Staff under my supervision, and interviews conducted by myself and other members of the Staff. The documents include detailed trading records, market data, account documents, sworn declarations, and other relevant data. Original or true and correct duplicates of these documents are annexed as exhibits to this declaration. Some of the exhibits contain redactions to protect the confidentiality of personal information. To the extent that there are assertions herein concerning dates and numbers, they are approximate, based upon information and evidence gathered to date. Because the Commission submits this Declaration for the purpose of supporting this Application, I have not set forth each and every fact that I know about concerning the investigation that led to this

action.

6. Attached hereto as Exhibit 1 are true and accurate copies of online brokerage account opening documents, account statements and other records the Staff obtained from Banc of America Investment Services ("BAI"), TD Ameritrade, Inc. ("TD Ameritrade"), Scottrade, Inc. ("Scottrade"), Wells Fargo Investments, LLC ("Wells Fargo"), Penson Financial Services, Inc. ("Penson") and Track Data Securities Corp. ("Track Data") (collectively, the "Brokerage Records").
7. Attached hereto as Exhibit 2 is a true and accurate copy of a declaration from Lisa Amodor, Senior Vice President, Compliance, for Wells Fargo, detailing the unauthorized opening, funding and trading of a brokerage account at Wells Fargo ("Amodor Decl.").
8. Attached hereto as Exhibit 3 is a true and accurate copy of a declaration from Cynthia Temple, Senior Vice President, Business Executive - Operations, for BAI, detailing the unauthorized opening, funding and trading of brokerage accounts at BAI ("Temple Decl.").
9. Attached hereto as Exhibit 4 is a true and accurate copy of a declaration from James M. Heeney, Managing Director, Compliance Operations, for TD Ameritrade, detailing the unauthorized opening, funding and trading of brokerage accounts at TD Ameritrade ("Heeney Decl.").
10. Attached hereto as Exhibit 5 is a true and accurate copy of a declaration from Ellis Hough, Supervisory Risk Management Analyst, Scottrade Inc., detailing the unauthorized opening, funding and trading of an account at Scottrade ("Hough Decl.").
11. Attached hereto as Exhibit 6 is a true and accurate copy of a declaration of Peter Marshall, detailing the unauthorized opening, funding and trading of a brokerage account at BAI in his name ("Marshall Decl.").

12. Attached hereto as Exhibit 7 is a true and accurate copy of a declaration of Beth A.

Rothstein, detailing the unauthorized opening, funding and trading of brokerage accounts at TD Ameritrade and Scottrade in her name ("Rothstein Decl.").

13. Attached hereto as Exhibit 8 is a true and accurate copy of a Time Warner Inc. ("Time Warner") record, identifying the Internet Protocol address ("IP Address") of a computer located at Ampudia's home address. That IP Address is 24.90.135.144.

14. Attached hereto as Exhibit 9 is a true and accurate copy of an AutoTrackXP® Report, which the Staff accessed and printed through the AutoTrack® database, showing home addresses for Alexis Ampudia, having the same Social Security Number as the Alexis Ampudia described in the Brokerage Records.

15. Attached hereto as Exhibit 10 are INS records showing Alexis Ampudia's citizenship as Panamanian.

16. Since late December 2006, the Staff has been investigating the unauthorized opening of brokerage accounts in the names of certain identity theft Victims (the "Victims") and the use of those accounts to commit securities fraud. The *modus operandi* for this fraud is usually the same. First, the perpetrator misappropriates a Victim's identity by gaining access to certain of the Victim's personal information, such as the Victim's Social Security Number and date of birth. Second, the perpetrator opens an online brokerage account in the Victim's name without the Victim's knowledge or consent. Third, the perpetrator poses as the Victim and causes the Victim's bank to transfer the Victim's funds to the brokerage account fraudulently opened in the Victim's name. Fourth, the perpetrator purchases thinly-traded securities (the securities chosen by the perpetrator usually have little or no trading volume), at market prices, using his own online brokerage account. Shortly thereafter, the perpetrator, using the Victim's brokerage

account, enters a series of large purchase orders for the targeted securities. The large purchase orders for the thinly-traded securities create the appearance of trading activity, which causes an increase (or "pumps") the price of the targeted stocks. Finally, immediately or shortly thereafter, the perpetrator capitalizes on the artificially inflated share prices of the targeted securities when he sells (or "dumps") the shares in his own account.

17. This identity theft/market manipulation scheme is a combination of a traditional identity theft scam combined with a "pump-and-dump" market manipulation scheme. This type of scheme is a growing problem that has resulted in large losses to the market and brokerage industry.

18. The Staff was first alerted to this fraudulent scheme in late December 2006, when the New York Police Department informed the Staff of evidence that online brokerage accounts in the names of certain Victims ("Victim's Brokerage Accounts") had been opened, which were then used to trade thinly-traded securities in 6 different companies ("the targeted securities") as an apparent vehicle to commit securities fraud. The Staff promptly initiated an inquiry.

19. In an effort to identify the potential perpetrators of the scheme, the Staff ran electronic Equity Cleared Reports for the targeted securities and trading dates in question. Equity Cleared Reports reflect, for a given security and a given date range, the identities of the clearing brokers whose customers and/or introducing brokers engage in trading activity, including the number of buy and sell items, the buy and sell trading volumes, and the buy and sell aggregate dollar amounts.

20. Based on the information contained in the Equity Cleared Reports, the Staff "Blue Sheeted" the targeted securities for the trading dates in question. Blue Sheetting refers to an electronic program that allows broker-dealer firms to scan their account records and transmit to

the Commission the identities of individuals, entities and firms that traded a stock on a particular day. Using this information, the Commission Staff can compile a detailed report which, among other things, identifies the net proceeds realized by each account trading the stock. The Staff also obtained the "Audit Trail" for each of the targeted securities for the trading dates in question. An Audit Trail gives the chronological sequence of trading activity in a given security.

21. A review of the Blue Sheet records for the dates on which the reporting broker-dealers experienced unusually high trading volume in 3 of the targeted securities revealed that on each of the days on which the Victims' Brokerage Accounts traded, an account in the name of Alexis Ampudia ("Ampudia"), held at Track Data also bought and sold shares in the targeted securities and realized trading profits. In the remaining 3 targeted securities, an account in the name of an individual believed to be associated with Ampudia traded on the day on which the Victim's Brokerage Account traded.

22. Track Data is a registered broker-dealer located in Brooklyn, New York. Track Data's trades are cleared through Penson. Based in part on the Staff's review of the Blue Sheet Reports, and pursuant to the Staff's authority under Section 17(a) of the Securities Exchange Act of 1934 ("Exchange Act"), the Staff sent written requests to Penson and Track Data requesting, among other things, account opening documents for Ampudia's accounts, monthly account statements for Ampudia's accounts, trade blotters indicating specific times that trades were placed in Ampudia's accounts and incoming and outgoing wire information for Ampudia's accounts.

23. A review of the Brokerage Records records reveal that Ampudia resides in Brooklyn, New York.

24. The Staff analyzed Ampudia's account statements and trade blotter information provided by Penson, which confirmed that Ampudia's accounts traded in some of the same securities that

were traded in the Victims' Brokerage Accounts on or around the same dates. In each instance, Ampudia reaped a profit from the suspicious trading.

25. Pursuant to § 17(a) of the Exchange Act, the Staff sent written requests for information to certain broker-dealers housing the Victims' Brokerage Accounts, requesting, among other information, account opening documents, monthly account statements, and records of electronic logins to these accounts, including the IP addresses used to log in and out of the Victims' Brokerage Accounts.

26. A review of the Brokerage Records and Staff interviews with representatives of certain of the broker-dealers reveal that the opening and funding of the Victims' Brokerage Accounts were not authorized and that the trade orders placed in the Victims' Brokerage Accounts were not placed or authorized by the individuals in whose names the accounts are held. Based on these conversations, the Staff learned that the broker-dealers analyzed a combination of factors in reaching the conclusion that the Victims' Brokerage Accounts were fraudulently opened, funded and traded. This included reviewing the IP addresses used to access the Victims' Brokerage Accounts and contacting the individuals in whose names the accounts are held. The Brokerage Records further show that the Victims' Brokerage Accounts were accessed on multiple occasions from a computer having an IP Address of 24.90.135.144.

27. I have reviewed records obtained from Time Warner Cable showing that the IP Address 24.90.135.144 is linked to an address that is also listed as belonging to Ampudia, in Brooklyn, New York. That same IP Address was used to access Ampudia's own Track Data brokerage account.

28. After reviewing the investigative files, I have analyzed the following suspicious trading in Ampudia's brokerage accounts in five publicly-traded companies:

Wendt-Bristol Health Services Corp.

29. Wendt-Bristol Health Services Corp. is a corporation located in Columbus, Ohio. Its common stock is quoted on the Pink Sheets¹ under the symbol WMDB. In the 30 trading days before November 17, 2006, its average daily trading volume was 966 shares, and its closing price on November 14, 2006 (the last date on which trading occurred in WMDB stock prior to November 17, 2006), was \$0.002 per share.

30. At 9:38 a.m. on November 17, 2006, Ampudia purchased 108,000 shares of WMDB at \$0.005 per share, through his own brokerage account at Track Data.

31. After Ampudia had completed his own purchases of WMDB stock, between 9:50 a.m. and 10:04 a.m., Ampudia purchased a total of 257,000 shares of WMDB stock in one of the Victim's Brokerage Accounts at TD Ameritrade, at prices ranging from \$0.015 to \$0.05 per share for a total of \$12,225. The IP Logs of the Victim's Brokerage Account show that the account was accessed on that day by a computer linked to Ampudia's home address.

32. While Ampudia was purchasing WMDB stock in the Victim's Brokerage Account, at 10:00 a.m., he sold all 108,000 shares of WMDB stock at \$0.05 per share, through his Track Data account.

33. The price of WMDB reached an intra-day high of \$0.06 per share. By the end of the day, WMDB's price had fallen to close at \$0.03 per share. Total volume for the day was 598,600 shares. Ampudia repeated this conduct in WMDB on November 22, 2006 and on November 24, 2006 (using a different Victim's Brokerage Account at TD Ameritrade).

34. As a result of Ampudia's manipulative trading in WMDB securities, he obtained

¹ The "Pink Sheets" is an electronic quotation system that displays quotes from broker-dealers for many of the over-the-counter (OTC) securities. Market Makers and other brokers who buy and sell OTC securities, can use the Pink Sheets to publish their bid and ask quotation prices.

approximately \$31,947.09 in illicit profits.

Atomic Burrito Inc.

35. Atomic Burrito Inc. is a corporation located in Oklahoma City, Oklahoma. Its common stock is quoted on the Pink Sheets under the symbol ATOM. In the 30 trading days before November 21, 2006, its average daily trading volume was 1,458 shares, and its closing price on November 20, 2006, was \$0.001 per share.

36. On November 21, 2006, between 9:42 a.m. and 9:50 a.m., Ampudia purchased 115,000 shares of ATOM, at prices ranging from \$0.01 and \$0.09 per share, through his Track Data brokerage account.

37. After Ampudia had completed his purchase of ATOM stock, between 9:51 a.m. and 10:31 a.m., Ampudia purchased a total of 380,000 shares of ATOM stock in one of the Victim's Brokerage Accounts at TD Ameritrade, at prices ranging from \$0.09 to \$0.25 per share for a total of \$75,302. The IP Logs show that the account was accessed that day by a computer linked to Ampudia's home address.

38. While Ampudia was purchasing ATOM stock in the Victim's Brokerage Account, between 9:57 a.m. and 10:03 a.m., he sold all 115,000 shares of his ATOM stock at prices between \$0.12 and \$0.25 per share, through his Track Data account.

39. The price of ATOM reached an intra-day high of \$0.25 per share. By the end of the day, ATOM's price had fallen to close at \$0.02 per share. Total volume for the day was 789,700 shares.

40. As a result of Ampudia's manipulative trading in ATOM stock, he obtained approximately \$18,450 in illicit profits.

Carematrix Corp.

41. Carematrix Corp. is a corporation located in Newton, Massachusetts. Its common stock is quoted on the Pink Sheets under the symbol CMDCQ. In the 30 trading days before November 21, 2006, its average daily trading volume was 56 shares, and its closing price on November 20, 2006, was \$0.002 per share.

42. On November 21, 2006, between 10:37 a.m. and 10:38 a.m., Ampudia purchased 18,450 shares of CMDCQ through his Track Data brokerage account at \$0.04 per share.

43. After Ampudia had completed his purchase of CMDCQ stock, between 10:49 a.m. and 10:56 a.m., Ampudia purchased a total of 85,800 shares of CMDCQ stock in one of the Victim's Brokerage Accounts at TD Ameritrade, at prices ranging from \$0.04 to \$0.1 per share for a total of \$7,442. The IP Logs show that the account was accessed that day by a computer linked to Ampudia's home address.

44. While Ampudia was purchasing CMDCQ stock in the Victim's Brokerage Account, at 10:53 a.m., he sold all 18,450 shares of CMDCQ at \$0.10 per share through his own account at Track Data.

45. The price of CMDCQ reached an intra-day high of \$0.10 per share. By the end of the day, CMDCQ price had fallen to close at \$0.09 per share. Total trading volume for the day was 215,452 shares.

46. As a result of Ampudia's manipulative trading in CMDCQ stock, he obtained approximately \$1,107 in illicit profits.

Additional Issuers

47. In addition to the three aforementioned securities, Ampudia used Victims' Brokerage Accounts to manipulate the stock of other securities, as recently as March 21, 2007.

48. Locateplus Holdings Corp. is a corporation located in Newton, Massachusetts. Its warrants² are quoted on the OTC Bulletin Board under the symbol LPHCW.

49. In November 2006, Ampudia purchased LPHCW through a Victim's Brokerage Account at TD Ameritrade. The IP Logs show that the account was accessed by a computer linked to Ampudia's home address.

50. During this time, Ampudia bought and sold LPHCW through his own account at Track Data. As a result of Ampudia's manipulative trading in LPHCW, he obtained approximately \$11,881.75 in illicit profits.

51. Micro-Integration Corp. is a corporation located in Frostburg, Maryland. Its common stock is quoted on the Pink Sheets under the symbol MINT.

52. In February 2007, Ampudia purchased shares of MINT through a Victim's Brokerage Account at Wells Fargo Investments, LLC. The IP Logs show that the account was accessed by a computer also used by Ampudia to trade in his own account.

53. During this time, Ampudia bought and sold MINT through his own account at Track Data. As a result of Ampudia's manipulative trading in MINT, he obtained approximately \$76,765 in illicit profits.

54. Although the information the Staff has been able to obtain to date has been limited to intrusions involving certain securities, the broker-dealer and trading records reveal other suspicious trading by Ampudia's accounts that appear to fit the pattern of trading discussed herein with respect to the five manipulated securities detailed above. In addition, the Staff has learned from TD Ameritrade that, as recently as March 21, 2007, Ampudia was conducting suspicious trading in an account he holds at TD Ameritrade. TD Ameritrade reported to the Staff

² A "warrant" is a security that entitles the holder to buy stock of the company that issued it at a

that Ampudia had purchased two thinly-traded securities having ticker symbols GPAX and FULO and sold those securities within a relatively short period of time at a relatively large profit. The Staff has not yet analyzed the trading with respect to these additional securities; nevertheless, it appears likely that the Staff will uncover evidence of additional actual or attempted market manipulations which could further implicate Ampudia and result in even greater ill-gotten profits.

55. A review of the documents reveals a pattern of identity theft and market manipulation pattern in certain securities, consistent trading profits realized by Ampudia in those securities, and links between unauthorized trading and the computer linked to Ampudia's home address. This compels the conclusion that Ampudia is deliberately perpetrating this fraudulent trading scheme.

56. The evidence discussed herein and appended hereto shows that, since at least November 2006, Ampudia has misappropriated the identities of at least eight Victims and opened at least nine online brokerage accounts in the names of the Victims without their knowledge or consent. Ampudia then transferred at least a total of approximately \$1,038,250 from the Victims' bank accounts to fund the Victims' Brokerage Accounts. Ampudia has then conducted market manipulation schemes in the securities of at least five issuers and has profited by at least approximately \$140,000 by his conduct.

57. In addition, the broker-dealers whose customer accounts were compromised suffered losses in excess of \$269,704. These losses represent the cost to the broker-dealers of unwittingly providing the equity for Ampudia to place unauthorized trades in the fraudulently opened Victims' Brokerage Accounts.

specified price, which is higher than the stock price at the time of issue.

58. From the Brokerage Records and other investigative files, I have identified the following brokerage accounts held in Alexis Ampudia's name:

Brokerage House	Account Number
TD Ameritrade	785-713771
TD Ameritrade	782-414221
TD Ameritrade	784-068011
Pension	074702913
Fidelity	X-08-543748
Scottrade	13042904
Scottrade	13042905

59. From the Brokerage Records and other investigative files, I have identified the following bank accounts held in Alexis Ampudia's name:

Bank	Account Number
Commerce	7917942109
J. P. Morgan Chase	907418196065
J. P. Morgan Chase	907419186065
Washington Mutual	3124466447
Hudson United Bank	3982516350

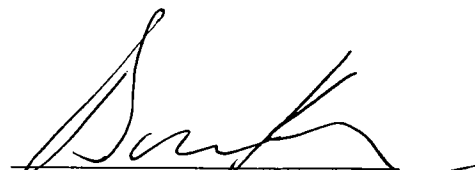
Wire transfer information found in the Brokerage Records show that, from November 2006 to the present, transfers of substantial funds were made from funds in Ampudia's brokerage accounts to certain of these bank accounts. As recently as March 27, 2007, Ampudia has continued to transfer funds from his brokerage accounts to certain of these bank accounts.

60. Although Ampudia resides in Brooklyn, New York, he is a citizen of Panama. In my experience, perpetrators of market manipulations are likely to make efforts to dissipate or conceal the proceeds of their trading, often attempting to move assets offshore. This likelihood

is greater when residents have offshore ties.

I make this declaration pursuant to 29 U.S.C. §1746 and declare under penalty of perjury that the foregoing is true and correct to the best of my personal knowledge, information and belief.

Executed: New York, New York
Date: March 29, 2007



Doreen Krause

EXHIBIT 1

Ronald Frankel

BEGIN FORM - Terms and Conditions Effective Friday, March 16, 2007 at 10:15:31

**AMERITRADE****For Internal Use Only**

Account Number:	785-934420
Type:	Individual
Registration:	Cash & Margin
Branch ID:	NYC 92392
Referrer:	direct
Ad Code:	WEB
Offer Code:	170

Mailing Address

Standard Delivery:
Overnight:
Fax:

PO Box 2760, Omaha, NE 68103-2760
1005 N. Ameritrade Place, Bellevue, NE 68005
816-243-3769

New Account Information

Type:
Registration:
Receive Corp. Communications:
E-mail Address:
Account Statement:
Trade Confirmation:
Funding:
Sweep Vehicle:

Individual
Cash & Margin
Yes
FRANRONALD@YAHOO.COM
Monthly Electronic
Electronic
Express Funding
TD AMERITRADE Cash (Protected by SIPC)

Account Owner

Name:
Street Address:

RONALD FRANKEL
[REDACTED]
NEW YORK, NY 10022-4108
UNITED STATES OF AMERICA
Single

Marital Status:
Home Phone:
Social Security Number:
Date of Birth:
Mother's Maiden Name:
Citizenship:
Employment Status:
Corporate Affiliation:
NASD Affiliation:

[REDACTED]
[REDACTED]
[REDACTED]
US Citizen/Permanent Resident
Retired
No
No

Financial Questionnaire

Income:
Networth:
Liquid Networth:
Number of Dependents:

\$50,000 - 99,999
\$500,000+
\$500,000+
1

ACH Information

Deposit Amount:
Bank Account Name:
Bank Name:
Bank Nickname:
Bank City:

95750.00
RONALD FRANKEL
CITI BANK
NEW YORK

New Account Information

Page 2 of 3

Bank State: NY
 ABA Routing Number: [REDACTED]
 Bank Account Number: [REDACTED] 4563
 Bank Account Type: S
 EFT Tracking Number: 78593442013142711
 EFT Transaction Date: 11/13/2006 14:29:04

CASH & MARGIN AGREEMENT

Under penalties of perjury, I certify (1) that the Social Security Number shown on this form is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) I am a U.S. person (including a resident alien); provided, however, if I am a non-resident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit a W-8BEN Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out (2) in this certification.

I have received and read the terms and conditions, which are incorporated by this reference, that will govern my account. I agree to be bound by these terms and conditions, as amended from time to time, and request an account to be opened in the names(s) set forth below.

The Terms and Conditions applicable to this Brokerage Account Agreement contain pre-dispute arbitration clauses. I agree to be bound by the terms of the agreement including the arbitration agreement located at paragraphs 92 - 94 of the Terms and Conditions.

All securities, dividends and proceeds will be held at Ameritrade Clearing, Division of Ameritrade, Inc. unless otherwise instructed.

I understand that non-deposit investments purchased through TD AMERITRADE are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

I understand my available cash balance will earn interest in my brokerage account as TD AMERITRADE Cash (Covered by SIPC) based on current rates and policies, which may be changed without prior notice.

Important Information about procedures for opening a new account:

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

I understand that TD AMERITRADE may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit-reporting agencies. Upon my request, TD AMERITRADE shall inform me of each consumer or credit-reporting agency from which they have obtained and/or reported my consumer or credit report. TD AMERITRADE agrees to notify the consumer or credit-reporting agencies if I dispute the completeness or accuracy of the information furnished by TD AMERITRADE. By my signature below, I authorize TD AMERITRADE to obtain consumer or credit reports for the name(s) set forth below.

Account Owner(s) must sign.

 Date

X

 RONALD FRANKEL
 Account Number: 785-934420

For Ameritrade Clearing use only

Margin account approval (initial & date): _____

New Accounts Opened By (initial & date): _____

New Account Information

Page 3 of 3

Account Number: 785-934420

END FORM - Terms and Conditions Effective Friday, March 16, 2007 at 10:15:31

General Inquiry

Account History

Account Detail

Account Detail

785-934420: FRANKEL RONALD

Margin: Yes

Option: None

Account Closed - BKR ALRT - KEEP CLSD (12/13/2006)

Restriction
Summary

RESTR - FD3 - FDIC ACCT OPTED OUT
 RESTR - PR - PREMIER ACCOUNT
 RESTR - GK - GAINKEEPER ACCESS
 RESTR - AF - ACCOUNT FREEZE
 NOTRAD -
 NOSOUT -
 RESTR - BE - BAD STATEMENTS EMAIL ADDRESS
 RESTR - R3 - OTHER THAN NSF
 RESTR - BC - BOUNCED CHECK
 RESTR - U - UNDESIRABLE ACCOUNT
 CLOSED -

Demographics

Short Name	FRANKEL RONALD	Account Type	C CUSTOMER
Mailing Address	RONALD FRANKEL [REDACTED] [REDACTED] NEW YORK NY 10022-4108	Owner Type	R INDIVIDUAL
		Sub Owner Type	
		Tax Identification	[REDACTED]
		Nickname	
		Email	E-MAIL
		Representative	FF TD AMERITRADE
Physical Address		Branch ID	NYC92392
		Commission Schedule	TDA
Primary Phone	[REDACTED]	Withholding Instructions	SHHH
Secondary Phone	[REDACTED]	Date Opened	11/13/2006
Other Phone		Date Changed	12/14/2006
Fax Phone		Date Active	01/02/2007
		Date Deceased	
		W-8 Expiration Date	
		W-8 Signature Date	
		ACH Bank	[REDACTED]
		ACH Acct/Type	[REDACTED] 4563

Parties

No Parties on File.

General Inquiry

Account History

Account Detail

History

785-934420: FRANKEL RONALD				Margin: Yes		Option: None			
Account Closed - BKR ALERT - KEEP CLSD (12/13/2006)									
Default Display: Transactions more recent than 06/18/2005 To view older dates set range in Recording Date Display Options at the bottom of the page.									
Transaction Number	Acct Type	Trans. Type	Cusip Number	Description	Recording Date	Effective Date	Qty	Price	Amount
2535671186	2	EFNA		EF RETURN - R10 - CUSTOMER ADVISES NOT AUTHORIZED	12/13/2006	12/13/2006			95,750.00
2535660030	2	EFFI		ACH IN-RETURN- Customer Advises Not Authorized	12/12/2006	12/12/2006			100,000.00
Display Options									
Account Types: <input checked="" type="checkbox"/> All Types <input type="checkbox"/> Cash <input type="checkbox"/> Margin <input type="checkbox"/> Short <input type="checkbox"/> Income <input type="checkbox"/> Withholding		Transaction Types: <input checked="" type="checkbox"/> ALL <input type="checkbox"/> ACH DISBURSEMENTS <input type="checkbox"/> ACH RECEIPT <input type="checkbox"/> CASH DISBURSEMENT <input type="checkbox"/> CASH RECEIPT <input type="checkbox"/> DIVIDEND OR INTEREST		Subtransaction Types: 4 letter subtype: <input type="text"/> Note: Subtran type overrides Tran type. To include all sub types, delete subtype, click on Tran type and submit. Display Only overrides Include and Subtran, unless the latter is TRTA			Include: <input type="checkbox"/> Seg? <input type="checkbox"/> Memo? <input type="checkbox"/> TradeAways? Display Only: <input type="checkbox"/> Prime Broker?		
Effective Date Range only returns transactions since 06/18/2005.									
Recording Date Range can return transactions older than 06/18/2005.									
Effective Date Range (mm/dd/yyyy):					to		CUSIP:		
Recording Date Range (mm/dd/yyyy):					12/01/2006		to		
To Email results (instead of display) enter Email Address:				*advancedclearing.com, ameritrade.com, ameritradeclearing.com, tdameritrade.com					
Set/Send Display Options									

Note: Asterisk on Transaction Type designates Prime Broker trade transactions

785-934420: FRANKEL RONALD		Margin: Yes	
Account Closed - BKR ALRT - KEEP CLSD (12/13/2006)			
ACH Request Information: 1 (9587802)			
Active Status:	Active	Created On:	11/29/2006
Request Type:	ACH In		11:02:44
Request Date:	11/29/2006	Created By:	CLIENT/UNIFIED
Amount:	\$100,000.00	Modified On:	
Frequency:	One-Time	Modified By:	
Email Reminder:	No -	Expired On:	
		Expired By:	
		Last Process Date:	11/29/2006
		Next Process Date:	11/29/2006
ACH Bank Information			
Bank Name:	citi bank	Bank Active:	Yes
NickName:	bank	T&C Signed:	new york, NY
Account Type:		Created On:	11/24/2006 10:56:49
Account #:	4563	Created By:	CLIENT/UNIFIED
Routing #:		Expired On:	
Name On Acct:	ronald frankel	Expired By:	
City, State:	new york, NY		
Process History			
ACH Date	Amount	Transaction	Processing Information
11/29/2006	\$100,000.00	2513121768	Settle on 11/30/2006
Close Window		Print Cash Disbursement	Show W-4P

Our records indicate that an e-mail notification was returned as undeliverable. Please update or verify the e-mail address.

Account number: 785934420

[Change Courtesy Fill Contacts](#)

Beneficiary Owner: FRANKEL RONALD
(Select Beneficiary Owner for Update)

Change Email Addresses:

Primary Email Address: FRANKRONALD@YAHOO.COM

Secondary Email Address:

Subscription Changes:

Subscription	Subscription	Primary Email
<input checked="" type="checkbox"/>	Statements	Primary Email
<input checked="" type="checkbox"/>	Legal Trade Communications	Primary Email
<input checked="" type="checkbox"/>	Customer News & Benefits (Marketing)	Primary Email

Customer Contact Information

This application requires javascript in browser be turned on

Fri Jan 05 2007 11:39:26 AM EST

Account number: 785979281

[Change Courtesy Fill Contacts](#)

Beneficiary Owner: MELINO ALBERT
(Select Beneficiary Owner for Update)

Change Email Addresses:

Primary Email Address: FREDSTAR1@YAHOO.COM

Secondary Email Address:

Subscription Changes:

Subscription	Subscription	Primary Email
<input checked="" type="checkbox"/>	Statements	Primary Email
<input checked="" type="checkbox"/>	Legal Trade Communications	Primary Email
<input checked="" type="checkbox"/>	Customer News & Benefits (Marketing)	Primary Email

NEW YORK, NY 10022-4108

STATEMENT PERIOD	PAGE	TD AMERITRADE
110/28/06-11/24/06	1-2	

ACCOUNT SUMMARY AS OF 11/24/06
CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

	Current Month Value	% of Long Value
Margin Account (2) Balance	\$95,850	
*Net Account Value	\$95,850	
*Total Equity	\$95,850	
*Excludes unpriced securities (NP)		

Account Type	Opening Balance	Closing Balance
Margin Account (2)	\$0.00	\$95,850.00
Net Cash Balance	\$0.00	\$95,850.00
Total Money Balance	\$0.00	\$95,850.00

ACCOUNT ACTIVITY					
Trade Date	Settle Date	Description	Quantity	Price/Rate	Credit
11/13/06	11/14/06	OPENING BAL CASH ACCOUNT			\$0.00
11/14/06	11/14/06	Electronic Funding JOURNAL			95,750.00
		Ef 11/14 Move Cash to Margin CLOSING BAL CASH ACCOUNT			95,750.00
11/13/06	11/13/06	OPENING BAL MARGIN ACCOUNT JOURNAL			\$0.00
11/14/06	11/14/06	Cash Award JOURNAL			\$0.00
		Ef 11/14 Move Cash to Margin CLOSING BAL MARGIN ACCOUNT			100.00
					95,750.00
		TOTAL ACCOUNT BALANCE			\$95,850.00
					\$95,850.00

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
E785-934420	RONALD FRANKEL	10/28/06-11/24/06	2-2
			TD AMERITRADE

Trades Pending Settlement

Description	Type	Trade Date	Settle Date	Quantity	Market Price	Amount Charged	Amount Credited
BUY Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/24/06	11/29/06	250000	.120	30,000.00	
BUY Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/24/06	11/29/06	50000	.120	6,000.00	
BUY Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/24/06	11/29/06	20000	.120	2,400.00	
BUY Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/24/06	11/29/06	50000	.120	6,000.00	

TD Ameritrade Cash Interest Credited			
Beg. Date	Credit Balance	Nbr./Days	Int. Rate
11/14/06	\$95,850.00	11	1.0500
			\$30.33
TOTAL INTEREST TO BE CREDITED			\$30.33

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Account registration:

RONALD FRANKEL

NEW YORK NY 10022-4128

Announcements:

800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

SHARE THE POWERFUL TOOLS, VALUE AND
SERVICE OF TD AMERITRADE WITH YOUR
FRIENDS AND EARN GREAT REWARDS. TO
REFER A FRIEND, LOG ON TO YOUR
ACCOUNT AND SELECT "PROMOTIONS &
OFFERS" FROM THE ACCOUNT MENU.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$ -	\$95,850.00	\$ (95,850.00)	(100.0%)	\$363.96	-	-
MMDA	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-
Short balance	-	-	-	-	-	-	-
Stocks	-	-	-	-	-	-	-
Short stocks	-	-	-	-	-	-	-
Bonds	-	-	-	-	-	-	-
Options	-	-	-	-	-	-	-
Short options	-	-	-	-	-	-	-
Mutual funds	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total	\$0.00	\$95,850.00	\$ (95,850.00)	(100.0%)	\$363.96	0.0%	0.0%
Margin equity	100.0%						

Cash Activity Summary

	Current	YTD
Opening balance	\$95,850.00	\$0.00
Securities purchased	-	-
Securities sold	-	-
Funds deposited	(95,750.00)	95,850.00
Funds disbursed	-	(95,750.00)
Income	30.33	30.33
Expense	(50.00)	(50.00)
Other	(80.33)	(80.33)
Closing balance	\$0.00	\$0.00

Income & Expense Summary

	Income	Dividends	Interest	Other	Expense	Interest	Fees	Other	Net
	\$ -	30.33	-	-	-	(50.00)	-	-	\$ (19.67)
Income	\$ -	30.33	-	-	-	(50.00)	-	-	\$ (19.67)
Non-taxable	\$ -	-	-	-	-	-	-	-	\$0.00
YTD	\$ -	30.33	-	-	-	(50.00)	-	-	\$ (19.67)

Performance Summary

	YTD
Cost basis as of - 12/29/06	\$0.00
Realized gains*	-
Realized losses*	-
Unrealized gains	-
Unrealized losses	-
Funds deposited/(disbursed)	100.00
Income/(expense)	(19.67)
Securities received/(delivered)	0.00

***Coming in 2006**

amef03.cmp.20070105.GW3RD0.cds 0008641 0047980

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Account Activity									
Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening balance									
12/18/06	12/18/06	Cash	Journal	Flatten Account					\$95,850.00
11/27/06	11/27/06	Margin	Div/int	Interest Credit			\$0.00	\$(80.33)	95,769.67
				Payable: 11/24/2006			0.00	30.33	95,800.00
11/29/06	11/30/06	Margin		Ach IN			0.00		
12/12/06	12/12/06	Margin		Ach IN-Return-Customer Advises			0.00	100,000.00	195,800.00
				Not Authorized			0.00	(100,000.00)	95,800.00
12/12/06	12/12/06	Margin	Journal	Ach Return Charge (Customer Advises Not Authorized)			0.00	(25.00)	95,775.00
12/13/06	12/13/06	Margin		Ef Return - R10 - Customer Advises Not Authorized			0.00	(95,750.00)	25.00
12/13/06	12/13/06	Margin	Journal	Ach Return Charge (Customer Advises Not Authorized)			0.00	(25.00)	0.00
Closing balance									
									\$0.00

Begin Date	Margin Balance	Credit Balance	Number of Days	TD AMERITRADE Cash Interest Credit/Expense		
				Interest Rate	Interest Debited	Interest Credited
11/25/06	\$	\$95,850.00	2	1.0500	\$	\$5.51
11/27/06		95,880.33	2	1.0500		5.52
11/29/06		51,480.33	1	1.0500		1.48
11/30/06		100,164.35	11	2.1500		64.90
12/11/06		195,880.33	1	2.1500		11.54
12/12/06		95,855.33	1	1.0500		2.76
Total interest income/(expense)					\$0.00	\$91.71

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Important Information

ABSENT SPECIFIC CUSTOMER DIRECTION, STOCK AND OPTION ORDERS ARE ROUTED VIA AN ELECTRONIC MATRIX TO A LISTED, NASDAQ, OR OVER-THE-COUNTER AGENT, OR OPTIONS EXCHANGE, DEPENDING UPON THE SECURITY BEING TRADED. ADVANCED CLEARING OR THE INTRODUCING BROKER MAY RECEIVE CASH PAYMENT FOR ROUTING SUCH CUSTOMER STOCK OR OPTION ORDERS FOR EXECUTION TO CERTAIN AGENTS ON SPECIFIC LISTED, NASDAQ, OVER-THE-COUNTER, OR OPTION SECURITIES. BECAUSE THESE AGENTS ARE MARKET MAKERS, THEY CARRY INVENTORY IN THEIR SPECIFIC SECURITIES, ALLOWING FOR PRICE IMPROVEMENT TO THE RETAIL CUSTOMER BY TRADING THROUGH THEIR INVENTORY. ACCORDINGLY, FOR STOCKS, THE CUSTOMER'S ORDER IS ALWAYS EXECUTED AT THE "BEST OFFER", "BEST BID", OR AT A PRICE SUPERIOR TO EITHER, FOR OPTIONS, THE CUSTOMER'S ORDER IS ALWAYS EXECUTED WITHIN THE PREVAILING QUOTE AT ONE OF THE OPTIONS EXCHANGES.

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

THE MOST RECENT STATEMENT OF FINANCIAL CONDITION FOR AMERITRADE, INC. MAY BE OBTAINED AT NO COST, VIA THE INTERNET AT [HTTP://WWW.TDAMERITRADE.COM/FINANCIALSTATEMENT.HTML](http://www.tdameritrade.com/financialstatement.html) OR BY CONTACTING CLIENT SERVICES AT 1-800-669-3900. AS OF SEPTEMBER 29, 2006 AMERITRADE, INC. HAD NET CAPITAL AND A NET CAPITAL REQUIREMENT OF \$397,033,179 AND \$88,891,436, RESPECTIVELY. A COPY OF THE REPORT AND COMMENTS IS CURRENTLY AVAILABLE FOR CLIENTS' INSPECTION AT THE PRINCIPAL OFFICE OF THE COMMISSION IN WASHINGTON DC AND THE DENVER, CO OFFICE OF THE COMMISSION.

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Important Information

-----ANTI-MONEY LAUNDERING REQUIREMENTS-----
----- THE USA PATRIOT ACT -- THE USA PATRIOT ACT IS DESIGNED TO DETECT, DETER, AND PUNISH TERRORIST IN THE UNITED STATES AND ABROAD. THE ACT IMPOSES ANTI-MONEY LAUNDERING REQUIREMENTS ON BROKERAGE FIRMS AND FINANCIAL INSTITUTIONS. ALL BROKERAGE FIRMS ARE REQUIRED TO HAVE COMPREHENSIVE ANTI-MONEY LAUNDERING PROGRAMS. TO HELP YOU UNDERSTAND THESE EFFORTS, WE WANT TO PROVIDE YOU WITH SOME INFORMATION ABOUT MONEY LAUNDERING AND OUR STEPS IMPLEMENTING THE USA PATRIOT ACT.

----- WHAT IS MONEY LAUNDERING? -- MONEY LAUNDERING IS THE PROCESS OF DISGUIISING ILLEGALLY OBTAINED MONEY SO THAT THE FUNDS APPEAR TO COME FROM LEGITIMATE SOURCES OR ACTIVITIES. MONEY LAUNDERING OCCURS IN CONNECTION WITH A WIDE VARIETY OF CRIMES, INCLUDING ILLEGAL ARMS SALES, DRUG TRAFFICKING, ROBBERY, FRAUD, RACKETEERING, AND TERRORISM. -----

----- HOW BIG IS THE PROBLEM AND WHY IS IT IMPORTANT? -- THE USE OF THE U.S. FINANCIAL SYSTEM BY CRIMINALS TO FACILITATE TERRORISM OR OTHER CRIMES COULD WELL TAINT OUR FINANCIAL MARKETS. ACCORDING TO THE U.S. STATE DEPARTMENT, ONE RECENT ESTIMATE PUTS THE AMOUNT OF WORLDWIDE MONEY LAUNDERING ACTIVITY AT \$1 TRILLION A YEAR.

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Important Information

-----ANTI-MONEY LAUNDERING REQUIREMENTS CONTINUED -----
-----WHAT ARE WE REQUIRED TO DO TO ELIMINATE MONEY LAUNDERING? -- UNDER RULES
REQUIRED BY THE USA PATRIOT ACT, OUR ANTI-MONEY LAUNDERING PROGRAM MUST
DESIGNATE A SPECIAL COMPLIANCE OFFICER, SET UP EMPLOYEE TRAINING, CONDUCT
INDEPENDENT AUDITS, AND ESTABLISH AND MAINTAIN POLICIES AND PROCEDURES TO
DETECT AND REPORT SUSPICIOUS TRANSACTIONS AND ENSURE COMPLIANCE WITH THE NEW
LAWS. TO HELP THE GOVERNMENT FIGHT THE FUNDING OF TERRORISM AND MONEY
LAUNDERING ACTIVITIES, FEDERAL LAW REQUIRES ALL FINANCIAL INSTITUTIONS TO
OBTAIN, VERIFY, AND RECORD INFORMATION THAT IDENTIFIES EACH PERSON WHO OPENS
AN ACCOUNT. AS PART OF OUR REQUIRED PROGRAM, WE MAY ASK YOU TO PROVIDE
VARIOUS IDENTIFICATION DOCUMENTS OR OTHER INFORMATION. UNTIL YOU PROVIDE THE
INFORMATION OR DOCUMENTS WE NEED, WE MAY NOT BE ABLE TO OPEN AN ACCOUNT OR
EFFECT ANY TRANSACTIONS FOR YOU. -----
-----WE THANK YOU FOR YOUR PATIENCE AND HOPE THAT YOU WILL SUPPORT US IN OUR
EFFORTS TO DENY TERRORIST GROUPS ACCESS TO AMERICA'S FINANCIAL SYSTEM. -----

----- (C) 2002. NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. (NASD). ALL
RIGHTS RESERVED

PURSUANT TO SEC RULE 11AC1-6 A WRITTEN COPY OF THE AMERITRADE, INC. ORDER
ROUTING DISCLOSURES IS AVAILABLE UPON REQUEST.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT,
INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY.
AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE
VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN
ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE
ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE
THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES
INVESTOR PROTECTION CORPORATION (SIPC).

Account Statement

account # 785-934420

report period: 11/25/06 - 12/29/06

Important Information

TRADING ON MARGIN POSES ADDITIONAL RISKS AND IS NOT SUITABLE FOR ALL INVESTORS. A COMPLETE LIST OF THE RISKS ASSOCIATED WITH MARGIN TRADING IS AVAILABLE IN THE MARGIN RISK DISCLOSURE DOCUMENT. YOU MAY OBTAIN A COPY OF THIS DOCUMENT AT: WWW.TDAMERITRADE.COM, OR BY CONTACTING A TD AMERITRADE REPRESENTATIVE AT 800-669-3900.

Account Statement

account # 785-934420

report period: 12/30/06 - 01/26/07

Account registration:

RONALD FRANKEL

NEW YORK NY 10022-4128

800-669-3900

TD AMERITRADE

DIVISION OF TD AMERITRADE INC

PO BOX 2209

OMAHA, NE 68103-2209

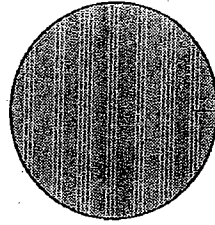
Announcements:

THE TD AMERITRADE EASY IRA,
DISCOVER MORE AT TDAMERITRADE.COM
OR CALL A RETIREMENT SPECIALIST
AT 866-660-5058. CONTRIBUTE TO YOUR
EXISTING TD AMERITRADE IRA
BEFORE APRIL 17, 2007!

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Cash	\$18.03	\$	\$	-	\$216.36	-	100.0%
MMDA	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-
Short balance	-	-	-	-	-	-	-
Stocks	-	-	-	-	-	-	-
Short stocks	-	-	-	-	-	-	-
Bonds	-	-	-	-	-	-	-
Options	-	-	-	-	-	-	-
Short options	-	-	-	-	-	-	-
Mutual funds	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
Total	\$18.03	\$0.00	\$18.03	-	\$216.36	1200.0%	100.0%
Margin equity	100.0%						

Portfolio Allocation



Cash Activity Summary

	Current	YTD
Opening balance	\$0.00	\$0.00
Securities purchased	-	-
Securities sold	-	-
Funds deposited	80.33	80.33
Funds disbursed	(80.33)	(80.33)
Income	18.03	18.03
Expense	-	-
Other	-	-
Closing balance	\$18.03	\$18.03

Income & Expense Summary

	Taxable	Non-taxable	YTD
Income			
Dividends	\$	\$	\$
Interest	18.03	-	18.03
Other	-	-	-
Expense			
Interest	-	-	-
Fees	-	-	-
Other	-	-	-
Net	\$18.03	\$0.00	\$18.03

Performance Summary

	Cost basis as of - 01/26/07	YTD
Realized gains*	-	\$0.00
Realized losses*	-	-
Unrealized gains	-	-
Unrealized losses	-	-
Funds deposited/(disbursed)	0.00	0.00
Income/(expense)	18.03	18.03
Securities received/(delivered)	0.00	0.00

ame103.cmp.20070130.GW3VMI.cds 0015324 0058806

report period: 12/30/06 - 01/26/07

Important Information

EQUITY AND OPTION POSITIONS IN VOLT INFORMATION SCIENCES INC, WHICH IS SCHEDULED TO SPLIT WITH EX-DIVIDEND DATES OF 1/29/2007, ARE REFLECTED AFTER THE EFFECTS OF THE SPLIT IN THE SECURITY POSITIONS AND ACCOUNT ACTIVITY SECTIONS OF THIS STATEMENT.

page 2 of 2

Portfolio Report Guide

TD AMERITRADE provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.¹

Portfolio Summary

Tracks the current value of your portfolio as of the report date and compares it to the prior month. The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart.

Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value)

Activity Summary

The opening and closing cash balances are reconciled here for your quick reference.

All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only)

This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only)

Review the IRS regulated transactions for the current and prior years IRS Form 5498. Fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary

Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions

View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income and average cost per share are displayed for your reference (the oldest purchase data is shown for an indication of your holding period).²

Account Activity

All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Positions Closed this Month

Includes securities sold this month showing the oldest trade date for the original purchase and the realized gain or loss.

Trades Pending Settlement

Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Open Orders

Review your open limit orders for accuracy and notify us immediately if you wish to change or cancel an order.

Cash Management Activity

Cash management transactions (including check, debit card and ATM activity) that cleared during this month are listed in date order.

¹ Due to rounding adjustments, the statement details may not equal the statement totals.

² Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned as of the statement date and the most recent dividend rate provided.

TD AMERITRADE

TD AMERITRADE does not provide investment, legal, or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

Accuracy of Reports

Please notify us promptly of any changes in your contact information.

Transactions reflected on your report will be conclusively deemed accurate unless you notify TD AMERITRADE immediately.

Account Protection

Deposits made into a TD Bank USA NA Money Market Deposit Account are insured by the FDIC (not covered by SIPC) up to \$100,000 per depositor (up to \$250,000 of protection for the combined total of the Traditional and Roth IRAs, self-directed Keoghs and certain other retirement accounts). Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal. TD AMERITRADE, Inc. is a member of the Securities Investor Protection Corporation. Securities in your account protected up to \$500,000. For details, please see www.sipc.org. Up to an aggregate of \$250 million of additional securities protection, of which \$900,000 may be applied to cash, is provided by London insurers, also limited to a combined return to any client from a Trustee, SIPC and London of \$150 million. This coverage provides you protection against brokerage insolvency and does not protect against loss in market value of the securities.

Tax Reporting

The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis

Cost-basis, tax lot and performance reporting and Canskeeper are offered and conducted by CCH INCORPORATED. TD AMERITRADE is not responsible for the reliability or suitability of the information. TD AMERITRADE and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. CCH INCORPORATED is a separate company and is not affiliated with TD AMERITRADE.

Margin and Options Account Agreements

Promptly advise TD AMERITRADE in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices

The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. Non-Priced securities are indicated by "Np" and may affect statement balances and totals. The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices.

Limited Partnerships and non-traded Real Estate Investment Trusts are generally illiquid and have no public markets; annual valuations are obtained from a third party or the general partner. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Portfolio report valuations may not represent sales proceeds.

Regulatory Disclosures

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed; (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of the NASD and the Federal Reserve System.

For an investor brochure that includes information describing the NASD's Public Disclosure Program, please contact the NASD at 800-289-9999 or www.nasdr.com.

The interest rate shown for TD AMERITRADE Cash is taken from the applicable interest rate tier for the Market Value balance in the TD AMERITRADE Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance. Interest rates on TD AMERITRADE Cash balances are based on tiers as determined by balances held in those sweep vehicles as follows: \$0.01 to \$1,999, \$2,000 to \$9,999, \$10,000 to \$24,999, \$25,000 to \$99,999, \$100,000 and above.

The annual percentage yield earned for the statement period on TD AMERITRADE Cash balances is an annualized rate that reflects the relationship between the amount of interest actually earned in your account during the statement period and the average daily balance in the account for the period.

Financial Statement Notification

A statement of financial condition for TD AMERITRADE is available online bi-annually at <http://www.tdameritrade.com/financialstatement.html> and for review at our main office.

Allocation Method

In the event any of your securities are called or assigned, the securities will be selected on an impartial, random basis.

Free Credit Balances (Rule 15c3-2 & 3)

Any free credit balance, including TD AMERITRADE Cash, represents funds payable upon demand which, although properly accounted for on our books of record, are not segregated, and may be used in the conduct of this firm's business as permissible under Securities and Exchange Commission Rule 15c3-2.

Margin Accounts (Regulation T)

If you have a margin account, this report is a combination of your margin account and a special memorandum account.

Payment for Order Flow (Rule 11a1-3 & 1-6)

In some circumstances, TD AMERITRADE receives compensation for directing certain over-the-counter and listed equity order flow to selected market makers, brokers or dealers. Compensation is in the form of a per share cash payment. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade confirmations (Rule 10b-10)

All confirmations are transmitted on the transaction date.

ACCOUNT NO.	YOUR REPRESENTATIVE
785-934420	FF

STATEMENT PERIOD	PAGE
01/27/07-02/28/07	1-2

TD AMERITRADE

1002241080

RONALD FRANKEL

NEW YORK, NY 10022-4108

THE TD AMERITRADE EASY IRA.
DISCOVER MORE AT TDAMERITRADE.COM
OR CALL A RETIREMENT SPECIALIST
AT 866-680-5058. CONTRIBUTE TO YOUR
EXISTING TD AMERITRADE IRA
BEFORE APRIL 17, 2007!

ACCOUNT SUMMARY AS OF 02/28/07 CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY		Money Balance Summary	
Margin Account (2)	Current Month Value	Account Type	Opening Balance
*Net Account Value	\$18	Margin Account (2)	\$18.03
*Total Equity	\$18	Net Cash Balance	\$18.03
*Excludes unpriced securities(NP)	\$18	Total Money Balance	\$18.03

Income Summary		Year to Date
Description	Current	
Interest Income Credit Balance	\$.00	\$91.71

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

THE ABOVE PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO VALUE YOUR PORTFOLIO. CURRENT QUOTATIONS ARE AVAILABLE THROUGH YOUR BROKER. CERTIFICATES OF DEPOSIT ARE PRICED AT PAR. SALE PRIOR TO MATURITY MAY RESULT IN A LOSS OF PRINCIPAL. MORTGAGE BACKED POSITIONS ARE VALUED USING THE REMAINING BALANCE AND THE CURRENT MARKET PRICE.

-CONTINUED ON NEXT PAGE-

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE	TD AMERITRADE
785-934420	RONALD FRANKEL	01/27/07-02/28/07	2-2	

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

EQUITY AND OPTION POSITIONS IN VOLT INFORMATION SCIENCES INC, WHICH IS SCHEDULED TO SPLIT WITH EX-DIVIDEND DATES OF 1/29/2007, ARE REFLECTED AFTER THE EFFECTS OF THE SPLIT IN THE SECURITY POSITIONS AND ACCOUNT ACTIVITY SECTIONS OF THIS STATEMENT.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

CERTAIN PURCHASES OF CLASS A MUTUAL FUNDS MAY BE ELIGIBLE FOR A BREAKPOINT DISCOUNT ON THE SALES CHARGE. TO LEARN MORE ABOUT BREAKPOINT DISCOUNTS, GO TO [HTTP://WWW.NASDAQ.COM/WEB/GROUPS/RULES_REGS/DOCUMENTS/RULES_REGS_NASDW_010543.PD](http://www.nasdaq.com/web/groups/rules_regs/documents/rules_regs_nasdw_010543.pdf)

IN THE NEAR FUTURE, WE WILL BE MAKING IMPROVEMENTS TO THE TD AMERITRADE DIVIDEND REINVESTMENT PROGRAM (DRIP). THERE WILL BE MORE THAN 5,000 SECURITIES ELIGIBLE FOR THE DRIP. YOU WILL BE ABLE TO ENROLL IN THE DRIP AND ELECT TO HAVE ALL ELIGIBLE SECURITIES IN YOUR ACCOUNT INCLUDED OR YOU CAN CHOOSE INDIVIDUAL SECURITIES FROM THOSE THAT ARE ELIGIBLE. ONCE ENROLLED IN DRIP, ANY FRACTIONAL SHARES RESULTING FROM A DRIP TRANSACTION WILL BE ADDED TO YOUR ACCOUNT INSTEAD OF BEING PAID IN CASH AS BEFORE. IF YOU HAVE QUESTIONS, PLEASE CONTACT A CLIENT SERVICES REPRESENTATIVE.

Order Timestamp	Order ID	Buyer	Order Size	Order Symbol	Order Type	Order Price	Order Account	Order Status	Order Time Stamp	Order Qty	Exec Price	Leaves Qty	Mkt Maker
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:12:33	5000	0.12	245000	UBSS
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:12:59	18500	0.12	226500	UBSS
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:14:37	25000	0.12	201500	UBSS
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:15:31	25000	0.12	176500	UBSS
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:15:51	150000	0.12	26500	UBSS
2006-11-24 11:11:27	2503824880	B	250000	WMDB	LMT	0.12	785934420	F	2006-11-24 11:18:18	26500	0.12	0	UBSS
2006-11-24 11:15:18	2503842357	B	50000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:18:18	23500	0.12	26500	UBSS
2006-11-24 11:15:18	2503842357	B	50000	WMDB	LMT	0.12	785934420	F	2006-11-24 11:18:55	26500	0.12	0	UBSS
2006-11-24 11:17:55	2503853282	B	20000	WMDB	LMT	0.12	785934420	F	2006-11-24 11:18:55	20000	0.12	0	UBSS
2006-11-24 11:19:45	2503860808	B	50000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:21:13	6900	0.12	43100	UBSS
2006-11-24 11:19:45	2503860808	B	50000	WMDB	LMT	0.12	785934420	L	2006-11-24 11:21:59	20000	0.12	23100	UBSS
2006-11-24 11:19:45	2503860808	B	50000	WMDB	LMT	0.12	785934420	F	2006-11-24 11:23:13	23100	0.12	0	UBSS
2006-11-27 10:22:33	2506129538	B	150000	CDDJ	LMT	0.12	785934420	L	2006-11-27 10:23:44	5000	0.12	145000	UBSS
2006-11-27 10:22:33	2506129538	B	150000	CDDJ	LMT	0.12	785934420	F	2006-11-27 10:26:52	145000	0.12	0	UBSS
2006-11-27 10:41:02	2506260774	B	150000	CDDJ	LMT	0.12	785934420	F	2006-11-27 10:41:37	150000	0.12	0	UBSS
2006-11-27 10:49:18	2506314745	B	128300	CDDJ	LMT	0.12	785934420	L	2006-11-27 10:49:55	5000	0.1	123300	UBSS
2006-11-27 10:49:18	2506314745	B	128300	CDDJ	LMT	0.12	785934420	F	2006-11-27 10:54:18	123300	0.12	0	UBSS
2006-12-08 10:49:16	2536711534	S	50000	CDDJ	LMT	0.03	785934420	L	2006-12-08 10:50:45	5000	0.03	45000	SBSS
2006-12-08 10:49:16	2536711534	S	50000	CDDJ	LMT	0.03	785934420	L	2006-12-08 10:50:54	5000	0.03	40000	SBSS
2006-12-08 10:53:32	2536736328	S	40000	CDDJ	LMT	0.02	785934420	F	2006-12-08 10:54:03	40000	0.02	0	SBSS
2006-12-08 10:55:09	2536745391	S	50000	CDDJ	LMT	0.02	785934420	L	2006-12-08 10:55:41	5000	0.02	45000	SBSS
2006-12-08 10:55:09	2536745391	S	50000	CDDJ	LMT	0.02	785934420	L	2006-12-08 10:56:38	5000	0.02	40000	SBSS
2006-12-08 10:55:09	2536745391	S	50000	CDDJ	LMT	0.02	785934420	L	2006-12-08 11:51:49	5000	0.02	35000	SBSS
2006-12-08 12:08:39	2537152760	S	328300	CDDJ	LMT	0.015	785934420	L	2006-12-08 11:51:54	35000	0.02	0	SBSS
2006-12-08 14:02:28	2537572639	S	50000	CDDJ	LMT	0.01	785934420	F	2006-12-08 12:09:15	20000	0.015	308300	SBSS
2006-12-08 14:03:58	2537577769	S	50000	CDDJ	LMT	0.01	785934420	F	2006-12-08 14:03:02	50000	0.01	0	SBSS
2006-12-08 14:04:53	2537581247	S	50000	CDDJ	LMT	0.01	785934420	F	2006-12-08 14:04:21	50000	0.01	0	SBSS
2006-12-08 14:04:53	2537581247	S	50000	CDDJ	LMT	0.01	785934420	L	2006-12-08 14:05:18	5000	0.01	45000	SBSS
2006-12-08 14:04:53	2537581247	S	50000	CDDJ	LMT	0.01	785934420	L	2006-12-08 14:05:27	5000	0.01	40000	SBSS
2006-12-08 14:04:53	2537581247	S	50000	CDDJ	LMT	0.01	785934420	L	2006-12-08 14:05:28	5000	0.01	35000	SBSS
2006-12-08 14:04:53	2537581247	S	50000	CDDJ	LMT	0.01	785934420	L	2006-12-08 14:05:32	5000	0.01	30000	SBSS
2006-12-08 14:06:43	2537588032	S	50000	CDDJ	LMT	0.01	785934420	F	2006-12-08 14:06:03	30000	0.01	0	SBSS
2006-12-08 15:28:02	2537885972	S	45000	CDDJ	LMT	0.007	785934420	F	2006-12-08 14:07:42	5000	0.01	45000	SBSS
2006-12-08 15:29:30	2537892725	S	50000	CDDJ	LMT	0.007	785934420	F	2006-12-08 15:29:06	45000	0.007	0	SBSS
2006-12-08 15:30:36	2537897202	S	58300	CDDJ	LMT	0.007	785934420	F	2006-12-08 15:30:06	50000	0.007	0	SBSS
2006-12-08 15:30:36	2537897202	S	58300	CDDJ	LMT	0.007	785934420	L	2006-12-08 15:30:59	5000	0.007	53300	SBSS
2006-12-08 15:30:36	2537897202	S	58300	CDDJ	LMT	0.007	785934420	L	2006-12-08 15:31:02	5000	0.007	48300	SBSS
2006-12-08 15:30:36	2537897202	S	58300	CDDJ	LMT	0.007	785934420	F	2006-12-08 15:31:18	48300	0.007	0	SBSS
2006-12-08 10:56:17	2536751595	S	100000	WMDB	LMT	0.006	785934420	L	2006-12-08 10:57:02	5000	0.006	95000	SBSS
2006-12-08 10:56:17	2536751595	S	100000	WMDB	LMT	0.006	785934420	L	2006-12-08 10:57:15	5000	0.006	90000	SBSS

Order TimeStamp	Order ID	Buy/Sell	Order Size	Order Symbol	Order Type	Limit Price	Account	Order Status	Order TimeStamp	Action Qty	Exec Price	Leaves Qty	Mkt Maker
2006-12-08 10:56:17	2536751595	S	10000	WMDB	LMT	0.006	785934420	L	2006-12-08 10:57:26	5000	0.006	85000	SBSH
2006-12-08 12:05:59	2537140988	S	85000	WMDB	LMT	0.005	785934420	L	2006-12-08 12:07:12	5000	0.005	80000	SBSH
2006-12-08 12:05:59	2537140988	S	85000	WMDB	LMT	0.005	785934420	L	2006-12-08 12:07:14	25000	0.005	55000	SBSH
2006-12-08 12:05:59	2537140988	S	85000	WMDB	LMT	0.005	785934420	L	2006-12-08 12:09:56	5000	0.005	50000	SBSH
2006-12-08 12:05:59	2537140988	S	85000	WMDB	LMT	0.005	785934420	L	2006-12-08 13:22:58	5000	0.005	45000	SBSH
2006-12-08 13:24:33	2537439461	S	45000	WMDB	LMT	0.004	785934420	F	2006-12-08 13:26:00	45000	0.004	0	SBSH
2006-12-08 13:28:16	2537451713	S	50000	WMDB	LMT	0.004	785934420	L	2006-12-08 13:29:05	5000	0.004	45000	SBSH
2006-12-08 13:28:16	2537451713	S	50000	WMDB	LMT	0.004	785934420	L	2006-12-08 13:29:14	5000	0.004	40000	SBSH
2006-12-08 13:28:16	2537451713	S	50000	WMDB	LMT	0.004	785934420	L	2006-12-08 13:29:22	10000	0.004	30000	SBSH
2006-12-08 14:01:45	2537570220	S	30000	WMDB	LMT	0.003	785934420	F	2006-12-08 14:02:47	30000	0.003	0	SBSH
2006-12-08 14:04:25	2537579438	S	50000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:04:56	25000	0.003	25000	SBSH
2006-12-08 14:04:25	2537579438	S	50000	WMDB	LMT	0.003	785934420	F	2006-12-08 14:05:07	25000	0.003	0	SBSH
2006-12-08 14:06:12	2537586155	S	50000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:06:37	25000	0.003	25000	SBSH
2006-12-08 14:06:12	2537586155	S	50000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:06:39	10000	0.003	15000	SBSH
2006-12-08 14:06:12	2537586155	S	50000	WMDB	LMT	0.003	785934420	F	2006-12-08 14:06:45	15000	0.003	0	SBSH
2006-12-08 14:08:14	2537593440	S	50000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:09:16	25000	0.003	25000	SBSH
2006-12-08 14:08:14	2537593440	S	50000	WMDB	LMT	0.003	785934420	F	2006-12-08 14:09:33	25000	0.003	0	SBSH
2006-12-08 14:10:07	2537600625	S	70000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:10:35	5000	0.003	65000	SBSH
2006-12-08 14:10:07	2537600625	S	70000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:11:07	5000	0.003	60000	SBSH
2006-12-08 14:10:07	2537600625	S	70000	WMDB	LMT	0.003	785934420	L	2006-12-08 14:28:44	10000	0.003	50000	SBSH
2006-12-08 14:10:07	2537600625	S	70000	WMDB	LMT	0.003	785934420	F	2006-12-08 14:28:46	50000	0.003	0	SBSH

Client					
785-934420		Frankel, Ronald			
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail	
11/13/06 1:32 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/24/06 9:13 AM	ronaldfran1	NULL	24.90.135.144	F	Road Runner HoldCo
11/24/06 9:25 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/24/06 10:08 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/25/06 1:58 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/27/06 8:45 AM	ronaldfran1	NULL	67.102.52.250	F	Covad Communications
11/27/06 8:45 AM	ronaldfran1	A000000018309177	67.102.52.250	S	Covad Communications
11/27/06 6:22 PM	ronaldfran1	A000000018309177	67.102.52.250	S	Covad Communications
11/28/06 10:03 AM	ronaldfran1	A000000018309177	67.102.52.250	S	Covad Communications
11/28/06 10:03 AM	ronaldfran1	A000000018309177	67.102.52.250	S	Covad Communications
11/29/06 9:26 AM	ronaldfran1	A000000018309177	207.44.237.130	S	Everyones Internet
11/29/06 11:00 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/29/06 2:38 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/29/06 2:38 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/29/06 11:43 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
11/30/06 8:18 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/1/06 10:46 PM	ronaldfran1	A000000018309177	172.163.95.148	S	America Online
12/2/06 10:06 PM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/4/06 8:40 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/4/06 11:50 PM	ronaldfran1	A000000018309177	172.164.2.6	S	America Online
12/5/06 2:21 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/5/06 3:00 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/5/06 3:34 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo
12/5/06 8:04 AM	ronaldfran1	A000000018309177	24.90.135.144	S	Road Runner HoldCo

Client Acct No.	Name	Username		
785-934420	Ronald Frankel	ronaldfran1		
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail
12/1/06 10:46 PM	ronaldfran1	A000000018309177	172.163.95.148	S
12/2/06 10:06 PM	ronaldfran1	A000000018309177	24.90.135.144	S
12/4/06 8:40 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/4/06 11:50 PM	ronaldfran1	A000000018309177	172.164.2.6	S
12/5/06 2:21 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 3:00 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 3:34 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 8:04 AM	ronaldfran1	A000000018309177	24.90.135.144	S
Client Acct No.	Name	Username		
785-979281	Albert Melino	bertomelino17		
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail
12/1/06 10:42 PM	bertomelino17	A000000018333910	172.163.95.148	S

Peter Marshall



For Internal Use Only	
Account Number:	786-309355
Type:	Individual
Registration:	Cash
Branch ID:	NYC 92392
Referrer:	direct
Ad Code:	WEB
Offer Code:	170

Mailing Address

Standard Delivery:
Overnight:
Fax:

PO Box 2760, Omaha, NE 68103-2760
1005 N. Ameritrade Place, Bellevue, NE 68005
816-243-3769

New Account Information

Type:
Registration:
Receive Corp. Communications:
E-mail Address:
Account Statement:
Trade Confirmation:
Funding:
Sweep Vehicle:

Individual
Cash
Yes
PETERFS32@AOL.COM
Monthly Electronic
Electronic
Express Funding
TD AMERITRADE Cash (Protected by SIPC)

Account Owner

Name:
Street Address:

PETER MARSHALL
[REDACTED]
NEW YORK, NY 10022-2945
UNITED STATES OF AMERICA
Single

Marital Status:
Home Phone:
Social Security Number:
Date of Birth:
Mother's Maiden Name:
Citizenship:
Employment Status:
Corporate Affiliation:
IASD Affiliation:

[REDACTED]
[REDACTED]
[REDACTED]
US Citizen/Permanent Resident
Unemployed
No
No

Financial Questionnaire

Income:
Networth:
Liquid Networkth:
Number of Dependents:

\$100,000+
\$500,000+
\$500,000+
0

CH Information

Deposit Amount:
Bank Account Name:
Bank Name:
Bank Nickname:
Bank City:
Bank State:
ABA Routing Number:
Bank Account Number:
Bank Account Type:
FT Tracking Number:
FT Transaction Date:

150000.00
PETER MARSHALL
CITI BANK

NEW YORK
NY
[REDACTED]
1452
C
78630935512115123
01/12/2007 11:51:34

CASH AGREEMENT

Under penalties of perjury, I certify (1) that the Social Security Number shown on this form is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) I am a U.S. person (including a resident alien); provided, however, if I am a non-resident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit a W-8BEN Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out (2) in this certification.

I have received and read the terms and conditions, which are incorporated by this reference, that will govern my account. I agree to be bound by these terms and conditions, as amended from time to time, and request an account to be opened in the name(s) set forth below.

The Terms and Conditions applicable to this Brokerage Account Agreement contain pre-dispute arbitration clauses. I agree to be bound by the terms of the agreement including the arbitration agreement located at paragraphs 92 - 94 of the Terms and Conditions.

All securities, dividends and proceeds will be held at Ameritrade Clearing, Division of Ameritrade, Inc. unless otherwise instructed.

I understand that non-deposit investments purchased through TD AMERITRADE are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

I understand my available cash balance will earn interest in my brokerage account as TD AMERITRADE Cash (Covered by SIPC) based on current rates and policies, which may be changed without prior notice.

Important Information about procedures for opening a new account:

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

I understand that TD AMERITRADE may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit-reporting agencies. Upon my request, TD AMERITRADE shall inform me of each consumer or credit-reporting agency from which they have obtained and/or reported my consumer or credit report. TD AMERITRADE agrees to notify the consumer or credit-reporting agencies if I dispute the completeness or accuracy of the information furnished by TD AMERITRADE. By my signature below, I authorize TD AMERITRADE to obtain consumer or credit reports for the name(s) set forth below.

Account Owner(s) must sign.

Date

X

PETER MARSHALL

Account Number: 786-309355

For Ameritrade Clearing use only

New Accounts Opened By (initial & date): _____

Account Number: 786-309355

END FORM - Terms and Conditions Effective Wednesday, March 21, 2007 at 16:03:52

AWD History for Work object key 2007-01-12-14:38:32.23268801
AMTD - PRINREVIEW - COMPLETED - END - Updateable

000786309355 - MARSHALL - 043404240 - 2007-01-12-14.38.32.232688

Primary SSN: [REDACTED]

Acct Type/Add Change: 000786309355

Last Name: MARSHALL

Printed on Wednesday, March 21, 2007 at 4:05:52PM

Begin Date:	2007-01-13	Flags:	
Begin Time:	06:26:10	DTM Job Name:	
User Id:	STA397	DTM Return Code:	
Workstation Id:		DTM Task Name:	
Business Area:		DTM Next Task:	
Type:		End Date:	2007-01-13
Status:		End Time:	06:26:10
Queue:			
User Name:	Stallings, Janette		
DTM Description:			
Comments:	I verified this one at Citibank. Everything checked out-name, address, funds and open more than 6 mos. The TU was yellow for the address and the client has no other accounts. Kristen Bickley		

Begin Date:	2007-01-13	Flags:	
Begin Time:	06:13:05	DTM Job Name:	
User Id:	STA397	DTM Return Code:	
Workstation Id:		DTM Task Name:	
Business Area:		DTM Next Task:	
Type:		End Date:	2007-01-13
Status:		End Time:	06:13:05
Queue:			
User Name:	Stallings, Janette		
DTM Description:			
Comments:	Principal approval for cash and margin.		

Begin Date:	2007-01-12	Flags:	
Begin Time:	14:38:38	DTM Job Name:	
User Id:	STA397	DTM Return Code:	
Workstation Id:		DTM Task Name:	
Business Area:		DTM Next Task:	
Type:		End Date:	2007-01-12
Status:		End Time:	14:38:38
Queue:			
User Name:	Stallings, Janette		
DTM Description:			
Comments:	The following account didn't automatically qualify for the requested margin/option level. A principal will review the account for further approval.		

Account Number: 786-309355

Account Type:	Individual
Primary Account Holder Name:	Peter Marshall
Email Address:	Peterfs32@aol.com
Account Registration:	Cash & Margin

AWD History for Work object key 2007-01-12-14.38.32.232688
000786309355 - MARSHALL - 043404240 - 2007-01-12-14.38.32.232688
Primary SSN: [REDACTED] Acct Type/Add Change: 000786309355
Last Name: MARSHALL

Printed on Wednesday, March 21, 2007 at 4:05:52PM

Holder Name: Peter Marshall
Address: [REDACTED] NEW YORK, NY, 10022
Primary Phone: [REDACTED]
Marital Status: Single
SSN: [REDACTED]
Date of Birth: [REDACTED]
Citizenship: US Citizen/Permanent Resident
Corporate Affiliation: No
NASD Affiliation: No
SFPF Affiliation: No

Employment Information

Status: Unemployed

Option Information

Options were not requested.

Margin Information

Number of Dependents 0
Income \$100,000 +
Net Worth \$500,000 +
Liquid Net Worth \$500,000 +

Assigned Margins: No
Requested Margins: Yes
Assigned Option Level: N/A

Options Requested Peter Marshall

Options were not requested.

1002229450

PETER MARSHALL

NEW YORK, NY 10022-2945

THE TD AMERITRADE EASY IRA.
DISCOVER MORE AT TDAMERITRADE.COM
OR CALL A RETIREMENT SPECIALIST
AT 866-680-5058. CONTRIBUTE TO YOUR
EXISTING TD AMERITRADE IRA
BEFORE APRIL 17, 2007!

ACCOUNT NO.	YOUR REPRESENTATIVE	STATEMENT PERIOD	PAGE
786-309355	FF	12/30/06-01/26/07	1-3
TD AMERITRADE			

PORTFOLIO SUMMARY			
Money Balance Summary			
Account Type	Opening Balance	Closing Balance	
Cash Account (1)	\$0.00	(\$25.00)	
Net Cash Balance	\$0.00	(\$25.00)	
Total Money Balance	\$0.00	(\$25.00)	

ACCOUNT SUMMARY AS OF 01/26/07			
CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.			
Current Month Value & of Long Value			
Cash Account (1) Balance	(\$25)		
*Net Account Value	(\$25)		
*Total Equity	(\$25)		
*Excludes unpriced securities (NP)			

Account Activity					
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit Credit
01/12/07	01/12/07	OPENING BAL CASH ACCOUNT JOURNAL			\$0.00
01/12/07	01/16/07	Cash Award			100.00
01/16/07	01/16/07	Electronic Funding JOURNAL			150,000.00
		Transfer From 786-309355-1 to 786-309355-2			
01/22/07	01/22/07	JOURNAL			150,100.00
01/24/07	01/24/07	Free Trade Promo - Reversal JOURNAL			100.00
		Move to Cash			75.00
		CLOSING BAL CASH ACCOUNT			\$25.00

--CONTINUED ON NEXT PAGE--

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
786-309355	PETER MARSHALL	12/30/06-01/26/07	2-3
TD AMERITRADE			

Account Activity					
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit Credit
01/16/07	01/16/07	OPENING BAL MARGIN ACCOUNT JOURNAL			
01/19/07	01/19/07	Transfer From 786-309355-1 to 786-309355-2			\$0.00 150,100.00
01/19/07	01/19/07	Ef Return - R16 - Account Frozen			150,000.00
01/19/07	01/19/07	JOURNAL			
01/24/07	01/24/07	Ach Return Charge (Account Frozen)			25.00
01/24/07	01/24/07	Move to Cash			75.00
01/24/07	01/24/07	CLOSING BAL MARGIN ACCOUNT			\$0.00
TOTAL ACCOUNT BALANCE					\$25.00

TD Ameritrade Cash Interest Credited				
Beg. Date	Credit Balance	Nbr./Days	Int. Rate	Interest Credited
01/16/07	\$150,100.00	3	2.1500	\$26.52
TOTAL INTEREST TO BE CREDITED				\$26.52

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

EQUITY AND OPTION POSITIONS IN VOLT INFORMATION SCIENCES INC, WHICH IS SCHEDULED TO SPLIT WITH EX-DIVIDEND DATES OF 1/29/2007, ARE REFLECTED AFTER THE EFFECTS OF THE SPLIT IN THE SECURITY POSITIONS AND ACCOUNT ACTIVITY SECTIONS OF THIS STATEMENT.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE

-CONTINUED ON NEXT PAGE-

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
786-309355	PETER MARSHALL	12/30/06-01/26/07	3-3

TD AMERITRADE

Important Information

ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

ACCOUNT NO.	YOUR REPRESENTATIVE	STATEMENT PERIOD	PAGE
786-309355	FF	01/27/07-02/28/07	1-3

TD AMERITRADE

1002229450

PETER MARSHALL

NEW YORK, NY 10022-2945

THE TD AMERITRADE EASY IRA.
DISCOVER MORE AT TDAMERITRADE.COM
OR CALL A RETIREMENT SPECIALIST
AT 866-680-5058. CONTRIBUTE TO YOUR
EXISTING TD AMERITRADE IRA
BEFORE APRIL 17, 2007!

ACCOUNT SUMMARY AS OF 02/28/07 CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY			Money Balance Summary		
Cash Account (1) Balance	Current Month Value	% of Long Value	Account Type	Opening Balance	Closing Balance
*Net Account Value	\$2		Cash Account (1)	(\$25.00)	\$1.52
*Total Equity	\$2		Net Cash Balance	(\$25.00)	\$1.52
*Excludes unpriced securities (NP)			Total Money Balance	(\$25.00)	\$1.52

Income Summary		
Description	Current	Year to Date
Interest Income Credit Balance	\$26.52	\$26.52

Account Activity						
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
01/29/07	01/29/07	OPENING BAL CASH ACCOUNT			\$25.00	
		DIV/INT				26.52
		Interest Credit				
		Payable: 01/26/2007				
		CLOSING BAL CASH ACCOUNT				\$1.52
CONTINUED ON NEXT PAGE						

--CONTINUED ON NEXT PAGE--

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE	TD AMERITRADE
786-309355	PETER MARSHALL	01/27/07-02/28/07	3-3	
Important Information				

Client					
786-309355: MARSHALL PETER					
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail	Provider
1/12/07 10:53 AM	petty1picker	A000000018508898	24.90.135.144	S	NY - Brooklyn - Road Runner
1/17/07 11:02 AM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/17/07 2:27 PM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/17/07 3:31 PM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/17/07 11:54 PM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/18/07 7:23 AM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/18/07 12:14 PM	petty1picker	A000000018508898	67.102.52.250	S	District Of Columbia - Covad
1/18/07 2:34 PM	petty1picker	NULL	67.102.52.250	F	District Of Columbia - Covad
1/18/07 2:35 PM	petty1picker	NULL	67.102.52.250	F	District Of Columbia - Covad
1/18/07 2:36 PM	petty1picker	NULL	67.102.52.250	F	District Of Columbia - Covad
1/18/07 2:47 PM	petty1picker	NULL	67.102.52.250	F	District Of Columbia - Covad
1/18/07 2:51 PM	786309355	NULL	NULL	F	
1/24/07 8:45 PM	786309355	NULL	NULL	F	

**Bank of America
Investment Services, Inc.***

**Electronic Funds Transfer
(EFT) Link Request**

Fax to: 704-489-1310 (Full Service New-Northeast Accounts)
617-799-1265 (Full Service Northeast/Self-Directed Accounts)

Please complete a separate form for each Electronic Funds Transfer (EFT) Link request.

This form will enable you to establish an Electronic Funds Transfer (EFT) link between your bank account and your Bank of America Investment Services, Inc. ("BAI") brokerage account. **Note:** This form applies to EFT Link ONLY. No funds will be transferred into or out of BAI brokerage accounts. Please contact your registered representative to appropriately facilitate a transfer of funds via an EFT Link (i.e. examples and related appropriate information contained in the form, Product Information Plan (PIP) form, etc.).

For all UTMA/UGMA Accounts: The account title and registration of the brokerage and bank accounts MUST match exactly.

① Client Information

Print your name as it appears on your BAI brokerage account.

Name(s) on Account: Peter Marshall Account Number: NY3064033
Home Phone: [REDACTED] Work Phone: [REDACTED]

② Bank Information (For routine money movements only - not for PIP EFT Link)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is **REQUIRED**.

Establish EFT Link to: Account Type: (check one) ☒ Checking ☐ Savings

Bank Name: Citi Bank

Name(s) on your Bank Account: Peter Marshall

Bank Account Number: [REDACTED] 1452 Bank ABA/Routing Number: [REDACTED]

☒ For all account proceeds (ONLY available for non-retirement brokerage accounts) ☐ For Ad Hoc transaction

☐ For retirement distributions

③ Periodic Investment Plan (PIP) EFT Link Information (For PIP EFT Link only)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is **REQUIRED**.

☐ Debit my (our) Bank of America bank account.

Bank Account Number: _____ Bank ABA/Routing Number: _____ State: _____

Check type of bank account (check one): ☐ Checking ☐ Savings

☒ Debit other banking institution account. (Voided check is **REQUIRED**)

Bank Name: Citi Bank

Bank Account Number: [REDACTED] 1452 Bank ABA/Routing Number: [REDACTED] State: NY

Check type of bank account (check one): ☒ Checking ☐ Savings

Passbook savings accounts are not eligible and your bank must be a member of the Automated Clearing House (ACH) system.

④ Authorization

Peter Marshall 1/9/07
BAI Brokerage Account Owner/Authorized Agent Signature Date

Signature(s) of Brokerage/Bank Account Co-Owner(s) (if applicable) Date

We want you to know: Investment products such as stocks, bonds and mutual funds provided by Bank of America Investment Services, Inc.*:

Are Not FDIC Insured May Lose Value Are Not Bank Guaranteed

Bank of America Investment Services, Inc. is a registered broker-dealer, member NASD and SIPC, and a subsidiary of Bank of America, N.A. Bank of America Investment Services, Inc. is not a tax advisor. We suggest you consult your personal tax advisor before making tax-related investment decisions.
90-25-370473B 02-2006

CMM
1/9/07

PETER MARSHALL

1218
12/18
12/18

0120

Date

Pay to the order of

\$

citibank

CHESAPEAKE
BANK AND
TRUST COMPANY

Dollars

Micro

CO 210000896

0120

MXMESI CNB41030 MONEY LINE STANDING INSTRUCTION INQUIRY

01/09/07 11:25

CUSTOMER A/C: NY3 064033 1

BENEFICIARY : PETER MARSHALL

BENEFICIARY A/C: [REDACTED] 1452

(S)AVING/(C)HECKING: C

ABA NUMBER: [REDACTED]

DISB STATUS: P RCPT STATUS: P

DISB VALID: 01/09/07 RCPT VALID: 01/09/07

LINE #: NY306403301

MONEY MOVEMENT SWEEP: Y

CREATED: 01/09/07 11:25 CNB41030

UPDATED: 01/09/07 11:25 CNB41030

N&A: PETER MARSHALL

NEW YORK

NY 10022

BANK N&A: CITIBANK FSB

111 SYLVAN AVENUE
ENGLEWOOD CLIFFS

NJ 07632

FASTPATH: _____

PF2:MAIN MENU PF3/CLEAR:LAST MENU

PARENT MKT ORDER TYPE

REGTYP: I PROD LEVEL: ESTD: 01/03/2007 CHGD: 01/09/2007

PREF:

TRT DTE:

PETER MARSHALL

SSN [REDACTED] DOB: [REDACTED] CLASS: 00

[REDACTED]

MULT MGN: N CCC: 999 MNR ST:

NEW YORK

NY 10022 SHTNAME: MARSHA PET

US CERTIFIED

CERT DTE:

CTR: USA

LEG:

REG CODE:

PROCEEDS: EFT/LNK

M+A:

SEC: HOLD IN STREET NAME

B&R MAIL: N LAST RAP: 01/04/2007

DIVINC: HOLD IN ACCT/REINVEST IN MF

DIVOUT: SELL FRACTION

PRM BRK:

APPLIC: ON FILE

PAPERS:

MARGIN AGMT:

MS:

BYPASS MISC FEE?:

OP LVL:

OP ST:

NPL AGMT: N/A

NAV:

MNGD ACCT: N STYP:

RR: DST AGENCY:

RR2:

COMM TYP: CDE: 00000 SCH:

#CONFIRMS: 01 #STMTS: 01

SPEC MARGIN: 000 NO-SEG: CO-MINGLE

NO PURGE: NOBO: AUTH: NO AUTHORIZATION

PARENT ACCT: 000 000000

NEXT CODE

==> MEMO RECORD EXISTS <==

CUSIP SYMBOL NAME DATE
PARENT MKT ORDER TYPE

PROD: LAST CHANGE DATE 01/09/2007
CUSTOMER NAME + ADDRESS PREF:
PETER MARSHALL
[REDACTED] VIP CODES: T C
NEW YORK NY 10022

CUST BANK ACCT ROUT/TRAN NUMBER 000000000 TYPE
SYMB: EXCHANGE Y
TRADING AUTHORIZATION INFO: CODES NAMES

CALL BACK NUMBERS + NAMES: HOME [REDACTED]
OFFICE 0000000000 0000

NEXT CODE ==> MEMO RECORD EXISTS <==

Data Archive for Account: NY3-064033

Date: 01/03/2007 10:09:43

Registration

Registration

OSJ: NY3 - New York

Associate: DST - House Primary

Product Type: Standard Brokerage

Registration Type: Individual

Tax Status: U.S. Citizen

SSN: [REDACTED]

Check here if the client is a BofA employee: No

Legal Country of Residence: United States

Legal State of Residence: New York

Annuity Related Account: No

529 Related Account?: No

Collateral Account: No

Online Channel: Online

Channel Type: Online

Account Parties

PrimaryParty (Individual)

Inherent Risk Score: [REDACTED]

Tax Status: U.S. Citizen

SSN: [REDACTED]

Legal Country of Residence: United States

If a significant portion of the client's source of wealth/revenue/income is derived from a country other than those captured in the country of Citizenship or Residency, please select the country from the drop down.: N/A

Name and Tax Information

Salutation: N/A

First Name: Peter

Middle Name: N/A

Last Name: Marsha

Title: N/A

Date of Birth: [REDACTED]

Identification Information

Identity Verification Method: Drivers License

Driver's License/Government ID Numbers: [REDACTED]

Issuing State: New York

Expiration Date: [REDACTED]/2008

Date Of Issuance: [REDACTED]/2000

Did you physically review original ID?: No

Describe method of verification: KYC - IC Exception

Date of verification: [REDACTED]/2007

Describe verification discrepancy results: KYC - IC Exception

Legal Address

Mailing Address Same as Legal Address: Yes

Attention: N/A

Street Address Line 1: [REDACTED]

Street Address Line 2: N/A

City: new york

Legal State of Residence: New York

ZIP Code: 10022

Employment Information

Source of Income/Revenue: Investment Income

Provide additional details on income: IC Exception

Employment Status: Not Employed

Previous Occupation/Employment: Movie Director

Contact Information

E-mail Address: peterfs32@aol.com

Home Phone: [REDACTED]

Additional Contact: No

Work Phone: N/A

Additional Contact: No

Affiliation Information

Are you employed by or related to an employee of Bank of America or any of its affiliates?: No

Director, Shareholder or Policy Making Officer of Publicly Traded Company: No

Affiliated with a Financial Institution: No

Know Your Customer Information

Estimated Annual Income: \$105,000

Comments (Explain any additional information or documentation, including source of funds for initial investment and source of net worth.): IC Exception

Are you, or any authorized signatories, beneficial owners, trustees, power of attorney or other individuals with authority to effect transactions, a Politically Exposed Person (PEP) also known as Senior Non U.S. Political Figure?: No

Is the client a 25% owner of the following business types?: DEFAULT - Individual

Is the client a 25% owner of the additional following business types?: N/A

Instructions

Instructions

Trade Pending

Trade Pending: No

All account information and agreements sent to each account holder?: No

Sweep Instructions

Preferred Sweep Vehicle: Money Market

Money Market: NSHXX

Link an Account: No

Suitability

Suitability

General Suitability Information

Marital Status: S

Number of Dependents: 0

Specific Investment Knowledge

Stocks: 1982

Bonds: NA

Mutual Funds: NA

Options: NA

Other: NA

Investment Objectives

Preservation of Capital: 2

Income: 3

Appreciation: 1

Speculation: 4

Other: No

Risk Tolerance: Moderate

Initial Portfolio Horizon: Long (Over 10 Years)

Net Worth (Primary and Additional Account Holders)

Combined Annual Income: \$105,000

Household net worth: \$1,230,000

Describe source of net worth: KYC - IC Exception

How was Estimated Net Worth determined?: Other - Explain

Other/Discussion: UNKNOWN

Combined Investable Assets: \$500,000

Federal Tax Bracket: Over 27.5%

Know Your Customer Information

Initial Funding Amount: \$500,000

Primary Source of funds for Initial Investment: Savings

Provide additional details: KYC - IC Exception

Purpose Of Account: Children school/Ed Daily Exp

Expected number of monthly deposits (including wire transactions): 0

Expected monthly wire activity (Incoming): 0

Expected number of monthly withdrawals (including wire transactions): 0

Expected monthly wire activity (Outgoing): 0

Expected Balance to be maintained in the account: \$500,001 - \$1,000,000

Authorization / Referral

There are no Authorizations for this account.

Referral Information

Referral

Referred Account: No

Interested Party

There are no Interested Parties for this account.

Beneficiary

There are no IRA Beneficiaries for this account.

Submitted User

House Primary

Principaled By

Thomas Fagan

Comments

Previous Comments

No comments have been logged to this account.

44314943

NY3064033

New Account Request Information.**Account Information****Account Type:** Standard Brokerage Account**Registration Type:** Individual

Print

Signature Card**Product Name:****Name:** Peter Marsha**Social Security Number:** [REDACTED]**Address:** [REDACTED], new york, NY, 10022**Tracking Number:** 44314943

I/we acknowledge that I/we have received, read, understand and agree to the disclosures set forth in this application and the terms set forth in the separate Customer Agreement, and agree to be bound by such terms and conditions as are currently in effect and may be amended from time to time with or without prior notice. The agreement shall cover individually and collectively all accounts which I/we may open or reopen and shall inure to any successor (whether by merger, consolidation, assignments, transfers, or otherwise) to Banc of America Investment Services, Inc. I/we understand that telephone calls to Banc of America Investment Services, Inc., National Financial Services LLC and Bank of America may be recorded and I/we consent to such recording. All securities and other property now or hereafter held in the brokerage account of the undersigned may be pledged, repledged, hypothecated or rehypothecated, either separately or together with securities of other customers either for the amount due Banc of America Investment Services, Inc. or for a greater sum. Interest on debit balances will be charged and compounded in accordance with the Customer Agreement. I/we acknowledge that information provided by me/us to Banc of America Investment Services, Inc. (whether on this application, verbally or otherwise) may be used to verify my/our identity. I/we acknowledge that you may share information regarding my activity with you among Bank of America affiliates. I/we also agree that you may share information which I/we have provided to you on applications or which you receive from outside sources among the Bank of America affiliates, provided that I/we may tell you that I/we prefer that you do not share this information as provided in the Customer Agreement. I/we acknowledge that by signing the Signature Card, Bank of America and its affiliates may transmit information about me to its brokerage affiliate, Banc of America Investment Services, Inc.

Under the penalties of perjury, I certify that (1) the number shown on this application is my correct taxpayer identification number and (2) I am exempt from back-up withholding or I have not been notified by the IRS that I am subject to back-up withholding as a result of failure to report all interest and dividends, or the IRS notified me that I am no longer subject to back-up withholding and (3) I am a U.S. person (including a U.S. resident alien). Please note: If the IRS notified you that you are subject to back-up withholding because of underreporting (and notice has not been terminated by the IRS), please disregard statement (2) above.

Investment products provided by Banc of America Investment Services, Inc. ®:

Are Not FDIC Insured	May Lose Value	Are Not Bank Guaranteed
-------------------------	-------------------	----------------------------

Banc of America Investment Services, Inc. is a registered broker-dealer,

<https://admin.baisdirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/3/2007

Page 2 of 2

member NASD and SIPC and is a nonbank subsidiary of Bank of America, N.A. Banc of America Investment Services, Inc. is not a tax advisor. We suggest you consult your personal tax advisor before making tax-related investment decisions.

I/we understand that Section 16 on page 3 of the Customer Agreement contains a predispute arbitration clause requiring all disputes under this agreement to be settled by binding arbitration. By signing the Signature Card, I/we have received, read, understand and agree to such arbitration provisions and also acknowledge receiving a copy of the agreement.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Electronic signature provided by applicant 01-03-2007
Peter Marsha Date (MM-DD-YYYY)

Internal User Only

Banc Of America Investment Services, Inc.

Registered rep signature Date (MM-DD-YYYY)

Registered rep name (print)

Registered rep number

Principal signature Date (MM-DD-YYYY)

Account carried by National Financial Services LLC

<https://admin.baisidirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/3/2007

Banc of America Investment Services, Inc.™

070131 290 001172189 C 1
BANC OF AMERICA
INVESTMENT SERVICES INC.
PO BOX 863
RII-541-02-05
LINCOLN, RI 02865

Account Number: NY3-064033

PETER MARSHALL
NEW YORK NY 10022

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Call Center 800 926 1111

Investment products provided by Banc of America Investment Services, Inc.:

ARE NOT FDIC INSURED	MAY LOSE VALUE	ARE NOT BANK GUARANTEED
----------------------	----------------	-------------------------

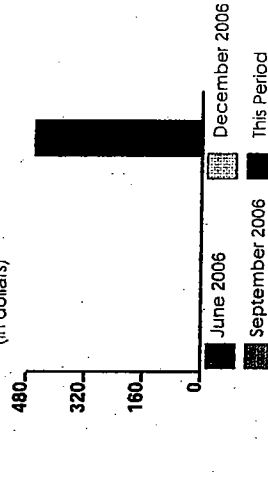
Banc of America Investment Services, Inc. is a registered broker-dealer, member NASD and SIPC and a nonbank subsidiary of Banc of America, N.A.
Portfolio Value
(in dollars)

Statement Date: 01/01/07 to 01/31/07

SNAPSHOT

TOTAL PORTFOLIO
\$468.13

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	\$468.13	\$0.00
TOTAL PORTFOLIO VALUE	\$468.13	\$0.00



A portfolio value less than \$100.00 may not be displayed.

ACCOUNT ACTIVITY

	This Period	Year-To-Date
Net Core Fund Activity	(\$468.13)	(\$468.13)
Net Income and Expenses	\$468.13	\$468.13

LEGEND

() Numbers in parentheses are debits or subtractions
NFS = National Financial Services LLC

Page 1 of 7
070131 290 001172189

Account carried with National Financial Services LLC, Member NYSE, SIPC

Confidential Treatment Requested by BAI under FOIA

BAI-SEC-WBH000041

Account Number: NY3-064033
 Account Name: MARSHA PET
 Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

SUMMARY

PORTFOLIO VALUE		This Period	Prior Period
Cash and Cash Equivalents Money Markets		\$468.13	\$0.00
TOTAL PORTFOLIO VALUE		\$468.13	\$0.00
ACCOUNT ACTIVITY		This Period	Year-To-Date
BEGINNING BALANCE		\$0.00	
Core Fund Activity			
Core Funds Purchased		(\$250,468.13)	(\$250,468.13)
Core Funds Sold		\$250,000.00	\$250,000.00
NET CORE FUND ACTIVITY		(\$468.13)	(\$468.13)
Income and Expenses			
Taxable Income			
Taxable Dividends		\$468.13	\$468.13
NET TAXABLE INCOME		\$468.13	\$468.13
TOTAL INCOME		\$468.13	\$468.13
NET INCOME AND EXPENSES		\$468.13	\$468.13
ENDING BALANCE		\$0.00	

DETAIL

PORTFOLIO VALUE

Mutual Funds, Annuities, and other Investment products are not insured by the FDIC or any other government agency, are not deposits or obligations of, or guaranteed by, Banc of America Investment Services or any affiliate, and are subject to investment risks, including possible loss of principal invested.

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Account Type	Quantity	Price on 01/31/07	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets							

Account Number: NY3-064033
 Account Name: MARSHA PET

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Account Type	Quantity	Price on 01/31/07	Current Market Value	Prior Market Value	Estimated Annual Income
COLUMBIA CASH RESERVES DAILY	NSHXX	CASH	468.13	\$1.00	\$468.13	unavailable	
7 DAY AVG NET YIELD 4.56%							
Dividend Option Reinvest							
Capital Gain Option Reinvest							
Total Cash and Cash Equivalents					\$468.13		

TOTAL PORTFOLIO VALUE

\$468.13

ACCOUNT ACTIVITY

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/12/07	CASH	YOU BOUGHT	COLUMBIA CASH RESERVES DAILY @ 1	250.000	(\$250,000.00)
01/30/07	CASH	REINVESTMENT	COLUMBIA CASH RESERVES DAILY NET DIV REINVEST	468.13	(\$468.13)

Net Core Funds Purchased

(\$250,468.13)

Core Funds Sold

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/31/07	CASH	YOU SOLD	COLUMBIA CASH RESERVES DAILY @ 1	(250.000)	\$250,000.00
Net Core Funds Sold					\$250,000.00

NET CORE FUND ACTIVITY

(\$468.13)

Account Number: NY3-064033
 Account Name: MARSHA PET

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

INCOME AND EXPENSES Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
01/30/07	CASH	DIVIDEND RECEIVED	COLUMBIA CASH RESERVES DAILY DIVIDEND RECEIVED		\$468.13
Net Taxable Income					\$468.13
Total Income					\$468.13
NET INCOME AND EXPENSES					\$468.13

MESSAGES

Did you know that you can access your Banc of America Investment Services, Inc. Self-Directed Brokerage account statements, trade confirms and tax documents online through bankofamerica.com/investments? Once you sign in to your account, simply click on the Statements and Documents link under the Account Info tab to view this information. We encourage you to make the most of your brokerage account's online resources.

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

CURRENT PERIOD FEES & EXPENSES

Units	Fund Name	CUSIP	Annual Expense Ratio *	Current Period Fees & Expenses **	Ending Market Value
468.13	COLUMBIA CASH RESERVES DAILY	19765K605	0.80%	\$0.31	\$468.13

The mutual funds, referenced above, are distributed by Columbia Management Distributors, Inc., an affiliate of Banc of America Investment Services, Inc. Please note fees and expenses charged by these mutual fund providers are included in the share price of each fund and are not deducted from the ending balance on your statement.

* Annual Expense Ratio is based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement. Expense ratios are updated approximately 60 days after the fund's fiscal year-end. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.

** Current Period Fees & Expenses are approximate, they represent a monthly calculation, assume that the investor held shares of the fund valued at the ending balance for the entire statement period, and do not include the effect of any transactions that may have been made during the period.

Additional Disclosures:

- Additional fee information, including a summary of an independent fee consultant's management fee evaluation, is available at www.columbiainvestments.com and in shareholder reports.
- Fees disclosed here for a Fund that invests in multiple underlying Portfolios do not include the Fund's portion of the fees and expenses deducted from the assets of the underlying Portfolios, which varies depending on the amount the Fund invests in particular underlying Portfolios.
- Fees disclosed here for a feeder Fund that invests all of its assets in a single underlying master Portfolio include the Fund's portion of the fees and expenses deducted from the assets of the underlying master Portfolio.
- Fees disclosed here for a Fund that is held through a wrap fee program do not include the separate fees and expenses that are imposed under your wrap fee program.

An investment in a money market mutual fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market mutual funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in money market mutual funds. Past performance is no guarantee of future results. You should carefully consider a fund's investment objectives, risks, charges and expenses before investing. This and other important information is included in the fund's prospectus, which should be read carefully before investing.

The following section details the hypothetical future fee and expense structure associated with your mutual funds distributed by Columbia Management Distributors, Inc.. The following tables are produced for illustrative purposes only and do not guarantee future results. Actual results may vary. The illustrations are based on the assumptions appearing at the end of this section.

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

HYPOTHETICAL FUTURE FEES & EXPENSES

COLUMBIA CASH RESERVES DAILY

Initial Hypothetical Investment ***		Assumed Rate of Return		197656805	
				\$10,000.00	
				5.00%	
Year	Cumulative Return Before Fees & Expenses	Annual Expense Ratio ***	Cumulative Return After Fees & Expenses	Hypothetical Year-End Balance After Fees & Expenses	Annual Fees & Expenses
01	5.00%	0.80%	4.20%	\$10,420.00	\$81.68
02	10.25%	0.87%	8.50%	\$10,850.35	\$92.53
03	15.76%	0.87%	12.98%	\$11,298.47	\$96.35
04	21.55%	0.87%	17.65%	\$11,765.09	\$100.33
05	27.63%	0.87%	22.51%	\$12,250.99	\$104.47
06	34.01%	0.87%	27.57%	\$12,756.96	\$108.78
07	40.71%	0.87%	32.84%	\$13,283.82	\$113.28
08	47.75%	0.87%	38.32%	\$13,832.44	\$117.96
09	55.13%	0.87%	44.04%	\$14,403.72	\$122.83
10	62.89%	0.87%	49.99%	\$14,998.59	\$127.90
Total Gain After Fees & Expenses				\$4,998.59	
Total Annual Fees & Expenses					\$1,066.09

Hypothetical Fees & Expenses:

*** Annual Expense Ratios are based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement, may have been restated to reflect current service provider fees, and are net of any waivers, reimbursement or caps that the fund's manager has committed to the fund for the period of the commitment. Annual Expense Ratio is updated in conjunction with annual updates to the fund's prospectus. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.

**** The hypothetical information shown above calculates the approximate fees & expenses that would be charged on the Initial Hypothetical Investment of \$10,000, based on a 5% return each year. Actual results may vary. The fee and expense information shown assumes that all dividends and distributions are reinvested in the fund.

Assumptions:

- Annual Fees & Expenses are approximate and assume that the investor had an initial investment of \$10,000 and held shares of the fund for an entire 10-year period and do not include contingent deferred sales charges.
- For Class B and G shares, the expense ratios reflect fee reductions resulting from conversion to Class A and Class T shares, respectively, for shares held after year eight.
- For Class A shares, the year one Annual Fees & Expenses & Hypothetical Year-End Balance After Fees & Expenses information shown include the dollar amount and effect of any applicable front-end sales charge of the fund.
- Annual Fees & Expenses are calculated based on the average between the beginning and ending balance for each year. All information is calculated on an annual compounding basis.
- Hypothetical Future Fees & Expenses are hypothetical and should not be used or construed as an offer to sell, a solicitation of an offer to buy, or a recommendation or endorsement of any specific mutual fund. Mutual fund fees & expenses fluctuate over time and actual expenses may be higher or lower than those shown. You should carefully review the fund's prospectus to consider the investment objectives, risks, expenses and charges of the fund prior to investing.

GLOSSARY Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis. **Market Value** - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed at 5 decimal places. This amount represents values obtained from various quotation services, which may in turn, obtain appraisals based on closing prices and/or the mean bid and ask on the last day of the statement period. Accordingly, the accuracy of such prices cannot be guaranteed. The securities may not have value. Securities are identified on, but not limited to, unavailability of pricing or the securities may be unpriced for various reasons including, the front of the statement. Investment decisions should be made only after consulting your broker/dealer for an actual quote. **Estimated Yield and Estimated Annual Income** - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the **CUSTOMER SERVICE** inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker/dealer at the telephone number and address reflected on the front of this statement. National Financial Services LLC ("NFS") carries your brokerage account and acts as your custodian for funds and securities deposited with us directly by you, through your broker/dealer, or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your brokerage account only may be directed to NFS at (617) 563-5977. When contacting either NFS or your broker/dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center of your broker/dealer promptly in writing of any change of address. Please review your statement and report any discrepancies immediately.

ADDITIONAL INFORMATION Customer free credit balances are not segregated and may be used in NFS business, subject to the limitations of 17CFR Section 240.15c3-2 under the Securities and Exchange Act of 1934. You have the right to receive from NFS in the course of normal business operations, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled or any fully paid securities to which you are entitled and any securities purchased on margin upon full payment of any indebtedness to NFS. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker/dealer and/or NFS.

Credit Adjustment Program. Accountholders receiving payments in lieu of qualified dividends may not be eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

Options Customers. Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker/dealer. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker/dealer promptly of any material change in your investment objectives or financial situation. **Splits, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker/dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

Equity Dividend Reinvestment Customers. Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker/dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker/dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in "over-the-counter" securities.

Retirement Contributions/Distributions. A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement.

Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions.

A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

Statement Mailing. NFS will mail statements to customers who have had transactions that affect their cash balances or security positions held in their brokerage accounts during the last monthly reporting period. All other brokerage customers will receive customer statements at least four times during a calendar year as long as the brokerage account contains cash or securities balances. Please keep these statements for your records, as they will help you prepare your income tax returns.

Loads and Fees. In addition to sales loads and 12b-1 fees described in the prospectus, NFS or your

Estimated Yield figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the Estimated Annual Income figure. These figures are estimates only, based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions. **Estimated Value** - If this statement contains an estimated value, you should be aware that this value may be based on a limited number of trades or quotes. Therefore, you may not be able to sell these securities at a price equal or near to the value shown. However, the broker/dealer furnishing this statement may not refuse to accept your order to sell these securities. Also, the amount you receive from a sale generally will be reduced by the amount of any commissions or similar charges. If an estimated value is not shown for a security, a value could not be determined because of lack of information.

Broker/dealer may receive compensation up to 35 basis points of the average daily net assets of certain mutual funds in connection with your purchase of those mutual funds and/or the on-going maintenance of your brokerage account with respect to those shares. The compensation is paid by the mutual fund and/or its affiliate. Additional information about the source and amount of the compensation will be furnished to you upon written request.

Fixed Income. The prices given for fixed income securities on this statement are approximations, not actual market bids or prices and are provided only as a general guide.

Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request.

NYSE and NASD. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house, if any, where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the National Association of Securities Dealers, Inc. ("NASD"). The NASD requires that we notify you in writing of the availability of an investor brochure that includes information describing NASD Regulation's Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or NASD Regulation, contact the NASD Regulation Public Disclosure Program Hotline at (800) 289-9999 or access the NASD's web site at www.nasdr.com.

New York Stock Exchange Rule 382 requires that your broker/dealer and NFS allocate between them certain functions regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker/dealer and NFS. A more complete description is available upon request.

Your broker/dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations including compliance with margin rules pertaining to your margin account, if applicable, and (6) maintaining required books and records for the services that it performs.

NFS shall, at the direction of your broker/dealer: (1) execute, clear and settle transactions processed through NFS by your broker/dealer, (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker/dealer has undertaken to do so). Certain pricing and other information may be provided by your broker/dealer or obtained from third parties, which has not been verified by NFS, (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker/dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities on margin. Your broker/dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs.

Securities in accounts carried by National Financial Services LLC ("NFS"), a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000 (including cash claims limited to \$100,000). For details, please see www.sipc.org. NFS has arranged for additional insurance protection for cash and securities to supplement its SIPC coverage. This additional protection covers total net equity in excess of the \$500,000/\$100,000 coverage provided by SIPC. Neither coverage protects against a decline in the market value of securities. Assets Held Away, commodities, unregistered investment contracts, futures accounts and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

End of Statement

070131 290 001172189

051112

BAI-SEC-WBH000048

Confidential Treatment Requested by BAI under FOIA

Banc of America Investment Services, Inc.™

Account Number: NY3-064033

070228 290 007109668 C 1
BANC OF AMERICA
INVESTMENT SERVICES INC.
PO BOX 863
RII-541-02-05
LINCOLN, RI 02865

PETER MARSHALL
NEW YORK NY 10022

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Call Center 800 926 1111

Investment products provided by Banc of America Investment Services, Inc.:

ARE NOT FDIC INSURED MAY LOSE VALUE ARE NOT BANK GUARANTEED

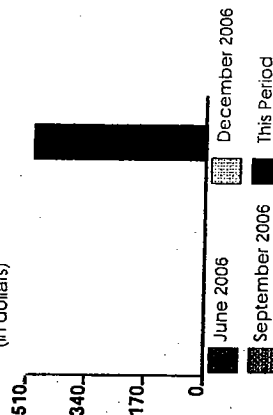
Banc of America Investment Services, Inc. is a registered broker-dealer, member NASD and SIPC and a nonbank subsidiary of Banc of America, N.A.
Portfolio Value
(in dollars)

Statement Date: 02/01/07 to 02/28/07

SNAPSHOT

TOTAL PORTFOLIO
\$501.02

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	\$501.02	\$468.13
TOTAL PORTFOLIO VALUE	\$501.02	\$468.13



A portfolio value less than \$100.00 may not be displayed.

ACCOUNT ACTIVITY

	This Period	Year-To-Date
Net Core Fund Activity	(\$32.89)	(\$501.02)
Net Income and Expenses	\$32.89	\$501.02

LEGEND

() Numbers in parenthesis are debits or subtractions
NFS = National Financial Services LLC

Page 1 of 7
070228 290 007109668

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

SUMMARY

PORTFOLIO VALUE		This Period	Prior Period
Cash and Cash Equivalents			
Money Markets		\$501.02	\$468.13
TOTAL PORTFOLIO VALUE		\$501.02	\$468.13
ACCOUNT ACTIVITY		This Period	Year To Date
BEGINNING BALANCE		\$0.00	
Core Fund Activity			
Core Funds Purchased		(\$32.89)	(\$250,501.02)
Core Funds Sold		\$0.00	\$250,000.00
NET CORE FUND ACTIVITY		(\$32.89)	(\$501.02)
Income and Expenses			
Taxable Income			
Taxable Dividends		\$32.89	\$501.02
NET TAXABLE INCOME		\$32.89	\$501.02
TOTAL INCOME		\$32.89	\$501.02
NET INCOME AND EXPENSES		\$32.89	\$501.02
ENDING BALANCE		\$0.00	

DETAIL

PORTFOLIO VALUE

Mutual Funds, Annuities, and other investment products are not insured by the FDIC or any other government agency, are not deposits or obligations of, or guaranteed by, Banc of America Investment Services or any affiliate, and are subject to investment risks, including possible loss of principal invested.

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Quantity	Price on Account Type	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Quantity	Price on: 02/28/07	Current Market Value	Prior Market Value	Estimated Annual Income
COLUMBIA CASH RESERVES DAILY	NSHXX	501.02	\$1.00	\$501.02	\$468.13	
7 DAY AVG NET YIELD 4.58%	CASH					
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				\$501.02		

TOTAL PORTFOLIO VALUE

\$501.02

ACCOUNT ACTIVITY

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/27/07	CASH	REINVESTMENT	COLUMBIA CASH RESERVES DAILY NET	32.89	(\$32.89)
			DIV REINVEST		
Net Core Funds Purchased					(\$32.89)

NET CORE FUND ACTIVITY

(\$32.89)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
02/27/07	CASH	DIVIDEND RECEIVED	COLUMBIA CASH RESERVES DAILY		\$32.89
			DIVIDEND RECEIVED		

Net Taxable Income

\$32.89

Total Income

\$32.89

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

NET INCOME AND EXPENSES

\$32.89

MESSAGES

IRA contributions to your brokerage account not only allow you to invest for your future, but may also be tax-deductible, up to \$4,000 in 2006 and 2007. While you have until April 17, 2007 to make your 2006 contribution, you can start making 2007 contributions today. To take advantage of this investing opportunity, open a Self-Directed Brokerage IRA with Banc of America Investment Services, Inc. Visit bankofamerica.com/investments to get started.

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

CURRENT PERIOD FEES & EXPENSES

Units	Fund Name	CUSIP	Annual Expense Ratio **	Current Period Fees & Expenses **	Ending Market Value
501.02	COLUMBIA CASH RESERVES DAILY	19765K605	0.80%	\$0.33	\$501.02

The mutual funds, referenced above, are distributed by Columbia Management Distributors, Inc., an affiliate of Banc of America Investment Services, Inc. Please note fees and expenses charged by these mutual fund providers are included in the share price of each fund and are not deducted from the ending balance on your statement.

- * Annual Expense Ratio is based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement. Expense ratios are updated approximately 60 days after the fund's fiscal year-end. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.
- ** Current Period Fees & Expenses are approximate, they represent a monthly calculation, assume that the investor held shares of the fund valued at the ending balance for the entire statement period, and do not include the effect of any transactions that may have been made during the period.

Additional Disclosures:

- Additional fee information, including a summary of an independent fee consultant's management fee evaluation, is available at www.columbiainvestments.com and in shareholder reports.
- Fees disclosed here for a Fund that invests in multiple underlying Portfolios do not include the Fund's portion of the fees and expenses deducted from the assets of the underlying Portfolios, which varies depending on the amount the Fund invests in particular underlying Portfolios.
- Fees disclosed here for a feeder Fund that invests all of its assets in a single underlying master Portfolio include the Fund's portion of the fees and expenses deducted from the assets of the underlying master Portfolio.
- Fees disclosed here for a Fund that is held through a wrap fee program do not include the separate fees and expenses that are imposed under your wrap fee program.

An investment in a money market mutual fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market mutual funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in money market mutual funds. Past performance is no guarantee of future results. You should carefully consider a fund's investment objectives, risks, charges and expenses before investing. This and other important information is included in the fund's prospectus, which should be read carefully before investing.

The following section details the hypothetical future fee and expense structure associated with your mutual funds distributed by Columbia Management Distributors, Inc.. The following tables are produced for illustrative purposes only and do not guarantee future results. Actual results may vary. The illustrations are based on the assumptions appearing at the end of this section.

Account Number: NY3-064033
Account Name: MARSHA PET

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

HYPOTHETICAL FUTURE FEES & EXPENSES

COLUMBIA CASH RESERVES DAILY

Initial Hypothetical Investment ***
Assumed Rate of Return

1976K605

\$10,000.00

5.00%

	Cumulative Return Before Fees & Expenses	Annual Expense Ratio ***	Cumulative Return After Fees & Expenses	Hypothetical Year-End Balance After Fees & Expenses	Annual Fees & Expenses
01	5.00%	0.80%	4.20%	\$10,420.00	\$81.68
02	10.25%	0.87%	8.50%	\$10,850.35	\$92.53
03	15.76%	0.87%	12.98%	\$11,298.47	\$96.35
04	21.55%	0.87%	17.65%	\$11,765.09	\$100.33
05	27.63%	0.87%	22.51%	\$12,250.99	\$104.47
06	34.01%	0.87%	27.57%	\$12,756.96	\$108.78
07	40.71%	0.87%	32.84%	\$13,283.82	\$113.28
08	47.75%	0.87%	38.32%	\$13,832.44	\$117.96
09	55.13%	0.87%	44.04%	\$14,403.72	\$122.83
10	62.89%	0.87%	49.99%	\$14,998.59	\$127.90
Total Gain After Fees & Expenses				\$4,998.59	
Total Annual Fees & Expenses					\$1,066.09

Hypothetical Fees & Expenses:

*** Annual Expense Ratios are based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement, may have been restated to reflect current service provider fees, and are net of any waivers, reimbursement or caps that the fund's manager has committed to the fund for the period of the commitment. Annual Expense Ratio is updated in conjunction with annual updates to the fund's prospectus. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.

*** The hypothetical information shown above calculates the approximate fees & expenses that would be charged on the Initial Hypothetical Investment of \$10,000, based on a 5% return each year. Actual results may vary. The fee and expense information shown assumes that all dividends and distributions are reinvested in the fund.

Assumptions:

- Annual Fees & Expenses are approximate and assume that the investor had an initial investment of \$10,000 and held shares of the fund for an entire 10-year period and do not include contingent deferred sales charges.
- For Class B and G shares, the expense ratios reflect fee reductions resulting from conversion to Class A and Class T shares, respectively, for shares held after year eight.
- For Class A shares, the year one Annual Fees & Expenses & Hypothetical Year-End Balance After Fees & Expenses information shown include the dollar amount and effect of any applicable front-end sales charge of the fund.
- Annual Fees & Expenses are calculated based on the average between the beginning and ending balance for each year. All information is calculated on an annual compounding basis.
- Hypothetical Future Fees & Expenses are hypothetical and should not be used or construed as an offer to sell, a solicitation of an offer to buy, or a recommendation or endorsement of any specific mutual fund. Mutual fund fees & expenses fluctuate over time and actual expenses may be higher or lower than those shown. You should carefully review the fund's prospectus to consider the investment objectives, risks, expenses and charges of the fund prior to investing.

GLOSSARY Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis. **Market Value** - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed at 5 decimal places. This amount represents values obtained from various quotation services, which may in turn, obtain appraisals based on closing prices and/or the mean bid and ask on the last day of the statement period. Accordingly, the accuracy of such prices cannot be guaranteed. The securities may be unpriced for various reasons including, but not limited to, unavailability of pricing or the securities may not have value. Securities are identified on the front of the statement. Investment decisions should be made only after consulting your broker/dealer for an actual quote. **Estimated Yield and Estimated Annual Income** - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the **CUSTOMER SERVICE** Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker/dealer at the telephone number and address reflected on the front of this statement. National Financial Services LLC ("NFS") carries your brokerage account and acts as your custodian for funds and securities deposited with us directly by you, through your broker/dealer, or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your brokerage account only may be directed to NFS at (617) 563-5977. When contacting either NFS or your broker/dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center of your broker/dealer promptly in writing of any change of address. Please review your statement and report any discrepancies immediately.

ADDITIONAL INFORMATION Customer free credit balances are not segregated and may be used in NFS business, subject to the limitations of 17CFR Section 240.15c3-2 under the Securities and Exchange Act of 1934. You have the right to receive from NFS in the course of normal business operations, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled or any fully paid securities to which you are entitled and any securities purchased on margin upon full payment of any indebtedness to NFS. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker/dealer and/or NFS.

Credit Adjustment Program. Accountholders receiving payments in lieu of qualified dividends may not be eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

Options Customers. Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker/dealer. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker/dealer promptly of any material change in your investment objectives or financial situation. **Spills, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker/dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

Equity Dividend Reinvestment Customers. Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker/dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker/dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in "over-the-counter" securities.

Retirement Contributions/Distributions. A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement.

Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions.

A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

Statement Mailing. NFS will mail statements to customers who have had transactions that affect their cash balances or security positions held in their brokerage accounts during the last monthly reporting period. All other brokerage customers will receive customer statements at least four times during a calendar year as long as the brokerage account contains cash or securities balances. Please keep these statements for your records, as they will help you prepare your income tax returns.

Loads and Fees. In addition to sales loads and 12b-1 fees described in the prospectus, NFS or your

Estimated Yield figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the Estimated Annual Income figure. These figures are estimates only, based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions. **Estimated Value** - If this statement contains an estimated value, you should be aware that this value may be based on a limited number of trades or quotes. Therefore, you may not be able to sell these securities at a price equal or near to the value shown. However, the broker/dealer furnishing this statement may not refuse to accept your order to sell these securities. Also, the amount you receive from a sale generally will be reduced by the amount of any commissions or similar charges. If an estimated value is not shown for a security, a value could not be determined because of lack of information.

broker/dealer may receive compensation up to 35 basis points of the average daily net assets of certain mutual funds in connection with your purchase of those mutual funds and/or the on-going maintenance of your brokerage account with respect to those shares. The compensation is paid by the mutual fund and/or its affiliate. Additional information about the source and amount of the compensation will be furnished to you upon written request.

Fixed Income. The prices given for fixed income securities on this statement are approximations, not actual market bids or prices and are provided only as a general guide.

Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request.

NYSE and NASD. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house, if any, where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the National Association of Securities Dealers, Inc. ("NASD"). The NASD requires that we notify you in writing of the availability of an investor brochure that includes information describing NASD Regulation's Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or NASD Regulation, contact the NASD Regulation Public Disclosure Program Hotline at (800) 289-9998 or access the NASD's web site at www.nasdr.com.

New York Stock Exchange Rule 382 requires that your broker/dealer and NFS allocate between them certain functions regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker/dealer and NFS. A more complete description is available upon request.

Your broker/dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations including compliance with margin rules pertaining to your margin account, if applicable, and (6) maintaining required books and records for the services that it performs.

NFS shall, at the direction of your broker/dealer: (1) execute, clear and settle transactions processed through NFS by your broker/dealer, (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker/dealer has undertaken to do so). Certain pricing and other information may be provided by your broker/dealer or obtained from third parties, which has not been verified by NFS, (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker/dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities on margin. Your broker/dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs.

Securities in accounts carried by National Financial Services LLC ("NFS"), a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000 (including cash claims limited to \$100,000). For details, please see www.sipc.org. NFS has arranged for additional insurance protection for cash and securities to supplement its SIPC coverage. This additional protection covers total account net equity in excess of the \$500,000/\$100,000 coverage provided by SIPC. Neither coverage protects against a decline in the market value of securities. Assets Held Away, commodities, unregistered investment contracts, futures accounts and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

End of Statement

BAI-SEC-WBH000056

Confidential Treatment Requested by BAI under FOIA

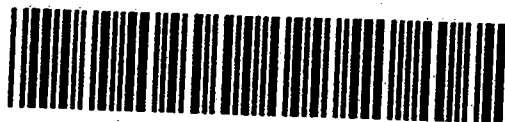
/CUSIP	Security Price	Price Type	Electronic Order Number	Execution Time	Execution Price	Execution Quantity	Order Condition	Time in Force	Security Description	FBI Order Number
	0.015	LIMIT	011K5213	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	TRIMOL GROUP INC	07011BMZRN
	0.015	LIMIT	011K5213	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	TRIMOL GROUP INC	07011BMZRN
	0.015	LIMIT	011K5213	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	TRIMOL GROUP INC	07011BMZRN
	0.015	LIMIT	011K5213	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	TRIMOL GROUP INC	07011BMZRN
	0.011	LIMIT	011K5213	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	TRIMOL GROUP INC	07011BMZRN
	0.007	LIMIT	011K5830	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011B7ZXG
	0.007	LIMIT	011K5830	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.007	LIMIT	011K5830	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.007	LIMIT	011K5830	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.12	LIMIT	011L2509	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011B7XNW
	0.05	LIMIT	011L2947	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.05	LIMIT	011L3713	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.05	LIMIT	011L3713	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.05	LIMIT	011L4144	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB
	0.05	LIMIT	011L4144	n/a	n/a	n/a	UNSOLICITED	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	07011BPHBB

Account #	Account Name	IP Address
CV4208256	PETER MORGAN	70.116.107.167
NY3057860	JOHN MURPHY	70.5.118.15
NY3056871	DONNA MURPHY	69.122.128.66
NY3060003	LARISA KORSHAK	70.5.159.171
NY3060160	ROBERT MCKEON	70.5.144.233
NY3064033	PETER MARSHALL	67.102.52.250 / 24.90.135.144
NY3065714	JOSEFA ROSA	24.90.135.144
NY3064068	Ralph Saal	24.90.135.144

User	Account	Date	IP	Location	Referrer Address
peas2468	CY4208256	12/20/2006 10:15	70.116.107.167	houston, texas, united states, n(fixed)	ref:https://www.baisdirect.com/login.html
johnmurford417	NY2057860	N/A	N/A	N/A	N/A
DONNAHUR56	NY2058871	N/A	N/A	N/A	N/A
linter129	NY3060003	12/27/2006 12:29	70.5.159.171	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		12/26/2006 9:44	64.39.38.145	frankfurt am main, hessen, germany, baisdirect (none)	ref:https://www.baisdirect.com/shared/modules/authentication.php
		12/26/2006 2:53	70.5.113.161	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		12/20/2006 4:10	68.80.88.114	berks, pennsylvania, united states, comcast (fixed)	ref:https://www.baisdirect.com/login.html
		12/20/2006 3:46	70.116.107.167	houston, texas, united states, n(fixed)	ref:https://www.baisdirect.com/login.html
		12/20/2006 3:41	70.116.107.167	houston, texas, united states, n(fixed)	ref:https://www.baisdirect.com/login.html
		12/20/2006 1:08	70.116.107.167	houston, texas, united states, n(fixed)	ref:https://www.baisdirect.com/login.html
		1/23/2007 2:02	24.90.135.144	new york, new york, united states, n(fixed)	ref:https://www.baisdirect.com/index.html
		1/18/2007 10:08	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/login.html
		1/18/2007 8:26	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/login.html
		1/18/2007 1:14	172.169.51.245	vienna, virginia, united states, aol (oldslap)	ref:https://www.baisdirect.com/index.html
		1/17/2007 9:40	171.159.192.10	richmond, virginia, united states, bankofamerica (international proxy)	ref:https://www.baisdirect.com/index.html
		1/17/2007 9:34	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/17/2007 8:32	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/17/2007 6:50	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/17/2007 6:37	70.5.127.209	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/17/2007 2:47	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/16/2007 8:51	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/16/2007 5:41	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/16/2007 2:00	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/13/2007 10:12	69.114.217.133	new york, new york, united states, optonline (fixed)	ref:https://www.baisdirect.com/index.html
		1/12/2007 10:02	68.244.205.113	pennsauleen, new jersey, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/12/2007 11:05	69.114.217.133	new york, new york, united states, optonline (fixed)	ref:https://www.baisdirect.com/index.html
		1/12/2007 1:37	68.244.241.154	pennsauleen, new jersey, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/12/2007 1:37	68.244.241.154	pennsauleen, new jersey, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/9/2007 12:18	70.5.24.3	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/9/2007 11:07	70.5.206.18	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/8/2007 5:46	68.246.128.57	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/index.html
		1/8/2007 1:46	24.90.135.144	new york, new york, united states, n(fixed)	ref:https://www.baisdirect.com/index.html
		1/7/2007 11:04	64.39.38.145	frankfurt am main, hessen, germany, baisdirect (none)	ref:https://www.baisdirect.com/index.html
jlgman07	NY3065714	1/23/2007 2:03	24.90.135.144	new york, new york, united states, n(fixed)	ref:https://www.baisdirect.com/login.html
		1/18/2007 10:04	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/index.html
		1/18/2007 3:19	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/login.html
		1/17/2007 11:08	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/login.html
		1/17/2007 9:55	67.102.52.250	moden, virginia, united states, covad (fixed)	ref:https://www.baisdirect.com/login.html
		1/17/2007 9:41	171.159.192.10	richmond, virginia, united states, bankofamerica (international proxy)	ref:https://www.baisdirect.com/login.html
		1/17/2007 9:41	171.159.192.10	richmond, virginia, united states, bankofamerica (international proxy)	ref:https://www.baisdirect.com/login.html
		1/17/2007 6:38	70.5.127.209	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/login.html
		1/17/2007 5:35	70.5.127.209	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/login.html
		1/16/2007 11:22	153.25.111.60	sumter, south carolina, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/login.html
		1/16/2007 12:20	70.5.24.3	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/login.html
		1/9/2007 10:42	68.246.128.57	new york, new york, united states, speednet (mobile gateway)	ref:https://www.baisdirect.com/login.html
		1/7/2007 9:07	172.165.83.189	vienna, virginia, united states, aol (oldslap)	ref:https://www.baisdirect.com/login.html

Albert Melino

BEGIN FORM - Terms and Conditions Effective Friday, January 5, 2007 at 11:12:18

**For Internal Use Only**

Account Number: 785-979281
 Type: Individual
 Registration: Cash
 Branch ID: NYC 92455
 Referrer: direct
 Ad Code: WEB
 Offer Code: 170

Mailing Address
 Standard Delivery:
 Overnight:
 Fax:

PO Box 2760, Omaha, NE 68103-2760
 1005 N. Ameritrade Place, Bellevue, NE 68005
 816-243-3769

New Account Information

Type:
 Registration:
 Receive Corp. Communications:
 E-mail Address:
 Account Statement:
 Trade Confirmation:
 Funding:
 Sweep Vehicle:

Individual
 Cash
 Yes
 FREDSTAR1@YAHOO.COM
 Monthly Electronic
 Electronic
 Express Funding
 TD AMERITRADE Cash (Protected by SIPC)

Account Owner
 Name:
 Street Address:

ALBERT MELINO
 [REDACTED]
 BROOKLYN, NY 11226-2302
 UNITED STATES OF AMERICA
 Single

Marital Status:
 Home Phone:
 Social Security Number:
 Date of Birth:
 Other's Maiden Name:
 Citizenship:
 Employment Status:
 Corporate Affiliation:
 ASD Affiliation:

[REDACTED]
 [REDACTED]
 [REDACTED]
 [REDACTED]
 US Citizen/Permanent Resident
 Unemployed
 No
 No

Financial Questionnaire

Income:
 Net Worth:
 Liquid Networth:
 Number of Dependents:

\$50,000 - 99,999
 \$50,000 - 99,999
 \$50,000 - 99,999
 1

Bank Information

Deposit Amount:
 Bank Account Name:
 Bank Name:
 Bank Nickname:
 Bank City:
 Bank State:
 Bank Routing Number:
 Bank Account Number:
 Bank Account Type:
 Tracking Number:
 Transaction Date:

55000.00
 ALBERT MELINO
 CITIBANK

NEW YORK
 NY

[REDACTED] 5537
 C
 78597928120210801
 11/20/2006 21:08:06

CASH AGREEMENT

Under penalties of perjury, I certify (1) that the Social Security Number shown on this form is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) I am a U.S. person (including a resident alien); provided, however, if I am a non-resident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit a W-8BEN Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out (2) in this certification.

I have received and read the terms and conditions, which are incorporated by this reference, that will govern my account. I agree to be bound by these terms and conditions, as amended from time to time, and request an account to be opened in the name(s) set forth below.

The Terms and Conditions applicable to this Brokerage Account Agreement contain pre-dispute arbitration clauses. I agree to be bound by the terms of the agreement including the arbitration agreement located at paragraphs 92 - 94 of the Terms and Conditions.

All securities, dividends and proceeds will be held at Ameritrade Clearing, Division of Ameritrade, Inc. unless otherwise instructed.

I understand that non-deposit investments purchased through TD AMERITRADE are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

I understand my available cash balance will earn interest in my brokerage account as TD AMERITRADE Cash (Covered by SIPC) based on current rates and policies, which may be changed without prior notice.

Important Information about procedures for opening a new account:
To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

I understand that TD AMERITRADE may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit-reporting agencies. Upon my request, TD AMERITRADE shall inform me of each consumer or credit-reporting agency from which they have obtained and/or reported my consumer or credit report. TD AMERITRADE agrees to notify the consumer or credit-reporting agencies if I dispute the completeness or accuracy of the information furnished by TD AMERITRADE. By my signature below, I authorize TD AMERITRADE to obtain consumer or credit reports for the name(s) set forth below.

Account Owner(s) must sign.

Date

X

ALBERT MELINO

Account Number: 785-979281

For Ameritrade Clearing use only

New Accounts Opened By (initial & date):

Account Number: 785-979281

AWD History for Work object key 2006-11-21-06.53.11.598648T01
AMTD - PRINREVIEW - COMPLETED - END - Updateable
000785979281 - MELINO - 108642357 - 2006-11-21-06.53.11.598648
Primary SSN: [REDACTED] Acct Type/Add Change: 000785979281
Last Name: MELINO

Printed on Friday, January 05, 2007 at 11:04:47AM

=====

Begin Date:	2006-11-22	Flags:	
Begin Time:	06:07:06	DTM Job Name:	
User Id:	CLICLA	DTM Return Code:	
Workstation Id:		DTM Task Name:	
Business Area:		DTM Next Task:	
Type:		End Date:	2006-11-22
Status:		End Time:	06:07:06
Queue:			
User Name:	Click, Lisa A		
DTM Description:			
Comments:	Account approved for Cash. Denied Margin.		

Begin Date:	2006-11-21	Flags:	
Begin Time:	06:53:15	DTM Job Name:	
User Id:	CLICLA	DTM Return Code:	
Workstation Id:		DTM Task Name:	
Business Area:		DTM Next Task:	
Type:		End Date:	2006-11-21
Status:		End Time:	06:53:15
Queue:			
User Name:	Click, Lisa A		
DTM Description:			
Comments:	The following account didn't automatically qualify for the requested margin/option level. A principal will review the account for further approval.		

Account Number: 785-979281

Account Type: Individual

Primary Account Holder Name: albert melino

Email Address: fredstar1@yahoo.com

Account Registration: Cash & Margin

Holder Name: albert melino

Address: [REDACTED] BROOKLYN, NY, 11226

Primary Phone: [REDACTED]

Marital Status: [REDACTED]

SSN: [REDACTED]

Date of Birth: [REDACTED]

Citizenship: [REDACTED]

Corporate Affiliation: US Citizen/Permanent Resident

NASD Affiliation: No

SFPF Affiliation: No

Employment Information

AWD History for Work object key 2006-11-21-06.53.11.598648T01
AMTD - PRINREVIEW - COMPLETED - END - Updateable
000785979281 - MELINO - 108642357 - 2006-11-21-06.53.11.598648
Primary SSN: [REDACTED] Acct Type/Add Change: 000785979281
Last Name: MELINO

Printed on Friday, January 05, 2007 at 11:04:47AM

Status: Unemployed

Option Information

Options were not requested.

Margin Information

Number of Dependents	1
Income	\$50,000 - 99,999
Net Worth	\$50,000 - 99,999
Liquid Net Worth	\$50,000 - 99,999

Assigned Margins:	No
Requested Margins:	Yes
Assigned Option Level:	N/A

Options Requested albert melino

Options were not requested.

Acct: 785-979281

Date: 11/20/2006

Amount: \$55,000

Bank: Citibank [REDACTED]

Acct: [REDACTED] 5537

ACCOUNT NO.	YOUR REPRESENTATIVE
E785-979281	PF

STATEMENT PERIOD	PAGE
10/28/06-11/24/06	1-2

TD AMERITRADE

1122623023

ALBERT MELINO

BROOKLYN, NY 11226-2302

IMPROVED TD AMERITRADE WEB SITE.
THE WAY YOU TRADE AND CHECK YOUR
BALANCES IS THE SAME, BUT YOU'LL
FIND NEW MENU CHOICES, EXPANDED
RESEARCH AND SHORTCUTS LOG ON
AND CHECK OUT THE CHANGES TODAY!

ACCOUNT SUMMARY AS OF 11/24/06

CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY

Cash Account (1) Balance	Current Month Value	% of Long Value
*Net Account Value	\$55,100	
*Total Equity	\$55,100	
*Excludes unpriced securities (NP)	\$55,100	

Money Balance Summary

Account Type	Opening Balance	Closing Balance
Cash Account (1)	\$0.00	\$55,100.00
Net Cash Balance	\$0.00	\$55,100.00
Total Money Balance	\$0.00	\$55,100.00

Account Activity

Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
11/20/06	11/20/06	OPENING BAL CASH ACCOUNT JOURNAL				\$0.00
11/20/06	11/21/06	Cash Award				100.00
		Electronic Funding				\$5,000.00
		CLOSING BAL CASH ACCOUNT				\$55,100.00
		TOTAL ACCOUNT BALANCE				\$55,100.00

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
E785-979281	ALBERT MELINO	10/28/06-11/24/06	2-2

TD AMERITRADE

TD Ameritrade Cash Interest Credited			
Beg. Date	Credit Balance	Nbr./Days	Int. Rate Interest Credited
11/21/06	\$55,100.00	4	1.0500 \$6.34
TOTAL INTEREST TO BE CREDITED			\$6.34

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

ACCOUNT NO.	YOUR REPRESENTATIVE
785-979281	FF

STATEMENT PERIOD	PAGE
11/25/06-12/29/06	1-4

TD AMERITRADE

1058368366
 ALBERT MELINO
 SCARSDALE, NY 10583-6836

TD AMERITRADE INVESTMENT SOLUTIONS.
 VISIT THE BRANCH NEAR YOU FOR A
 FREE INVESTMENT CONSULTATION AND
 DISCOVER WHICH LEVEL OF SUPPORT
 BEST SUITS YOUR NEEDS. USE THE
 ONLINE BRANCH LOCATOR.

ACCOUNT SUMMARY AS OF 12/29/06 CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY

Current Month Value	% of Long Value
Cash Account (1) Balance (\$19)	
*Net Account Value (\$19)	
*Total Equity (\$19)	
*Excludes unpriced securities(NP)	

Money Balance Summary

Account Type	Opening Balance	Closing Balance
Cash Account (1)	\$55,100.00	(\$18.66)
Net Cash Balance	\$55,100.00	(\$18.66)
Total Money Balance	\$55,100.00	(\$18.66)

Income Summary

Description	Current	Year to Date
Interest Income Credit Balance	\$6.34	\$6.34

Account Activity

Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
11/27/06	11/27/06	OPENING BAL CASH ACCOUNT				\$55,100.00
		DIV/INT				6.34
11/28/06	11/28/06	Interest Credit				
		Payable: 11/24/2006				
		Eff Return - R01 - Insufficient			55,000.00	

--CONTINUED ON NEXT PAGE--

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
785-979281	ALBERT MELINO	11/25/06-12/29/06	3-4
			TD AMERITRADE

Important Information

CAPITAL AND A NET CAPITAL REQUIREMENT OF \$397,033,179 AND \$88,891,436, RESPECTIVELY. A COPY OF THE REPORT AND COMMENTS IS CURRENTLY AVAILABLE FOR CLIENTS' INSPECTION AT THE PRINCIPAL OFFICE OF THE COMMISSION IN WASHINGTON DC AND THE DENVER, CO OFFICE OF THE COMMISSION.

-----ANTI-MONEY LAUNDERING REQUIREMENTS-----
 THE USA PATRIOT ACT -- THE USA PATRIOT ACT IS DESIGNED TO DETECT, DETER, AND PUNISH TERRORISM IN THE UNITED STATES AND ABROAD. THE ACT IMPOSES ANTI-MONEY LAUNDERING REQUIREMENTS ON BROKERAGE FIRMS AND FINANCIAL INSTITUTIONS. ALL BROKERAGE FIRMS ARE REQUIRED TO HAVE COMPREHENSIVE ANTI-MONEY LAUNDERING PROGRAMS. TO HELP YOU UNDERSTAND THESE EFFORTS, WE WANT TO PROVIDE YOU WITH SOME INFORMATION ABOUT MONEY LAUNDERING AND OUR STEPS IMPLEMENTING THE USA PATRIOT ACT.

----- WHAT IS MONEY LAUNDERING? -- MONEY LAUNDERING IS THE PROCESS OF DISGUISSING ILLEGALLY OBTAINED MONEY SO THAT THE FUNDS APPEAR TO COME FROM LEGITIMATE SOURCES OR ACTIVITIES. MONEY LAUNDERING OCCURS IN CONNECTION WITH A WIDE VARIETY OF CRIMES, INCLUDING ILLEGAL ARMS SALES, DRUG TRAFFICKING, ROBBERY, FRAUD, RACKETEERING, AND TERRORISM.
 ----- HOW BIG IS THE PROBLEM AND WHY IS IT IMPORTANT? -- THE USE OF THE U.S. FINANCIAL SYSTEM BY CRIMINALS TO FACILITATE TERRORISM OR OTHER CRIMES COULD WELL TAINT OUR FINANCIAL MARKETS. ACCORDING TO THE U.S. STATE DEPARTMENT, ONE RECENT ESTIMATE PUTS THE AMOUNT OF WORLDWIDE MONEY LAUNDERING ACTIVITY AT \$1 TRILLION A YEAR.

-----ANTI-MONEY LAUNDERING REQUIREMENTS CONTINUED-----
 WHAT ARE WE REQUIRED TO DO TO ELIMINATE MONEY LAUNDERING? -- UNDER RULES REQUIRED BY THE USA PATRIOT ACT, OUR ANTI-MONEY LAUNDERING PROGRAM MUST DESIGNATE A SPECIAL COMPLIANCE OFFICER, SET UP EMPLOYEE TRAINING, CONDUCT INDEPENDENT AUDITS, AND ESTABLISH AND MAINTAIN POLICIES AND PROCEDURES TO DETECT AND REPORT SUSPICIOUS TRANSACTIONS AND ENSURE COMPLIANCE WITH THE NEW LAWS. TO HELP THE GOVERNMENT FIGHT THE FUNDING OF TERRORISM AND MONEY LAUNDERING ACTIVITIES, FEDERAL LAW REQUIRES ALL FINANCIAL INSTITUTIONS TO OBTAIN, VERIFY, AND RECORD INFORMATION THAT IDENTIFIES EACH PERSON WHO OPENS AN ACCOUNT. AS PART OF OUR REQUIRED PROGRAM, WE MAY ASK YOU TO PROVIDE VARIOUS IDENTIFICATION DOCUMENTS OR OTHER INFORMATION. UNTIL YOU PROVIDE THE INFORMATION OR DOCUMENTS WE NEED, WE MAY NOT BE ABLE TO OPEN AN ACCOUNT OR EFFECT ANY TRANSACTIONS FOR YOU.
 -----WE THANK YOU FOR YOUR PATIENCE AND HOPE THAT YOU WILL SUPPORT US IN OUR EFFORTS TO DENY TERRORIST GROUPS ACCESS TO AMERICA'S FINANCIAL SYSTEM.
 -----(C) 2002. NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. (NASD). ALL RIGHTS RESERVED

PURSUANT TO SEC RULE 11aC1-6 A WRITTEN COPY OF THE AMERITRADE, INC. ORDER ROUTING DISCLOSURES IS AVAILABLE UPON REQUEST.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY.

-----CONTINUED ON NEXT PAGE-----

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
785-979281	ALBERT MELINO	11/25/06-12/29/06	4-4

TD AMERITRADE

Important Information

AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

TRADING ON MARGIN POSES ADDITIONAL RISKS AND IS NOT SUITABLE FOR ALL INVESTORS. A COMPLETE LIST OF THE RISKS ASSOCIATED WITH MARGIN TRADING IS AVAILABLE IN THE MARGIN RISK DISCLOSURE DOCUMENT. YOU MAY OBTAIN A COPY OF THIS DOCUMENT AT: WWW.TDAMERITRADE.COM, OR BY CONTACTING A TD AMERITRADE REPRESENTATIVE AT 800-669-3900.

Client Acct No.	Name	Username		
785-934420	Ronald Frankel	ronaldfran1		
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail
12/1/06 10:46 PM	ronaldfran1	A000000018309177	172.163.95.148	S
12/2/06 10:06 PM	ronaldfran1	A000000018309177	24.90.135.144	S
12/4/06 8:40 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/4/06 11:50 PM	ronaldfran1	A000000018309177	172.164.2.6	S
12/5/06 2:21 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 3:00 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 3:34 AM	ronaldfran1	A000000018309177	24.90.135.144	S
12/5/06 8:04 AM	ronaldfran1	A000000018309177	24.90.135.144	S
Client Acct No.	Name	Username		
785-979281	Albert Melino	bertomelino17		
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail
12/1/06 10:42 PM	bertomelino17	A000000018333910	172.163.95.148	S

Client					
785-979281	Melino, Albert				
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail	
11/20/06 8:09 PM	bertomelino17	A000000018333910	24.90.135.144	S	Road Runner HoldCo
12/1/06 10:42 PM	bertomelino17	A000000018333910	172.163.95.148	S	America Online

John & Donna Murphy

FOR OFFICE USE ONLY	
003 SUB FIRM NUMBER	PD01 REP CODE
	678511 ACCOUNT WIZARD REFERENCE NUMBER
4471 TAX ID NUMBER	3290835 CHAMP CASE ID



Account Wizard Record (AWR)

REVIEWER'S SIGNATURE			
REP CODE PD01		REP NAME WELLS FARGO ONLINE REP	
ORIGINATING REP CODE/SOLD REP CODE		ORIGINATING REP NAME/SOLD REP NAME	
NAME OF BRANCH AUTHORIZED PRINCIPAL		AUTHORIZED PRINCIPAL SIGNATURE <i>S. Wells</i>	DATE 4/8/07
<p>Note: Branch Authorized Principal's signature is required here for accounts not opened through the Account Wizard which includes DVP, Coogan, Beneficiary IRAs, Prime Broker, Executing Prime Broker accounts or accounts with an NASD Class Affiliation of W.</p>			
<input checked="" type="checkbox"/> New Account <input type="checkbox"/> Change To Existing Account		ACCOUNT CLASSIFICATION WELLSTRADE	CHAMP CASE ID 3290835
ACCOUNT CLASSIFICATION			
INVESTMENT ACCESS (MK01) SCREENING AND INFORMATION			
APPROXIMATE ACCOUNT VALUE WITHIN 120 DAYS OF ACCOUNT OPENING		CLIENTLINK RELATIONSHIP VALUE (EXCLUDING MORTGAGE)	
ASSIGN REP CODE MK01	REASON FOR EXCLUSION		
REGISTRATION - ACCOUNT TYPE AND TITLE			
REGISTRATION TYPE JOINT TENANTS			
ACCOUNT TITLE JOHN J MURPHY & DONNA M MURPHY JTEN			
ACCOUNT ATTRIBUTES			
PERIODIC INVESTMENT/WITHDRAWAL PLAN <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		DUPLICATE CONFIRM/STATEMENT <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
MARGIN <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	OPTIONS <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	PROVIDED CLIENT WITH REQUIRED DOCUMENTS <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
OPEN INQUIRY			
INITIAL TRANSACTION (Type and dollar amount)		MOTHER'S MAIDEN NAME [REDACTED]	
INTRODUCED BY <input type="checkbox"/> A Walk In <input type="checkbox"/> B Phone In <input type="checkbox"/> C Known Personally <input type="checkbox"/> D Advertisement <input type="checkbox"/> E Existing Client <input type="checkbox"/> G Direct Mail <input type="checkbox"/> H Telephone Solicitation <input type="checkbox"/> I Specific Promotion <input type="checkbox"/> J WF Employee Referral <input type="checkbox"/> O Other			
AU	EMPLOYEE NAME	EMPLOYEE NUMBER	
APP SOURCE INET		PROMO CODE	
ANY CLIENT A SPOUSE OR A DOMESTIC PARTNER OF A WELLS FARGO & CO EMPLOYEE <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		EMPLOYEE NAME	EMPLOYEE NUMBER
WELLS FARGO & CO EMPLOYEE <input type="checkbox"/> Yes <input type="checkbox"/> No			
ARE ANY CLIENTS ASSOCIATED WITH THIS ACCOUNT A RETIRED EMPLOYEE OR IMMEDIATE BENEFICIAL FAMILY MEMBER <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
PRIMARY CLIENT IDENTITY INFORMATION			
CLIENT TYPE (Internal use) PRIMARY OWNER		APPLIED TAX ID <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	TAX ID [REDACTED]
FIRST NAME JOHN	MIDDLE INITIAL J	LAST NAME MURPHY	
ENTITY NAME (1)		ENTITY NAME (2)	

FOR OFFICE USE ONLY

678511

ACCOUNT NUMBER

ACCOUNT WIZARD REFERENCE NUMBER

PRIMARY CLIENT IDENTITY INFORMATION (Continued)

TAX STATUS <input checked="" type="checkbox"/> U.S. PERSON/ENTITY <input type="checkbox"/> RESIDENT ALIEN		VERIFIED PROOF OF RESIDENT ALIEN STATUS <input type="checkbox"/> VISA <input type="checkbox"/> GREEN CARD	
COUNTRY OF CITIZENSHIP UNITED STATES OF AMERICA		COUNTRY OF RESIDENCE UNITED STATES OF AMERICA	
GOVERNMENT ISSUED PICTURE ID <input type="checkbox"/> A Consular Identification <input type="checkbox"/> B U.S. State Drivers License <input type="checkbox"/> C U.S. Issued Employment Authorization Card <input type="checkbox"/> D Foreign Passport <input type="checkbox"/> E U.S. Issued Permanent Resident/Green Card <input type="checkbox"/> F State Issued Identification <input type="checkbox"/> G U.S. Armed Forces Identification <input type="checkbox"/> H U.S. Passport <input type="checkbox"/> I Other U.S./State ID <input type="checkbox"/> J Other International ID <input type="checkbox"/> K International Drivers License <input type="checkbox"/> L Matricula Consular Identification <input type="checkbox"/> M Equifax - Non-documentary			
SPECIFY ID (IF OTHER)	ID NUMBER	ID STATE/COUNTRY OF ISSUANCE	
ISSUE DATE		EXPIRATION DATE	
IS THE CLIENT A SENIOR FOREIGN POLITICAL FIGURE OR FAMILY MEMBER OR ASSOCIATE OF A SENIOR FOREIGN POLITICAL FIGURE? (If left blank, No will be assumed) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			IDENTIFY RELATIONSHIP
RELATIONSHIP TO OWNER		OWNER PERCENTAGE	
PRIMARY SOURCE OF FUNDS USED TO FUND THIS ACCOUNT - SOURCE OF FUTURE CASH FUNDING (Choose only one) <input type="checkbox"/> H Salary/Wages <input type="checkbox"/> G Retirement Funds <input type="checkbox"/> D Inheritance/Gift <input type="checkbox"/> A Alimony <input checked="" type="checkbox"/> E Investment Income <input type="checkbox"/> I Social Security <input type="checkbox"/> J Unemployment <input type="checkbox"/> B Disability Insurance <input type="checkbox"/> C Estate Assets <input type="checkbox"/> F Operations <input type="checkbox"/> K Other			
SPECIFY OTHER FUNDS		DATE OF BIRTH [REDACTED]	
INVESTMENT EXPERIENCE (For each of the following) A Less than 1 year B 1-5 years C Over 5 years Z Refused (Collect only if Options are being added to the account.) Stocks _____ Options _____ Mutual Funds _____ Annuities _____ Bonds _____			
OTHER INVESTMENT EXPERIENCE (TYPE)		OTHER INVESTMENT EXPERIENCE (Years)	
NASD CLASS AFFILIATION(S) (Check all that apply) <input type="checkbox"/> C 10% or greater shareholder of a public corp <input type="checkbox"/> W Employee of other securities firm, the NASD, NYSE or a stock exchange <input type="checkbox"/> N Control person of a publicly held company <input type="checkbox"/> Y WF & Co. employees and immediate beneficial family members <input type="checkbox"/> U WFI brokerage employees and immediate beneficial family members <input type="checkbox"/> Z WF & Co. employee related family members <input type="checkbox"/> V WFI brokerage employee related family members			
CUSIP	COMPANY NAME		
ADDRESS & PHONE INFORMATION			
LEGAL ADDRESS [REDACTED]			
CITY MANHASSET	STATE/PROVINCE NY	ZIP/POSTAL CODE 11030	COUNTRY UNITED STATES OF AMERICA
RESIDENCE PHONE COUNTRY	RESIDENCE PHONE [REDACTED]	BUSINESS PHONE COUNTRY	BUSINESS PHONE
FAX COUNTRY	FAX NUMBER	OTHER PHONE COUNTRY	OTHER PHONE
EMPLOYMENT INFORMATION			
EMPLOYMENT STATUS <input type="checkbox"/> Employed <input type="checkbox"/> Not Employed <input checked="" type="checkbox"/> Retired			
OCCUPATION TYPE <input type="checkbox"/> A Professional, technical Kindred workers <input type="checkbox"/> B Managers, proprietors and public officials <input type="checkbox"/> C Craftsmen and other skilled workers <input type="checkbox"/> D Sales, clerical and white collar workers <input type="checkbox"/> E Operative and other semi-skilled workers <input type="checkbox"/> F Farm Related <input type="checkbox"/> G Other			
EMPLOYER NAME		EMPLOYER ADDRESS	
EMPLOYER CITY	EMPLOYER STATE/PROVINCE	EMPLOYER ZIP/POSTAL CODE	EMPLOYER COUNTRY
SPOUSE (If spouse has an NASD affiliation) INDICATE NASD CLASS AFFILIATION(S) (Check all that apply)		<input type="checkbox"/> C 10% or greater shareholder of a public corp <input type="checkbox"/> N Control person of a publicly held company	

FOR OFFICE USE ONLY

678511

ACCOUNT NUMBER

ACCOUNT WIZARD REFERENCE NUMBER

FIRST NAME		MIDDLE INITIAL	LAST NAME	
CUSIP	COMPANY NAME		EMPLOYER NAME (If employed by another securities firm)	
EMPLOYER ADDRESS				
EMPLOYER CITY	EMPLOYER STATE/PROVINCE	EMPLOYER ZIP/POSTAL CODE	EMPLOYER COUNTRY	
IMMEDIATE FAMILY MEMBER (If immediate family member has an NASD affiliation)				
INDICATE NASD CLASS AFFILIATION(S) (Check all that apply)				
<input type="checkbox"/> C 10% or greater shareholder of a public corp <input type="checkbox"/> N Control person of a publicly held company				
FIRST NAME		MIDDLE INITIAL	LAST NAME	
CUSIP	COMPANY NAME		EMPLOYER NAME (If employed by another securities firm)	
EMPLOYER ADDRESS				
EMPLOYER CITY	EMPLOYER STATE/PROVINCE	EMPLOYER ZIP/POSTAL CODE	EMPLOYER COUNTRY	
DEMOGRAPHICS - RESOURCES & EXPERIENCE				
ACCOUNT MAILING ADDRESS				
ADDRESS				
CITY	STATE	ZIP/POSTAL CODE	COUNTRY	
E-MAIL ADDRESS BAGHOLDER@GOOWY.COM		SECOND E-MAIL ADDRESS BAGHOLDER1@GOOWY.COM		
ALTERNATE MAILING INSTRUCTIONS				
SEND 1099 TO THIS ADDRESS <input type="checkbox"/> Yes <input type="checkbox"/> No		USE ON ANNUAL BASIS <input type="checkbox"/> Yes <input type="checkbox"/> No		START DATE
END DATE				
ADDRESS				
CITY	STATE	ZIP/POSTAL CODE	COUNTRY	
FINANCIAL INFORMATION				
ESTIMATED NET WORTH (Excluding residence)		LIQUID NET WORTH		COMBINED ANNUAL INCOME
<input type="checkbox"/> A Under \$99,999 <input type="checkbox"/> B \$100,000 - \$499,999 <input checked="" type="checkbox"/> C \$500,000 - \$999,999 <input type="checkbox"/> D Over \$1,000,000		<input type="checkbox"/> A Under \$99,999 <input type="checkbox"/> B \$100,000 - \$499,999 <input checked="" type="checkbox"/> C \$500,000 - \$999,999 <input type="checkbox"/> D Over \$1,000,000		<input type="checkbox"/> A Under \$49,999 <input type="checkbox"/> B \$50,000 - \$99,999 <input type="checkbox"/> C \$100,000 - \$249,999 <input checked="" type="checkbox"/> D Over \$250,000
MONEY MANAGEMENT - INSTRUCTIONS				
SECURITY INSTRUCTIONS			CASH INSTRUCTIONS	
<input checked="" type="checkbox"/> 4 Hold In Account <input type="checkbox"/> 1 Register & Mail to Client Address			<input type="checkbox"/> A Issue Check <input checked="" type="checkbox"/> C Hold Funds in Account	
CASH INSTRUCTIONS OPTION				
<input checked="" type="checkbox"/> Automatic Sweep (Complete Money Market Section) <input type="checkbox"/> Auto Banklink (Complete Banklink Section) <input type="checkbox"/> None (Hold Funds in Cash)				
BANKLINK OPTIONS			ESTABLISH MANUAL MONEY MARKET	
<input type="checkbox"/> Both Settlements <input checked="" type="checkbox"/> Debit Settlements only <input checked="" type="checkbox"/> Credit Settlements Only			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
ESTABLISH MANUAL BANKLINK			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
BANK NAME CITIBANK			BANK ROUTING NUMBER (ABA) [REDACTED]	
BANK ACCOUNT NUMBER [REDACTED] 0858	NAME ON BANK ACCOUNT JOHN J MURPHY			TYPE OF BANK ACCOUNT <input checked="" type="checkbox"/> Checking* <input type="checkbox"/> Savings**
DIVIDENDS & INTEREST INSTRUCTIONS				
<input checked="" type="checkbox"/> 1 Hold in the Account <input type="checkbox"/> 3 Pay out Weekly via ACH <input type="checkbox"/> 5 Pay out Monthly via ACH <input type="checkbox"/> 1 Pay out Daily via ACH <input type="checkbox"/> 3 Pay out Weekly via Check <input type="checkbox"/> 5 Pay out Monthly via Check				
BANK NAME			BANK ROUTING NUMBER (ABA)	
BANK ACCOUNT NUMBER	NAME ON BANK ACCOUNT			TYPE OF BANK ACCOUNT <input type="checkbox"/> Checking* <input type="checkbox"/> Savings**

FOR OFFICE USE ONLY

678511

ACCOUNT NUMBER

ACCOUNT WIZARD REFERENCE NUMBER

MONEY MARKET INSTRUCTIONS

MONEY MARKET (Specify)

WF ADV LIQUIDITY RESERVE MONEY MARKET INVESTOR CLASS

INITIAL FUNDING INSTRUCTIONS

TYPE OF TRANSACTION TO FUND AND AMOUNT

☒ ACH 98500.00☐ Check _____☐ Account Transfer _____☐ Stock/Bond Certificate _____☐ Journal from WF brokerage account _____☐ Other Funding _____

Other _____

BANK NAME

CITIBANK

BANK ROUTING NUMBER (ABA)

[REDACTED]

BANK ACCOUNT NUMBER

[REDACTED] 0858

NAME ON BANK ACCOUNT

JOHN J MURPHY

WELLS FARGO BANK ACCOUNT

☐ Yes ☐ No

TYPE OF BANK ACCOUNT

☒ Checking * ☐ Savings **

PMA RELATIONSHIP

PMA RELATIONSHIP NAME

PMA QUALIFYING BALANCE

HV FLAG

COMMISSION INSTRUCTIONS

COMMISSION SCHEDULE

10

EQUITY COMMISSION DISCOUNT

OPTION COMMISSION DISCOUNT

CUSTOM INSTRUCTIONS

ESTABLISH STOCK DIVIDEND REINVESTMENT

☐ Yes ☒ No

ADD ACCOUNT TO EXISTING HOUSEHOLD FOR STATEMENTS

☐ Yes ☒ No Account Number _____

PERIODIC DEPOSIT/PAYMENT TYPE

☐ Deposit into the Brokerage Account
(All periodic contributions to retirement accounts will be credited as current year contributions)☐ Disbursement out of the Brokerage Account

CONTRIBUTION TYPE (For retirement accounts)

AMOUNT

\$

FREQUENCY

☐ Daily ☐ Weekly ☐ Bi-monthly ☐ Monthly ☐ Quarterly ☐ Semiannually ☐ Annually ☐ One Time Only

FIRST PAYMENT DATE

SECOND PAYMENT DATE (For Bi-monthly)

END DATE (If applicable)

PAYMENT METHOD

☐ Establish ACH for Deposit (Provide bank information below)☐ Establish ACH for Disbursement (Provide bank information below)☐ Brokerage Account (to fund the deposit and/or receive the disbursement-non-IRA account number) _____☐ Check (For disbursement only)

BANK NAME

BANK ROUTING NUMBER (ABA)

BANK ACCOUNT NUMBER

NAME ON BANK ACCOUNT

TYPE OF BANK ACCOUNT

☐ Checking* ☐ Savings**☐ CONTRIBUTION ON DEMAND (Allows for contributions on demand using the bank information indicated below)

PAYMENT METHOD

☐ Establish ACH for Contribution (Provide bank information below)☐ Brokerage Account (non-IRA account number) _____

BANK NAME

BANK ROUTING NUMBER (ABA)

BANK ACCOUNT NUMBER

NAME ON BANK ACCOUNT

TYPE OF BANK ACCOUNT

☐ Checking* ☐ Savings**

*Please attach an original pre-printed voided or cancelled check to this application. **Please attach a savings withdrawal slip to this application.

INTERESTED PARTY - MAILING ADDRESS AND INSTRUCTIONS

DIVERT CODE (Internal use)

USE FOR DUPLICATE CONFIRMS

OF CONFIRMS

USE FOR DUPLICATE STATEMENTS

OF STATEMENTS

☐ Yes ☐ No☐ Yes ☐ No

NAME

ADDRESS

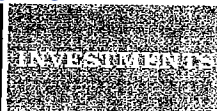
CITY

STATE/PROVINCE

ZIP/POSTAL CODE

COUNTRY

FOR OFFICE USE ONLY	
003 SUB FIRM NUMBER	PD01 REP CODE
ACCOUNT NUMBER	
678511 ACCOUNT WIZARD REFERENCE NUMBER	[REDACTED] 7894 TAX ID NUMBER

WELLS
FARGO

Account Wizard Addendum

Other Client Information

<input checked="" type="checkbox"/> NEW ACCOUNT		<input type="checkbox"/> EXISTING ACCOUNT	
OTHER CLIENT INFORMATION			
CLIENT TYPE (Internal use) ADDITIONAL OWNER		APPLIED FOR TAX ID <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	TAX ID [REDACTED]
FIRST NAME DONNA	MIDDLE INITIAL M	LAST NAME MURPHY	
ENTITY NAME (1)		ENTITY NAME (2)	
TAX STATUS <input checked="" type="checkbox"/> U.S. Person/Entity <input type="checkbox"/> Resident Alien			
COUNTRY OF CITIZENSHIP UNITED STATES OF AMERICA	COUNTRY OF RESIDENCE UNITED STATES OF AMERICA		VERIFIED PROOF OF RESIDENT ALIEN STATUS <input type="checkbox"/> Visa <input type="checkbox"/> Green Card
GOVERNMENT ISSUED PICTURE ID <input type="checkbox"/> A Consular Identification <input type="checkbox"/> B U.S. State Drivers License <input type="checkbox"/> C U.S. Issued Employment Authorization Card <input type="checkbox"/> D Foreign Passport <input type="checkbox"/> E U.S. Issued Permanent Resident/Green Card <input type="checkbox"/> F State Issued Identification <input type="checkbox"/> G U.S. Armed Forces Identification <input type="checkbox"/> H U.S. Passport <input type="checkbox"/> I Other U.S./State ID <input type="checkbox"/> J Other International ID <input type="checkbox"/> K International Drivers License <input type="checkbox"/> L Matricula Consular Identification <input type="checkbox"/> M Equifax - Non-documentary			
SPECIFY ID (if other)	ID NUMBER	ID STATE/COUNTRY OF ISSUANCE	
ISSUE DATE	EXPIRATION DATE		
IS THE CLIENT A SENIOR FOREIGN POLITICAL FIGURE OR FAMILY MEMBER OR ASSOCIATE OF A SENIOR FOREIGN POLITICAL FIGURE? (if left blank No will be assumed) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		IDENTIFY RELATIONSHIP	
RELATIONSHIP TO OWNER		OWNER PERCENTAGE	
PRIMARY SOURCE OF FUNDS USED TO FUND THIS ACCOUNT (Choose only one) <input type="checkbox"/> H Salary/Wages <input type="checkbox"/> G Retirement Funds <input type="checkbox"/> D Inheritance/Gift <input type="checkbox"/> A Alimony <input checked="" type="checkbox"/> E Investment Income <input type="checkbox"/> I Social Security <input type="checkbox"/> J Unemployment <input type="checkbox"/> B Disability Insurance <input type="checkbox"/> C Estate Assets <input type="checkbox"/> F Operations <input type="checkbox"/> K Other			
SPECIFY OTHER FUNDS	DATE OF BIRTH [REDACTED]	MARITAL STATUS <input type="checkbox"/> A Married <input type="checkbox"/> B Single	# OF DEPENDENTS (If left blank, zero will be assumed)
INVESTMENT EXPERIENCE A =Less than 1 year B =1-5 years C =Over 5 years Z Refused (Collect Only if Options are being added to the account.) Stocks _____ Options _____ Mutual Funds _____ Annuities _____ Bonds _____			
OTHER INVESTMENT EXPERIENCE (Type)			OTHER INVESTMENT EXPERIENCE (Years)
NASD CLASS AFFILIATION(S) (Check all that apply) <input type="checkbox"/> C 10% or greater shareholder of a public corp <input type="checkbox"/> W Employee of other securities firm, the NASD, NYSE or a stock exchange <input type="checkbox"/> N Control person of a publicly held company <input type="checkbox"/> Y WF & Co. employees and immediate beneficial family members <input type="checkbox"/> U WFI brokerage employees and immediate beneficial family members <input type="checkbox"/> Z WF & Co. employee related family members <input type="checkbox"/> V WFI brokerage employee related family members			
CUSIP	COMPANY NAME		

FOR OFFICE USE ONLY

678511

ACCOUNT NUMBER

ACCOUNT WIZARD REFERENCE NUMBER

OTHER CLIENT INFORMATION (Continued)

ADDRESS AND PHONE INFORMATION

LEGAL ADDRESS

CITY

MANHASSET

STATE

NY

ZIP/POSTAL CODE

11030

COUNTRY

UNITED STATES OF AMERICA

RESIDENCE PHONE COUNTRY

RESIDENCE PHONE

BUSINESS PHONE COUNTRY

BUSINESS PHONE

FAX COUNTRY

FAX NUMBER

OTHER PHONE COUNTRY

OTHER PHONE

EMPLOYMENT INFORMATION

EMPLOYMENT STATUS

☐ Employed☐ Not Employed☒ Retired

YEARS EMPLOYED

OCCUPATION TYPE

☐ A Professional, technical Kindred workers☐ B Managers, proprietors and public officials☐ C Craftsmen and other skilled workers☐ D Sales, clerical and white collar workers☐ E operative and other semi-skilled workers☐ F Farm Related☒ G Other

EMPLOYER NAME

EMPLOYER ADDRESS

EMPLOYER CITY

EMPLOYER STATE/PROVINCE

EMPLOYER ZIP/POSTAL CODE

EMPLOYER COUNTRY

SPOUSE (if spouse has an NASD affiliation)

INDICATE NASD CLASS AFFILIATION(S) (Check all that apply)

☐ C 10% or greater shareholder of a public corp☐ N Control person of a publicly held company

FIRST NAME

MIDDLE INITIAL

LAST NAME

CUSIP

COMPANY NAME

EMPLOYER NAME (if employed by another securities firm)

EMPLOYER ADDRESS

EMPLOYER CITY

EMPLOYER STATE/PROVINCE

EMPLOYER ZIP/POSTAL CODE

EMPLOYER COUNTRY

IMMEDIATE FAMILY MEMBER (if immediate family member has an NASD affiliation)

INDICATE NASD CLASS AFFILIATION(S) (Check all that apply)

☐ C 10% or greater shareholder of a public corp☐ N Control person of a publicly held company

FIRST NAME

MIDDLE INITIAL

LAST NAME

CUSIP

COMPANY NAME

EMPLOYER NAME (if employed by another securities firm)

EMPLOYER ADDRESS

EMPLOYER CITY

EMPLOYER STATE/PROVINCE

EMPLOYER ZIP/POSTAL CODE

EMPLOYER COUNTRY

Attention: Brad Coch

Fax: 612 332 6593

JOHN J. MURPHY ✓
DONNA M. MURPHY


1-818 0210
210
LONG BEACH

Date _____

Pay to the order of _____ \$ _____

VOID

citibank
CITIBANK, N.A. BR 26
734 3RD AVE
NEW YORK, NY 10017

Dollars  SECURITY PRINTING
FEDERAL RESERVE
DOLLARS IN BACK

Memo _____

⑆021000089⑆ [REDACTED] 0210

WellsTrade
Return Mail Processing Only
Not For Client Correspondence
P.O. Box 5275
Sioux Falls, SD 57117-5275

{0003787 78826 02282007 A 99}

JOHN J MURPHY
& DONNA M MURPHY JTEN
MANHASSET NY 11030

Last Statement Date:
New Account

FOR SERVICES, FORMS AND ADDITIONAL
INFORMATION ABOUT YOUR ACCOUNT
VISIT US AT WELLSFARGO.COM OR CALL
1-800-TRADERS (800-872-3377).

Account Number:
W68007022

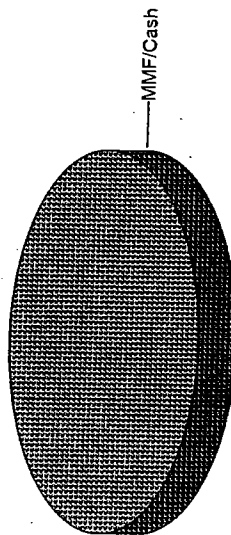
Please direct correspondence, written inquiries and deposits to:

WellsTrade®
MAC N9311-13Z
625 Marquette Ave S
Minneapolis, MN 55402

See Page 2 for important messages about your account. You may also review your account information online at <http://www.wellsfargo.com>. Ask us for more details.

Portfolio Summary

February 1, 2007 - February 28, 2007		
100 %	Cash & Money Market Funds	Previous Value
		\$0.00
Total Portfolio Value		\$30.37
		\$0.00



Year to Date Change in Assets

Total Asset Value as of February 28, 2007	\$30.37
Total Asset Value as of December 31, 2006	N/A
Net Change	N/A

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Income Summary		
	February 28, 2007	Year to Date
Taxable Money Market Funds	\$99.68	\$99.68
Other	30.37	30.37
Total Income	\$130.05	\$130.05

Wells Fargo Investments, LLC (Member SIPC)
a non-bank affiliate of Wells Fargo & Company.

1. This statement is provided to clients of Wells Fargo Investments, LLC ("WFI"). All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.
2. If you have any questions after reviewing your statement, your WFI financial consultant or customer service representative will be pleased to answer them for you. We will consider your statement correct unless we receive a written inquiry from you about the suspected error within 30 days of receipt. It is your responsibility to review your statement promptly and to seek immediate clarification about entries you do not clearly understand.
3. WFI is a member of the Securities Investor Protection Corporation (SIPC). SIPC protects securities customers of its members against broker-dealer insolvency up to \$500,000 (including \$100,000 for claims for cash). Explanatory brochure available upon request by calling 202-371-8300 or at www.sipc.org. Please refer to your Brokerage Account Agreement under the section titled **Not FDIC Insured** or contact us for further information. Please be aware that account protection features do not protect against investment losses.
4. If you maintain a margin account, this is a combined statement of your general account and of a special memorandum account maintained for you under Regulation T of the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection upon written request.
5. Free credit balances may be used in the conduct of WFI's business subject to applicable rules and regulations. Any free credit balance in your account is payable immediately on demand.
6. If you trade options in your account, you are responsible to inform WFI of any change in your investment objectives or financial situation. Exercise notices for option contracts are allocated among client's short positions pursuant to a procedure that selects those contracts from among customer short option positions including positions established on the date of assignment. All short options positions are liable for assignment at any time. Commissions and other charges incurred in connection with the execution of option transactions have been included in confirmations of these transactions previously furnished to you. A summary of this information will be furnished upon written request.
7. Taxable dividends and interest reported on this statement for retirement plan accounts are generally exempt from year-end government reporting, if earnings are taxable in the tax year received, you will receive a Form 1099 at year-end. The information is provided as a convenience only, and may vary from the year-end tax report. There is no representation regarding the taxability of earnings at the state or local levels.
8. A copy of the financial statements for WFI is available for personal inspection at its main offices in Minneapolis, Minnesota or a copy will be furnished upon written request.
9. Under the NASD Regulation's Public Disclosure Program, the NASD provides certain information regarding the disciplinary history of broker/dealers and their associated persons. Such information can be obtained from the NASD Public Disclosure Program hotline number (1-800-289-9899) or the NASD web site (www.nasdr.com). An investor brochure that includes information describing the NASD Public Disclosure Program will be furnished upon written request.
10. Payment for Order Flow: WFI may receive payment for order flow from various market centers, but payment for order flow is not a consideration for any routing decisions. Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, price improvement statistics, liquidity enhancements, NASDAQ report cards, and T+1 reports. WFI uses compensation received from market centers to help offset costs associated with executing orders. Payment information on specific market centers can be found by selecting the Wells Fargo Investments Equity Order Routing Information link on the bottom of the Wells Fargo Brokerage web site at www.wellsfargo.com/investing/styles/ and clicking on the Material Aspects of the Relationship link next to each market center. Information on payments and/or credits received in connection with a specific transaction will be furnished upon written request.
11. Notice of Availability of Order Routing Information: WFI prepares quarterly reports describing its order routing practices for non-directed orders routed to a particular venue for execution. These reports are publicly available through a link at the bottom of the Wells Fargo Brokerage web site, www.wellsfargo.com/investing/styles/. WFI will furnish a printed copy of the quarterly report upon written request. In addition, WFI will provide on request more detailed information relating to the routing of any order in the six months prior to the request.
12. Dividend reinvestment transactions were affected by WFI acting as agent. Additional information regarding these transactions will be furnished upon written request.
13. Open orders will remain in effect until executed or canceled by you or until the expiration date on the open order confirmation previously sent to you. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at its own initiative.
14. The prices of securities displayed on your statement are derived from various sources, and in some cases may be higher or lower than the price you would actually receive in the market. For securities listed on an exchange or trading continually in an active marketplace, the price reflects market quotations at the close of your statement period. For certificates of deposit ("CDs"), the value shown reflects the market value of the CDs. The actual value of the CDs may be different from their purchase price. CDs held until maturity are redeemed by the issuer at their face value. If CDs are sold prior to maturity, the result could be a significant loss or principal. The secondary market for CDs may be limited. The maturity value of CDs can be found under the "Quantity" section. If the CD market value listed appears as "N/A", the market value was not available at the time this statement was prepared. Please note that any unpriced positions are not reflected in the total account value reported on this statement. For bonds trading less frequently, we generally rely on outside pricing services or a computerized pricing model which cannot always give us actual market values. Similarly, some annuity values provided by outside carriers may be estimates.
15. If we cannot obtain a price or estimate, "N/A" appears. Direct Participation Program (DPP) and Real Estate Investment Trust (REIT) securities are generally illiquid; the value of the security will be different than its purchase price.
16. In the event of a partial CALL of corporate or municipal bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the total holdings. A detailed description of the random selection procedure is available upon written request.
17. All information provided with respect to cost basis is derived from transactions in the account or information supplied by you or other sources. There is no guarantee as to the accuracy of cost basis information or the profit and loss information provided. Accordingly cost basis information is not intended for tax reporting purposes. Please inform us in the event that a cost basis is not accurate.
18. Reported gains and losses are based on a tax lot basis and are not based on an average cost. This information should not be used for tax purposes.
19. The information provided in this statement is for informational purposes only and should not be used for tax preparation. This information is not intended and should not be construed as tax, accounting or legal advice. Please consult your tax advisor regarding the tax consequences of your investment decisions.
20. When reporting your taxes please rely exclusively on Form 1099 and other tax reporting forms that WFI will provide to you at year-end.
21. Complaints should be directed to the Branch Office Manager. If you are unable to reach the Branch Office Manager, complaints may be directed to Wells Fargo Investments, Attn: Compliance Department, P.O. Box 7898, San Francisco, CA, 94120-9816 or by calling 866-415-6982.
22. For a change of address, please send your request to WFI at the address shown on the front of this statement.

WFI / WT

REV. 05/06 v.06

Account number: W68007022
 Contact Us At: 1-800-TRADERS
 (000) 000-0000

Activity Summary

Total Asset Value as of February 1, 2007		Amount
Cash/Money Market Activity for February		\$0.00
Closing Balance as of 02/01/2007		0.00
Income		130.05
Deposits		98,500.00
Other		(99.68)
Withdrawals		(98,500.00)
Closing Balance as of 02/28/2007		\$30.37

Important Information About Your Account

Great News! One of the best deals in online investing just got even better. As a WellsTrade(R) customer you can receive 100 commission-free online trades a year. Just link your WellsTrade account to a Wells Fargo(R) PMA(R) Package -- our premier offering for investment and banking customers. To qualify for a PMA Package, just maintain a combined balance of \$25,000 or more in any combination of brokerage, banking, and credit balances. To learn more, visit www.wellsfargo.com/freetrades or call 1-866-243-0931. Other fees and commissions apply to a WellsTrade account. For complete information regarding fees and commissions refer to wellsfargo.com. PMA Package offered through Wells Fargo Bank, N.A., Member FDIC

Starting this month

Debt Securities that pay interest-income based on variable coupons will be updated to include the current coupon which can be found in the security description.

Portfolio Value

CASH & MONEY MARKET FUNDS		100%			
Quantity	Description	Transaction Total	Market Price	Market Value	Estimated Annual Income
	CASH BALANCE			\$30.37	
	Cash & Money Market Funds Subtotal			\$30.37	
TOTAL PORTFOLIO VALUE		Transaction Total		Total Market Value	Total Estimated Annual Income
		\$0.00		\$30.37	
					Gain/ (Loss)
					\$0.00

Account number:
1-800-TRADERS
Contact Us At:
(000) 000-0000

Activity Details

PURCHASES AND SALES

SETTLED TRADES

Trade Date	As Of Date	Description	Symbol/ CUSIP	Settle Date	Activity	Accrued Interest	Quantity	Price	Amount
02/12/07	02/12/07	WF ADVANTAGE FDS TR	09999783	02/12/07	PURCHASE			\$1.00	(98,500.00)
02/20/07	02/20/07	LIQUIDITY RESERVE MONEY							
02/20/07	02/20/07	WF ADVANTAGE FDS TR	09999783	02/20/07	SALE			1.00	98,500.00
02/21/07	02/21/07	LIQUIDITY RESERVE MONEY							
02/21/07	02/21/07	WF ADVANTAGE FDS TR	09999783	02/21/07	PURCHASE			1.00	(99.67)
02/22/07	02/22/07	LIQUIDITY RESERVE MONEY							
02/22/07	02/22/07	WF ADVANTAGE FDS TR	09999783	02/22/07	SALE			1.00	99.67
TOTAL									

INCOME

\$0.00

MONEY MARKET FUNDS

Date	Description	Activity	Amount
02/20/07	WF ADVANTAGE FDS TR		
02/22/07	WF ADVANTAGE FDS TR	LIQUIDITY RESERVE MONEY	
		LIQUIDITY RESERVE MONEY	
TOTAL		DIVIDEND	\$99.67
		DIVIDEND	0.01
OTHER			\$99.68

INTEREST

02/27/07 INTEREST 01/30 - 02/26

TOTAL

AVG RATE= 3.750%

CREDIT INT

Amount

\$30.37

\$30.37

Cash Flow Analysis

CHRONOLOGICAL TRANSACTION SUMMARY

Date	As Of Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
02/09/07	02/09/07	Beginning Cash Balance						\$0.00
02/12/07	02/12/07	ACH PERIODIC WITHDRAWAL						\$98,500.00
02/20/07	02/20/07	WF ADVANTAGE FDS TR	PURCHASE		1.00	(98,500.00)		0.00
02/20/07	02/20/07	ACH REJ 02/12 NOT AUTH				(98,500.00)		(98,500.00)
02/20/07	02/20/07	WF ADVANTAGE FDS TR	DIVIDEND				99.67	(98,400.33)
02/20/07	02/20/07	WF ADVANTAGE FDS TR	SALE		1.00		98,500.00	99.67
02/21/07	02/21/07	WF ADVANTAGE FDS TR	PURCHASE		1.00	(99.67)		0.00
02/22/07	02/22/07	REV MMKTINT 98500.00	JOURNAL			(99.68)		(99.68)

003787 2/2 *

February 2007

PRIVATE CLIENT SERVICES

Page 3 of 4

Account number: W68007022
Contact Us At: 1-800-TRADERS
(000) 000-0000

3 Cash Flow Analysis (continued)

CHRONOLOGICAL TRANSACTION SUMMARY (continued)

Date	As Of Date	Description	Activity	Quantity	Price	Debit	Credit	Balance
02/22/07	02/22/07	WF ADVANTAGE FDS TR	DIVIDEND				0.01	(99.67)
02/22/07	02/22/07	WF ADVANTAGE FDS TR	SALE		1.00		99.67	0.00
02/27/07	02/27/07	INTEREST 01/30 - 02/26	CREDIT INT				30.37	30.37
Ending Cash Balance								\$30.37



Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

You Bought
50,000

Symbol
MINT

Price
.4900

Account Type
CASH

Trade Date
02/09/07

Settlement Date
02/14/07

CUSIP
594846107

Mkt/ Cap
06

Security Description:

MICRO-INTEGRATION CORP

Additional Remarks:

UNSOLICITED

Principal Amount	\$24,500.00
Commission	\$857.50
Net Amount	\$25,357.50

Account Number: w68007022

THIS TRANSACTION (# 088343192) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE .

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

JOHN J MURPHY
& DONNA M MURPHY JTEN
[REDACTED]
MANHASSET NY 11030

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

**Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.**

TRANSACTION CONFIRMATION



WellsTrade

Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

You Bought
50,000

Symbol
MINT

Price
.4500

Account Type
CASH

Trade Date
02/09/07

Settlement Date
02/14/07

CUSIP
594846107

Mkt/ Cap
06

Security Description:

MICRO-INTEGRATION CORP

Additional Remarks:

UNSOLICITED

Principal Amount	\$22,500.00
Commission	\$787.50
Net Amount	\$23,287.50

Account Number: w68007022

THIS TRANSACTION (# 088343779) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE .

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

JOHN J MURPHY
& DONNA M MURPHY JTEN
[REDACTED]
MANHASSET NY 11030

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

**Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.**

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.



Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

TRANSACTION CONFIRMATION

You Bought
35,000

Security Description:
MICRO-INTEGRATION CORP

Symbol
MINT

Additional Remarks:
UNSOLICITED

Price
.3914

Account
Type
CASH

Trade
Date
02/09/07

Settlement
Date
02/14/07

CUSIP
594846107

Total Principal Amount	\$13,700.00
Total Commission	\$479.50
Total Net Amount	\$14,179.50

Account Number: **w68007022**

THIS TRANSACTION (# 088344445) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE.

JOHN J MURPHY
& DONNA M MURPHY JT TEN
MANHASSET NY 11030

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.



WellsTrade
Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

TRANSACTION CONFIRMATION

MULTIPLE EXECUTION TRANSACTION DETAIL

The average price and totals for transaction (# 088344445) listed above are the result of the following executions:

Control No.	Quantity	Price	Principal	Commission	Other Fees	Net Amount	Market/Cap
0406473	30,000	\$0.3900	\$11,700.00	\$409.50	\$0.00	\$12,109.50	06
0406413	5,000	\$0.4000	\$2,000.00	\$70.00	\$0.00	\$2,070.00	06

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.



Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

Canceled Buy
50,000

Symbol
MINT

Price
.4900

Account
Type
CASH

As of Date
02/09/07

Trade
Date
02/13/07

Settlement
Date
02/14/07

CUSIP
594846107

Mkt/
Cap
06

Security Description:
MICRO-INTEGRATION CORP

Additional Remarks:
UNSOLICITED

Principal Amount	\$24,500.00
Commission	\$857.50
Net Amount	\$25,357.50

Account Number: **w68007022**

THIS TRANSACTION (# 088343192) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE.

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

JOHN J MURPHY
& DONNA M MURPHY JTEN
[REDACTED]
MANHASSET NY 11030

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.



WellsTrade
Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

TRANSACTION CONFIRMATION

Canceled Buy
50,000

Symbol
MINT

Price
.4500

Account
Type
CASH

As of Date
02/09/07

Trade
Date
02/13/07

Settlement
Date
02/14/07

CUSIP
594846107

Mkt/
Cap
06

Security Description:
MICRO-INTEGRATION CORP

Additional Remarks:
UNSOLICITED

Principal Amount	\$22,500.00
Commission	\$787.50
Net Amount	\$23,287.50

Account Number: **w68007022**

THIS TRANSACTION (# 088343779) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE.

JOHN J MURPHY
& DONNA M MURPHY JTEN
[REDACTED]
MANHASSET NY 11030

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

Canceled Buy
30,000

Symbol
MINT

Price
.3900

**Account
Type**
CASH

As of Date
02/09/07

**Trade
Date**
02/13/07

**Settlement
Date**
02/14/07

CUSIP
594846107

**Mkt/
Cap**
06

Security Description:
MICRO-INTEGRATION CORP

Additional Remarks:
UNSOLICITED

Principal Amount	\$11,700.00
Commission	\$409.50
Net Amount	\$12,109.50

Account Number: **w68007022**

THIS TRANSACTION (# 088344445) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE.

JOHN J MURPHY
& DONNA M MURPHY JT TEN

MANHASSET NY 11030

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.

WellsTrade

Return Mail Processing Only
Not For Client Correspondence
PO Box 5275
Sioux Falls, SD 57117-5275

TRANSACTION CONFIRMATION

Canceled Buy
5,000

Symbol
MINT

Price
.4000

**Account
Type**
CASH

As of Date
02/09/07

**Trade
Date**
02/13/07

**Settlement
Date**
02/14/07

CUSIP
594846107

**Mkt/
Cap**
06

Security Description:
MICRO-INTEGRATION CORP

Additional Remarks:
UNSOLICITED

Principal Amount	\$2,000.00
Commission	\$70.00
Net Amount	\$2,070.00

Account Number: **w68007022**

THIS TRANSACTION (# 088344445) IS CONFIRMED IN ACCORDANCE WITH THE EXPLANATIONS AND CONDITIONS STATED ON THE REVERSE SIDE.

JOHN J MURPHY
& DONNA M MURPHY JT TEN

MANHASSET NY 11030

Visit us at wellsfargo.com or call us at
1-800-TRADERS (1-800-872-3377) for more
information about your account.

Investment Products offered through Wells Fargo Investments:

- Are **NOT** insured by the FDIC or any other federal government agency
- Are **NOT** obligations or deposits of or guaranteed by any Wells Fargo Bank or by any Bank affiliate
- Involve investment risk, including possible loss of principal

Wells Fargo Investments, LLC, (Member SIPC),
a non-bank affiliate of Wells Fargo & Company.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

It is agreed between you ("Client"), and Wells Fargo Investments, LLC ("WFI"):

1. Orders and Transactions: All orders and transactions shall be solely for your account and risk, shall be subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market and the clearing facility, if any, where the transactions are executed and/or settled and to all applicable laws and regulations.

2. Mkt./Cap.: The market and capacity in WFI acted in this transaction is indicated on the front side of this confirmation.*

Legend of Mkt./Cap. Codes:

01. Acting as principal, WFI has sold to or bought from you and may have received a profit from the transaction.

For the following codes, WFI acted as agent for your account on:

02. New York Stock Exchange

03. Chicago Stock Exchange

04. American Stock Exchange

05. Other Exchange

06. Over-the-counter (Note: This may include listed securities that have been executed OTC. See item 9 "Payment for Order Flow.")

07. Your brokerage firm has acted as agent for and charged a commission to the other side of the transaction.

08. Your brokerage firm has acted as agent for both the buyer and the seller, charging a commission to both parties.

15. Chicago Board Options Exchange

19. Canadian Exchange

25. Pacific Stock Exchange

35. Philadelphia Stock Exchange

40. International Securities Exchange

70. International Exchange

*The name of the other broker or party, the time, date, and exchange the transaction was executed through; and the amount of compensation received by WFI will be provided upon written request.

3. Commission Rates: Commission rates are subject to negotiation and may be more or less than commissions charged to or by others in similar transactions.

4. Failure to Pay: If full payment for securities purchased is not received on or before the settlement date, or if securities sold are not delivered in proper form on or before the settlement date, WFI may at its option cancel or otherwise liquidate this transaction without further notice, and you will be liable for any resulting loss.

5. Hypothecation: Securities purchased on a cash or margin basis may be hypothecated under circumstances which will permit the commingling of the securities purchased with securities carried for other clients.

6. Odd Lot Differential: The execution price for this security may reflect an odd lot differential if the number of shares, units or principal amount transacted is less than a round lot or if all of the shares, units or principal amount transacted cannot be executed in round lot increments. The amount of any such differential or fee will be provided upon written request.

7. Asset-Backed Securities: If this transaction involves an asset-backed security which represents an interest in or is secured by a pool of receivables or other financial assets that are subject continuously to prepayment, then the actual yield of such asset-backed security may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life, and the prepayment assumptions underlying yield) will be provided upon written request.

8. Zero Coupon Bonds: Zero coupon bonds provide for no period payments, and, if applicable, they are callable below maturity value. If callable and available in bearer form, they are callable without notice by mail to the holder unless registered.

9. Payment for Order Flow: Unless otherwise instructed, WFI routes equity orders taking into consideration, among other factors, the quality and speed of execution, as well as the credits and cash payments receivable from any exchange, other broker dealers and other market centers. Whenever possible, WFI will route executions at prices equal or superior to the nationally displayed best bid or best offer. WFI will also attempt to obtain the best execution regardless of any compensation your brokerage firm may receive. WFI may use the compensation received to help keep costs competitive and provide clients with quality execution services. The nature and source of payments and/or credits received in connection with a specific transaction will be furnished upon written request.

10. Miscellaneous: Proceeds of a sale transaction will be reported to the Internal Revenue Service in accordance with applicable law. Call features may exist for bonds that could affect yield. Complete information will be provided upon written request. Other fee refers to mandated regulatory agency fees applicable for certain orders.

11. Open Orders: If this is an open order confirmation, the order will remain in effect until executed or canceled by you or until market close on the expiration date indicated. Failure to cancel an open order may result in the transaction being executed for your account. WFI has no responsibility to cancel an open order at their own initiative.

This confirmation is acknowledged by the client to be correct unless written notice is immediately given to WFI, but in no event no later than ten (10) business days of receipt.

TRANSACTION_DATE	IMPL_NM	CUSTSSN	ACCT_NUM	IP_ADDRESS
2/9/2007 18:26	CGIEnrollSignon	[REDACTED]	68007022	Data Unavailable
2/9/2007 18:26	CGISessionLogin	[REDACTED]		69.114.217.133
2/9/2007 18:26	AMSNOTIFY	[REDACTED]		
2/9/2007 18:27	IT_Consent	[REDACTED]		
2/9/2007 18:27	OnlineActivation	[REDACTED]		
2/9/2007 18:27	IT_OAA	[REDACTED]		
2/9/2007 18:27	ITCreateUsername	[REDACTED]		
2/9/2007 18:27	CGIAccountSummary	[REDACTED]		
2/9/2007 18:28	CGIAccountSummary	[REDACTED]		
2/9/2007 18:28	CGIBrokerageLink	[REDACTED]		
2/9/2007 18:39	SHUTDOWN_TYPE	[REDACTED]		
2/9/2007 20:28	CGISessionLogin	[REDACTED]		69.114.217.133
2/9/2007 20:28	CGIAccountSummary	[REDACTED]		
2/9/2007 20:28	SAMLLink	[REDACTED]		
2/9/2007 20:29	CGIAccountSummary	[REDACTED]		
2/9/2007 20:29	CGIBrokerageLink	[REDACTED]		
2/9/2007 20:29	AccountServices	[REDACTED]		
2/9/2007 20:29	CGIBrokerageLink	[REDACTED]		
2/9/2007 20:40	SHUTDOWN_TYPE	[REDACTED]		
2/9/2007 21:03	CGISessionLogin	[REDACTED]		69.114.217.133
2/9/2007 21:03	CGIAccountSummary	[REDACTED]		
2/9/2007 21:03	CGIAccountSummary	[REDACTED]		
2/9/2007 21:03	CGIBrokerageLink	[REDACTED]		
2/9/2007 21:14	SHUTDOWN_TYPE	[REDACTED]		
2/12/2007 0:33	CGISessionLogin	[REDACTED]		69.114.217.133
2/12/2007 0:33	CGIAccountSummary	[REDACTED]		
2/12/2007 0:34	CGIBrokerageLink	[REDACTED]		
2/12/2007 0:34	CGIAccountSummary	[REDACTED]		
2/12/2007 0:34	CGIBrokerageLink	[REDACTED]		
2/12/2007 0:44	SHUTDOWN_TYPE	[REDACTED]		
2/12/2007 20:45	CGISessionLogin	[REDACTED]		71.246.111.239
2/12/2007 20:45	CGIAccountSummary	[REDACTED]		
2/12/2007 20:45	SHUTDOWN_TYPE	[REDACTED]		
2/12/2007 21:01	CGIAccountSummary	[REDACTED]		
2/12/2007 21:01	CGISessionLogin	[REDACTED]		71.246.111.239
2/12/2007 21:03	CGIAccountSummary	[REDACTED]		
2/12/2007 21:03	CGIBrokerageLink	[REDACTED]		
2/12/2007 21:14	SHUTDOWN_TYPE	[REDACTED]		
2/13/2007 20:20	CGISessionLogin	[REDACTED]		24.90.135.144
2/13/2007 20:20	CGIAccountSummary	[REDACTED]		
2/13/2007 20:20	CGIBrokerageLink	[REDACTED]		
2/13/2007 20:31	SHUTDOWN_TYPE	[REDACTED]		
2/13/2007 21:02	CGISessionLogin	[REDACTED]		24.90.135.144
2/13/2007 21:02	CGISessionLogin	[REDACTED]		24.90.135.144
2/14/2007 15:19	CGISessionLogin	[REDACTED]		24.90.135.144
2/15/2007 20:34	CGISessionLogin	[REDACTED]		68.163.73.222

Josefa Rosa

TF MEMO-MENU2 BR/ACCOUNT 005711 ORDER
JSIP SYMBOL NAME ZIP BATCH
ARENT MKT ORDER TYPE DATE

MUTUAL FUND MAIN MENU

ACCOUNT INFORMATION

A1. DISTRIBUTION OPTIONS
A2. PERIODIC INVESTMENTS
A3. SHARE CLASS DETAILS
A4. ROA PIP
A5. ROA AGGREGATED ASSET
A6. ROA HOUSHOLDING
A7. LOI AGREEMENTS
A8. SHARE CONVERSIONS

(MDIS)
(MPIP)
(MSHR)
(MPOA)
(MROA)
(MHSE)
(LOIL)
(MSRC)

MUTUAL FUND SECURITY INFORMATION

M1. MUTUAL FUND TRADING DATA (BSEC)
M2. MUTUAL FUND QUERY SCREENS (MQRY)
M3. MUTUAL FUND PROSPECTUS (MPRS)

SELECTION _

ACTION (ADD/A, CHANGE/C, DISPLAY/D) _
(HISTORY/H -FOR MPIP ONLY)

ACCOUNT NUMBER MAY BE ENTERED FOR OPTIONS A1,A2,A3,A5,A6,A7 AND A8
CUSIP MAY BE ENTERED FOR OPTIONS M1,A3,A5,A7 AND A8

==> REQUESTED PIPS ARE NOT ON THE SYSTEM <==

LINE #: NY306571401
MONEY MOVEMENT SWEEP: N
STOMER A/C: NY3 065714 1
CREATED: 01/09/07 19:41 CNB42697
NEFICIARY : JOSEFA ROSA
UPDATED: 01/09/07 19:41 CNB42697
NEFICIARY A/C: [REDACTED] 5357
N&A: JOSEFA ROSA
[REDACTED]
[REDACTED]
)AVING/(C)HECKING: C
BRONX NY 10466

A NUMBER: [REDACTED]
3B STATUS: P RCPT STATUS: P
BANK N&A: WASHINGTON MUTUAL BANK
20855 STONE OAK PARKWAY
SAN ANTONIO TX 78258
3B VALID: 01/10/07 RCPT VALID: 01/10/07

FASTPATH: _____
):MAIN MENU PF3/CLEAR:LAST MENU PF5:SUMMARY PF10:FWD PF11:BWD

SIP	SYMBOL	NAME	ZIP	DATE
RENT	MKT	ORDER TYPE		

EFT/ORG	DATE/TYPE	STATUS	MEMO	
EN	01/09/07	OPEN	EFT, WAMU/ABA# [REDACTED]	ACCT# [REDACTED] 5357
	01		ALM/RIMO	

XT CODE

==>

HIT PF3 TO QUIT

<==

Data Archive for Account: NY3-065714

Date: 01/08/2007 09:10:05

Registration

Registration

OSJ: NY3 - New York

Associate: DST - House Primary

Product Type: Standard Brokerage

Registration Type: Individual

Tax Status: U.S. Citizen

SSN: [REDACTED]

Check here if the client is a BofA employee: No

Legal Country of Residence: United States

Legal State of Residence: New York

Annuity Related Account: No

529 Related Account?: No

Collateral Account: No

Online Channel: Online

Channel Type: Online

Account Parties

Primary Party (Individual)

Inherent Risk Score: [REDACTED]

Tax Status: U.S. Citizen

SSN: [REDACTED]

Legal Country of Residence: United States

If a significant portion of the client's source of wealth/revenue/income is derived from a country other than those captured in the country of Citizenship or Residency, please select the country from the drop down.: N/A

Name and Tax Information

Salutation: N/A

First Name: Josefa

Middle Name: N/A

Last Name: Rosa

Title: N/A

Date of Birth: [REDACTED]

Identification Information

Identity Verification Method: Drivers License

Driver's License/Government ID Number: [REDACTED]

Issuing State: New York

Expiration Date: [REDACTED]/2007

Date Of Issuance: [REDACTED]/1999

Did you physically review original ID?: No

Describe method of verification: KYC - IC Exception

Date of verification: [REDACTED]/2007

Describe verification discrepancy results: KYC - IC Exception

Legal Address

Mailing Address Same as Legal Address: Yes

Attention: N/A

Street Address Line 1: [REDACTED]

Street Address Line 2: [REDACTED]

City: Bronx

Legal State of Residence: New York

ZIP Code: 10466

Employment Information

Source of Income/Revenue: Retirement Income

Provide additional details on income: IC Exception

Employment Status: Not Employed

Previous Occupation/Employment: hair dresser

Contact Information

E-mail Address: jjbigman07@mail.com

Home Phone: [REDACTED]

Additional Contact: No

Work Phone: N/A

Additional Contact: No

Affiliation Information

Are you employed by or related to an employee of Bank of America or any of its affiliates?: No

Director, Shareholder or Policy Making Officer of Publicly Traded Company: No

Affiliated with a Financial Institution: No

Know Your Customer Information

Estimated Annual Income: \$175,000

Comments (Explain any additional information or documentation, including source of funds for initial investment and source of net worth.): IC Exception

Are you, or any authorized signatories, beneficial owners, trustees, power of attorney or other individuals with authority to effect transactions, a Politically Exposed Person (PEP) also known as Senior Non U.S. Political Figure?: No

Is the client a 25% owner of the following business types?: DEFAULT - Individual

Is the client a 25% owner of the additional following business types?: N/A

Instructions

Instructions

Trade Pending

Trade Pending: No

All account information and agreements sent to each account holder?: No

Sweep Instructions

Preferred Sweep Vehicle: Money Market

Money Market: NSHXX

Link an Account: No

Suitability

Suitability

General Suitability Information

Marital Status: S

Number of Dependents: 0

Specific Investment Knowledge

Stocks: 2001

Bonds: NA

Mutual Funds: NA

Options: NA

Other: NA

Investment Objectives

Preservation of Capital: 2

Income: 3

Appreciation: 1

Speculation: 4

Other: No

Risk Tolerance: Moderate

Initial Portfolio Horizon: Long (Over 10 Years)

Net Worth (Primary and Additional Account Holders)

Combined Annual Income: \$175,000

Household net worth: \$400,000

Describe source of net worth: KYC - IC Exception

How was Estimated Net Worth determined?: Other - Explain

Other/Discussion: UNKNOWN

Combined Investable Assets: \$350,000

Federal Tax Bracket: 25-27.5%

Know Your Customer Information

Initial Funding Amount: \$350,000

Primary Source of funds for Initial Investment: Savings

Provide additional details: KYC - IC Exception

Purpose Of Account: Savings for retirement

Expected number of monthly deposits (including wire transactions): 0

Expected monthly wire activity (Incoming): 0

Expected number of monthly withdrawals (including wire transactions): 0

Expected monthly wire activity (Outgoing): 0

Expected Balance to be maintained in the account: \$250,001 - \$500,000

Authorization / Referral

There are no Authorizations for this account.

Referral Information

Referral

Referred Account: No

Interested Party

There are no Interested Parties for this account.

Beneficiary

There are no IRA Beneficiaries for this account.

Submitted User

House Primary

Principaled By

Rande Benz

Comments

Previous Comments

No comments have been logged to this account.

01/09/07 12:42 FAX 3477152780

QUICK COPY CENTER

002

**Bank of America
Investment Services, Inc.***
**Electronic Funds Transfer
(EFT) Link Request**

Fax to: 704-489-1310 (Full Service Non-Northeast Accounts)
617-798-1245 (Full Service Northeast Self-Directed Accounts)

Please complete a separate form for each Electronic Funds Transfer (EFT) Link request.

This form will enable you to establish an Electronic Funds Transfer (EFT) link between your bank account and your Bank of America Investment Services, Inc. ("BAI") brokerage account. **NOTE:** This form requires an EFT link ONLY. All funds will be transferred into or out of BAI brokerage accounts. Please contact your employer's representative or representative of the Plan or IRA to establish a transfer of funds into or out of BAI. Complete and submit appropriate authorized account information form. Periodic Investment Plan ("PIP") form, etc.

For all URBANUMA Accounts: The account title and registration of the brokerage and bank accounts MUST match exactly.

① Client Information

Print your name as it appears on your BAI brokerage account.

Name(s) on Account: Joseph Ross Account Number: 1143065714
Home Phone: [REDACTED] Work Phone: [REDACTED]

② Bank Information For routine money movements only - not for PIP EFT (later)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is **REQUIRED**.

Establish EFT link to: Account Type (check one) ☐ Checking ☐ Savings

Bank Name: _____

Name(s) on your Bank Account: _____

Bank Account Number: _____ Bank ABA/Routing Number: _____

☐ For all account proceeds (ONLY available for non-refundable brokerage accounts) ☐ For Ad Hoc transactions

☐ For recurring distribution

③ Periodic Investment Plan (PIP) EFT Link Information For PIP EFT (later only)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is **REQUIRED**.

☐ Debit my (our) Bank of America bank account.

Bank Account Number: _____ Bank ABA/Routing Number: _____ State: _____

Check type of bank account (check one) ☒ Checking ☐ Savings

☐ Debit other banking institution account. (Voided check is **REQUIRED**)

Bank Name: Washington Mutual

Bank Account Number: [REDACTED] Bank ABA/Routing Number: 2723 State: AL

Check type of bank account (check one) ☒ Checking ☐ Savings

For bank savings accounts are not eligible and your bank must be a member of the Automated Clearing House (ACH) system.

④ Authorization

Joseph Ross 1/9/07
BAI Brokerage Account Owner/Authorized Agent Signature Date

Signature(s) of Brokerage/Bank Account Co-Owner(s) (if applicable) Date

We want you to know: Investment products such as stocks, bonds and mutual funds provided by Bank of America Investment Services, Inc.*

Are Not FDIC Insured May Lose Value Are Not Bank Guaranteed

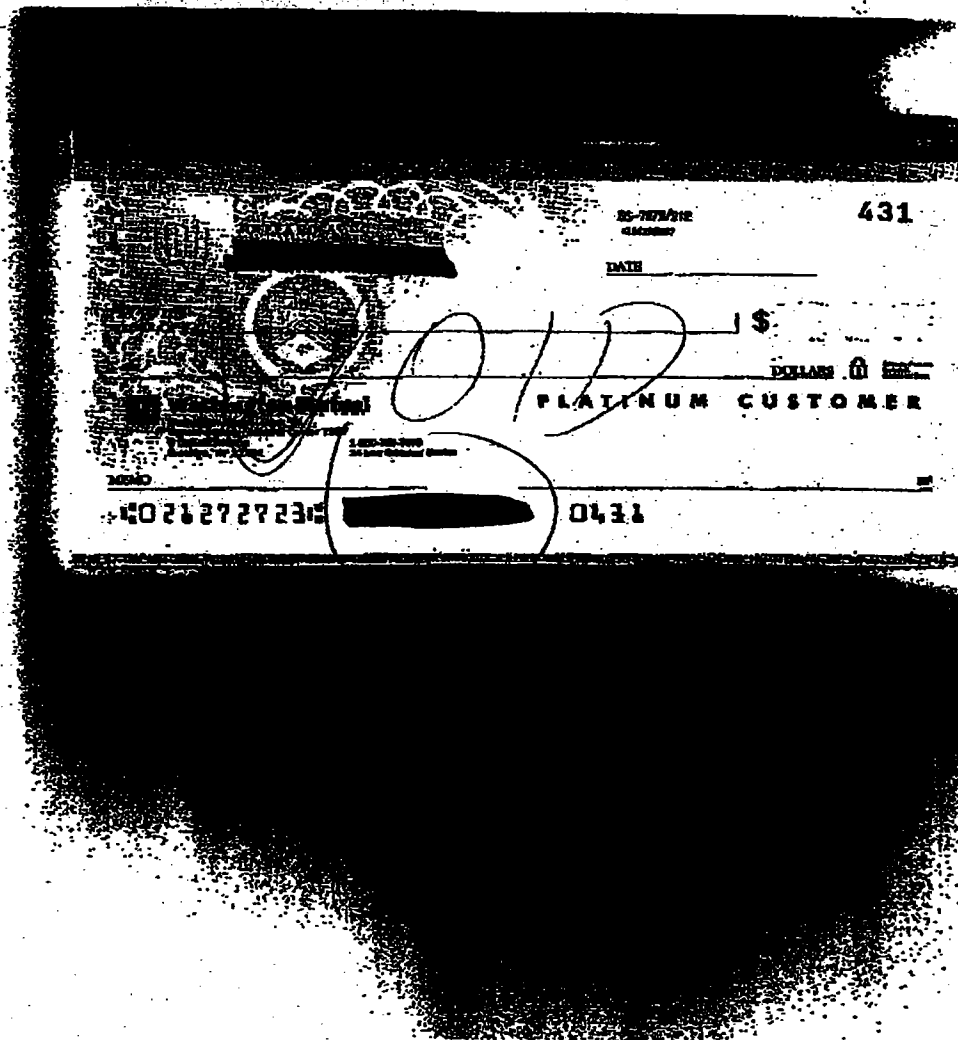
Bank of America Investment Services, Inc. is a registered broker-dealer, member NASD and SIPC, and a subsidiary of Bank of America, N.A. Bank of America Investment Services, Inc. is not a bank. We suggest you consult your personal tax advisor before making tax-related investment decisions.

06-25-37671000 02-2006

01/09/07 12:42 FAX 3477152789

QUICK COPY CENTER

003



Page 1 of 2

44929892

NY3065714

New Account Request Information.**Account Information**

Account Type: Standard Brokerage Account
Registration Type: Individual

Print

Signature Card**Product Name:**

Name: Josefa Rosa

Social Security Number: [REDACTED]

Address: [REDACTED] Bronx, NY, 10466

Tracking Number: 44929892

I/we acknowledge that I/we have received, read, understand and agree to the disclosures set forth in this application and the terms set forth in the separate Customer Agreement, and agree to be bound by such terms and conditions as are currently in effect and may be amended from time to time with or without prior notice. The agreement shall cover individually and collectively all accounts which I/we may open or reopen and shall inure to any successor (whether by merger, consolidation, assignments, transfers, or otherwise) to Banc of America Investment Services, Inc. I/we understand that telephone calls to Banc of America Investment Services, Inc., National Financial Services LLC and Bank of America may be recorded and I/we consent to such recording. All securities and other property now or hereafter held in the brokerage account of the undersigned may be pledged, repledged, hypothecated or rehypothecated, either separately or together with securities of other customers either for the amount due Banc of America Investment Services, Inc. or for a greater sum. Interest on debit balances will be charged and compounded in accordance with the Customer Agreement. I/we acknowledge that information provided by me/us to Banc of America Investment Services, Inc. (whether on this application, verbally or otherwise) may be used to verify my/our identity. I/we acknowledge that you may share information regarding my activity with you among Bank of America affiliates. I/we also agree that you may share information which I/we have provided to you on applications or which you receive from outside sources among the Bank of America affiliates, provided that I/we may tell you that I/we prefer that you do not share this information as provided in the Customer Agreement. I/we acknowledge that by signing the Signature Card, Bank of America and its affiliates may transmit information about me to its brokerage affiliate, Banc of America Investment Services, Inc.

Under the penalties of perjury, I certify that (1) the number shown on this application is my correct taxpayer identification number and (2) I am exempt from back-up withholding or I have not been notified by the IRS that I am subject to back-up withholding as a result of failure to report all interest and dividends, or the IRS notified me that I am no longer subject to back-up withholding and (3) I am a U.S. person (including a U.S. resident alien). Please note: If the IRS notified you that you are subject to back-up withholding because of underreporting (and notice has not been terminated by the IRS), please disregard statement (2) above.

Investment products provided by Banc of America Investment Services, Inc. ®:

Are Not FDIC Insured	May Lose Value	Are Not Bank Guaranteed
-------------------------	-------------------	----------------------------

Banc of America Investment Services, Inc. is a registered broker-dealer.

<https://admin.baisidirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/8/2007

Page 2 of 2

member NASD and SIPC and is a nonbank subsidiary of Bank of America, N.A. Banc of America Investment Services, Inc. is not a tax advisor. We suggest you consult your personal tax advisor before making tax-related investment decisions.

I/we understand that Section 16 on page 3 of the Customer Agreement contains a predispute arbitration clause requiring all disputes under this agreement to be settled by binding arbitration. By signing the Signature Card, I/we have received, read, understand and agree to such arbitration provisions and also acknowledge receiving a copy of the agreement.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Electronic signature provided by applicant 01-07-2007
Josefa Rosa Date (MM-DD-YYYY)

Internal User Only

Banc Of America Investment Services, Inc.

Registered rep signature Date (MM-DD-YYYY)

Registered rep name (print)

Registered rep number

Principal signature Date (MM-DD-YYYY)

Account carried by National Financial Services LLC

<https://admin.baisdirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/8/2007

Banc of America Investment Services, Inc.™

Account Number: NY3-065714

070131 290 001172242 C 1
BANC OF AMERICA
INVESTMENT SERVICES INC.
PO BOX 863
RII-341-02-05
LINCOLN, RI 02865

JOSEFA ROSA
[REDACTED]
BRONX NY 10466

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Call Center 800 926 1111

Investment products provided by Banc of America Investment Services, Inc.:

ARE NOT FDIC INSURED MAY LOSE VALUE ARE NOT BANK GUARANTEED

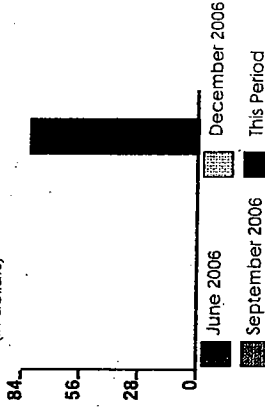
Banc of America Investment Services, Inc. is a registered broker-dealer,
member NASD and SIPC and a nonbank subsidiary of Bank of America, N.A.
Portfolio Value
(In dollars)

Statement Date: 01/01/07 to 01/31/07

SNAPSHOT

TOTAL PORTFOLIO
\$82.11

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	\$82.11	\$0.00
TOTAL PORTFOLIO VALUE	\$82.11	\$0.00



A portfolio value less than \$100.00 may not be displayed.

ACCOUNT ACTIVITY	This Period	Year To Date
Net Core Fund Activity	(\$82.11)	(\$82.11)
Net Income and Expenses	\$82.11	\$82.11

LEGEND
() Numbers in parentheses
are debits or subtractions
NFS - National Financial
Services LLC

Page 1 of 7
070131 290 001172242

Account carried with National Financial Services LLC, Member NYSE, SIPC

Confidential Treatment Requested by BAI under FOIA BAI-SEC-WBH000057

Account Number: NY3-065714
Account Name: ROSA JOSEF

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

SUMMARY

PORTFOLIO VALUE		This Period	Prior Period
Cash and Cash Equivalents			
Money Markets		\$82.11	\$0.00
TOTAL PORTFOLIO VALUE		\$82.11	\$0.00
ACCOUNT ACTIVITY		This Period	Year To Date
BEGINNING BALANCE		\$0.00	
Core Fund Activity			
Core Funds Purchased		(\$155,082.11)	(\$155,082.11)
Core Funds Sold		\$155,000.00	\$155,000.00
NET CORE FUND ACTIVITY		(\$82.11)	(\$82.11)
Income and Expenses			
Taxable Income			
Taxable Dividends		\$82.11	\$82.11
NET TAXABLE INCOME		\$82.11	\$82.11
TOTAL INCOME		\$82.11	\$82.11
NET INCOME AND EXPENSES		\$82.11	\$82.11
ENDING BALANCE		\$0.00	\$82.11

ALERT: Taxable Income is determined based on information available to NPS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in January of the subsequent year.

DETAIL

PORTFOLIO VALUE

Mutual Funds, Annuities, and other investment products are not insured by the FDIC or any other government agency, are not deposits or obligations of, or guaranteed by, Banc of America Investment Services or any affiliate, and are subject to investment risks, including possible loss of principal invested.

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Account Type	Quantity	Price on 01/31/07	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets							
COLUMBIA CASH RESERVES DAILY		NSHXX					
7 DAY AVG NET YIELD	4.56%	CASH	82.11	\$1.00	\$82.11	unavailable	
Total Cash and Cash Equivalents					\$82.11		

Account Number: NY3-065714
 Account Name: ROSA JOSEF

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

TOTAL PORTFOLIO VALUE

\$82.11

ACCOUNT ACTIVITY

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/12/07	CASH	YOU BOUGHT	COLUMBIA CASH RESERVES DAILY @ 1	155,000	(\$155,000.00)
01/31/07	CASH	YOU BOUGHT	COLUMBIA CASH RESERVES DAILY @ 1	82.11	(\$82.11)
Net Core Funds Purchased					(\$155,082.11)

Core Funds Sold

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/17/07	CASH	YOU SOLD	COLUMBIA CASH RESERVES DAILY @ 1	(97,000)	\$97,000.00
01/23/07	CASH	YOU SOLD	COLUMBIA CASH RESERVES DAILY @ 1	(58,000)	\$58,000.00
Net Core Funds Sold					\$155,000.00

NET CORE FUND ACTIVITY

(\$82.11)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
01/30/07	CASH	DIVIDEND RECEIVED	COLUMBIA CASH RESERVES DAILY DIVIDEND RECEIVED		\$82.11

Net Taxable Income

\$82.11

Total Income

\$82.11

NET INCOME AND EXPENSES

\$82.11

Account Number: NY3-065714
Account Name: ROSA JOSEF
Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

MESSAGES

Did you know that you can access your Banc of America Investment Services, Inc. Self-Directed Brokerage account statements, trade confirms and tax documents online through bankofamerica.com/investments? Once you sign in to your account, simply click on the Statements and Documents link under the Account Info tab to view this information. We encourage you to make the most of your brokerage account's online resources.

Account Number: NY3-065714
Account Name: ROSA JOSEF

Statement Date: 01/01/2007 to 01/31/2007

Banc of America Investment Services, Inc.™

CURRENT PERIOD FEES & EXPENSES

Units	Fund Name	CUSIP	Annual Expense Ratio *	Current Period Fees & Expenses **	Ending Market Value
82.11	COLUMBIA CASH RESERVES DAILY	19765X605	0.80%	\$0.05	\$82.11

The mutual funds, referenced above, are distributed by Columbia Management Distributors, Inc., an affiliate of Banc of America Investment Services, Inc. Please note fees and expenses charged by these mutual fund providers are included in the share price of each fund and are not deducted from the ending balance on your statement.

* Annual Expense Ratio is based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement. Expense ratios are updated approximately 60 days after the fund's fiscal year-end. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.
** Current Period Fees & Expenses are approximate, they represent a monthly calculation, assume that the investor held shares of the fund valued at the ending balance for the entire statement period, and do not include the effect of any transactions that may have been made during the period.

Additional Disclosures:
- Additional fee information, including a summary of an independent fee consultant's management fee evaluation, is available at www.columbiafunds.com and in shareholder reports.

- Fees disclosed here for a Fund that invests in multiple underlying Portfolios do not include the Fund's portion of the fees and expenses deducted from the assets of the underlying Portfolios, which varies depending on the amount the Fund invests in particular underlying Portfolios.

- Fees disclosed here for a feeder Fund that invests all of its assets in a single underlying master Portfolio include the Fund's portion of the fees and expenses deducted from the assets of the underlying master Portfolio.

- Fees disclosed here for a Fund that is held through a wrap fee program do not include the separate fees and expenses that are imposed under your wrap fee program.

An investment in a money market mutual fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market mutual funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in money market mutual funds. Past performance is no guarantee of future results. You should carefully consider a fund's investment objectives, risks, charges and expenses before investing. This and other important information is included in the fund's prospectus, which should be read carefully before investing.

The following section details the hypothetical future fee and expense structure associated with your mutual funds distributed by Columbia Management Distributors, Inc.. The following tables are produced for illustrative purposes only and do not guarantee future results. Actual results may vary. The illustrations are based on the assumptions appearing at the end of this section.

GLOSSARY Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the Short Account. Any transferred to your Margin Account on a weekly basis. **Market Value** - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed at 5 decimal places. This amount represents values obtained from various quotation services, which may in turn, obtain appraisals based on closing prices and/or the mean bid and ask on the last day of the statement period. Accordingly, the accuracy of such prices cannot be guaranteed. The securities may be unpriced for various reasons including, but not limited to, unavailability of pricing or the securities may not have value. Securities are identified on the front of the statement. Investment decisions should be made only after consulting your broker/dealer for an actual quote. **Estimated Yield and Estimated Annual Income** - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the **CUSTOMER SERVICE** Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker/dealer at the telephone number and address reflected on the front of this statement. National Financial Services LLC ("NFS") carries your brokerage account and acts as your custodian for funds and securities deposited with us directly by you, through your broker/dealer, or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your brokerage account only may be directed to NFS at (617) 563-5977. When contacting either NFS or your broker/dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center of your broker/dealer promptly in writing of any change of address. Please review your statement and report any discrepancies immediately.

ADDITIONAL INFORMATION Customer free credit balances are not segregated and may be used in NFS business, subject to the limitations of 17CFR Section 240.15c3-2 under the Securities and Exchange Act of 1934. You have the right to receive from NFS in the course of normal business operations, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled or any fully paid securities to which you are entitled and any securities purchased on margin upon full payment of any indebtedness to NFS. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker/dealer and/or NFS.

Credit Adjustment Program. Accountholders receiving payments in lieu of qualified dividends may not be eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

Options Customers. Each transaction confirmation previously delivered to you contains full information about commissions and other charges. If you require further information, please contact your broker/dealer. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker/dealer promptly of any material change in your investment objectives or financial situation. **Splits, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker/dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

Equity Dividend Reinvestment Customers. Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker/dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker/dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in "over-the-counter" securities.

Retirement Contributions/Distributions. A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement.

Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income. Since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions, a financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

Statement Mailing. NFS will mail statements to customers who have had transactions that affect their cash balances or security positions held in their brokerage accounts during the last monthly reporting period. All other brokerage customers will receive customer statements at least four times during a calendar year as long as the brokerage account contains cash or securities balances. Please keep these statements for your records, as they will help you prepare your income tax returns.

Loads and Fees. In addition to sales loads and 12b-1 fees described in the prospectus, NFS or your

Estimated Yield figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the Estimated Annual Income figure. These figures are estimates only, based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions. **Estimated Value** - If this statement contains an estimated value, you should be aware that this value may be based on a limited number of trades or quotes. Therefore, you may not be able to sell these securities at a price equal or near to the value shown. However, the broker/dealer furnishing this statement may not refuse to accept your order to sell these securities. Also, the amount you receive from a sale generally will be reduced by the amount of any commissions or similar charges. If an estimated value is not shown for a security, a value could not be determined because of lack of information.

broker/dealer may receive compensation up to 35 basis points of the average daily net assets of certain mutual funds in connection with your purchase of those mutual funds and/or the on-going maintenance of your brokerage account with respect to those shares. The compensation is paid by the mutual fund and/or its affiliate. Additional information about the source and amount of the compensation will be furnished to you upon written request.

Fixed Income. The prices given for fixed income securities on this statement are approximations, not actual market bids or prices and are provided only as a general guide.

Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request.

NYSE and NASD. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house, if any, where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the National Association of Securities Dealers, Inc. ("NASD"). The NASD requires that we notify you in writing of the availability of an investor brochure that includes information, describing NASD Regulation's Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or NASD Regulation, contact the NASD Regulation Public Disclosure Program Hotline at (800) 289-9999 or access the NASD's web site at www.nasdr.com.

New York Stock Exchange Rule 382 requires that your broker/dealer and NFS allocate between them certain functions regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker/dealer and NFS. A more complete description is available upon request.

Your broker/dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations including compliance with margin rules pertaining to your margin account, if applicable, and (6) maintaining required books and records for the services that it performs.

NFS shall, at the direction of your broker/dealer: (1) execute, clear and settle transactions processed through NFS by your broker/dealer, (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker/dealer has undertaken to do so). Certain pricing and other information may be provided by your broker/dealer or obtained from third parties, which has not been verified by NFS, (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker/dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities on margin. Your broker/dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs.

Securities in accounts carried by National Financial Services LLC ("NFS"), a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000 (including cash claims limited to \$100,000). For details, please see www.sipc.org. NFS has arranged for additional insurance protection for cash and securities to supplement its SIPC coverage. This additional protection covers total account net equity in excess of the \$500,000/\$100,000 coverage provided by SIPC. Neither coverage protects against a decline in the market value of securities. Assets Held - Away. Commodities, unregistered investment contracts, futures accounts and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

End of Statement

Page 7 of 7

070131 290 001172242

051112

Banc of America Investment Services, Inc.™

Account Number: NY3-065714

070228 300 007108550 C 1
BANC OF AMERICA
INVESTMENT SERVICES INC.
PO BOX 863
RIJ-541-02-05
LINCOLN, RI 02865

JOSEFA ROSA

BRONX NY 10466

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Call Center 800 926 1111

Investment products provided by Banc of America Investment Services, Inc.:

ARE NOT FDIC INSURED MAY LOSE VALUE ARE NOT BANK GUARANTEED

Banc of America Investment Services, Inc. is a registered broker-dealer,
member NASD and SIPC and a nonbank subsidiary of Banc of America, N.A.

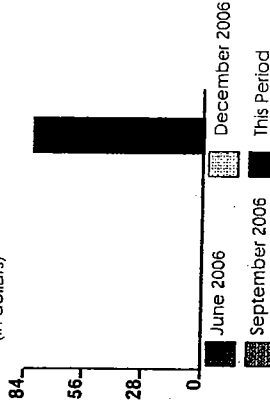
Portfolio Value
(in dollars)

Statement Date: 02/01/07 to 02/28/07

SNAPSHOT

TOTAL PORTFOLIO
\$82.39

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	\$82.39	\$82.11
TOTAL PORTFOLIO VALUE	\$82.39	\$82.11



A portfolio value less than \$100.00 may not be displayed.

ACCOUNT ACTIVITY	This Period	Year-To-Date
------------------	-------------	--------------

Net Core Fund Activity (\$0.28) (\$82.39)
Net Income and Expenses \$0.28 \$82.39

LEGEND

() Numbers in parentheses
are debits or subtractions
NFS = National Financial
Services LLC

Page 1 of 7
070228 300 007108550

Account carried with National Financial Services LLC, Member NYSE, SIPC

Confidential Treatment Requested by BAI under FOIA

BAI-SEC-WBH0000065

Account Number: NY3-065714
Account Name: ROSA JOSEF

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

SUMMARY

PORTFOLIO VALUE		This Period	Prior Period
Cash and Cash Equivalents Money Markets		\$82.39	\$82.11
TOTAL PORTFOLIO VALUE		\$82.39	\$82.11
ACCOUNT ACTIVITY		This Period	Year To Date
BEGINNING BALANCE		\$0.00	
Core Fund Activity			
Core Funds Purchased		(\$0.28)	(\$155,082.39)
Core Funds Sold		\$0.00	\$155,000.00
NET CORE FUND ACTIVITY		(\$0.28)	(\$82.39)
Income and Expenses			
Taxable Income			
Taxable Dividends		\$0.28	\$82.39
NET TAXABLE INCOME		\$0.28	\$82.39
TOTAL INCOME		\$0.28	\$82.39
NET INCOME AND EXPENSES		\$0.28	\$82.39
ENDING BALANCE		\$0.00	

DETAIL

PORTFOLIO VALUE

Mutual Funds, Annuities, and other investment products are not insured by the FDIC or any other government agency, are not deposits or obligations of, or guaranteed by, Banc of America Investment Services or any affiliate, and are subject to investment risks, including possible loss of principal invested.

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip Account Type	Quantity	Price on 02/28/07	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						

Account Number: NY3-065714
 Account Name: ROSA JOSEF

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip	Account Type	Quantity	Price on 02/28/07	Current Market Value	Prior Market Value	Estimated Annual Income
COLUMBIA CASH RESERVES DAILY	NSHXX	CASH	82.39	\$1.00	\$82.39	\$82.11	
7 DAY AVG NET YIELD 4.58%							
Dividend Option Reinvest							
Capital Gain Option Reinvest							
Total Cash and Cash Equivalents					\$82.39		

TOTAL PORTFOLIO VALUE

\$82.39

ACCOUNT ACTIVITY

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/27/07	CASH	REINVESTMENT	COLUMBIA CASH RESERVES DAILY NET DIV REINVEST	0.28	(\$0.28)
Net Core Funds Purchased					(\$0.28)

NET CORE FUND ACTIVITY

(\$0.28)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
02/27/07	CASH	DIVIDEND RECEIVED	COLUMBIA CASH RESERVES DAILY DIVIDEND RECEIVED		\$0.28
Net Taxable Income					\$0.28

Total Income

\$0.28

Banc of America Investment Services, Inc.™

Account Number: NY3-065714
Account Name: ROSA JOSEF
Statement Date: 02/01/2007 to 02/28/2007

NET INCOME AND EXPENSES

\$0.28

MESSAGES

IRA contributions to your brokerage account not only allow you to invest for your future, but may also be tax-deductible, up to \$4,000 in 2006 and 2007. While you have until April 17, 2007 to make your 2006 contribution, you can start making 2007 contributions today. To take advantage of this investing opportunity, open a Self-Directed Brokerage IRA with Banc of America Investment Services, Inc. Visit bankofamerica.com/investments to get started.

Account Number: NY3-065714
Account Name: ROSA JOSEF

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

CURRENT PERIOD FEES & EXPENSES

Units	Fund Name	CUSIP	Annual Expense Ratio *	Current Period Fees & Expenses **	Ending Market Value
82.39	COLUMBIA CASH RESERVES DAILY	19765K605	0.80%	\$0.05	\$82.39

The mutual funds, referenced above, are distributed by Columbia Management Distributors, Inc., an affiliate of Banc of America Investment Services, Inc.

Please note fees and expenses charged by these mutual fund providers are included in the share price of each fund and are not deducted from the ending balance on your statement.

* Annual Expense Ratio is based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement. Expense ratios are updated approximately 60 days after the fund's fiscal year-end. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.

** Current Period Fees & Expenses are approximate, they represent a monthly calculation, assume that the Investor held shares of the fund valued at the ending balance for the entire statement period, and do not include the effect of any transactions that may have been made during the period.

Additional Disclosures:

- Additional fee information, including a summary of an independent fee consultant's management fee evaluation, is available at www.columbiafunds.com and in shareholder reports.

- Fees disclosed here for a Fund that invests in multiple underlying Portfolios do not include the Fund's portion of the fees and expenses deducted from the assets of the underlying Portfolios, which varies depending on the amount the Fund invests in particular underlying Portfolios.

- Fees disclosed here for a feeder Fund that invests all of its assets in a single underlying master Portfolio include the Fund's portion of the fees and expenses deducted from the assets of the underlying master Portfolio.

- Fees disclosed here for a Fund that is held through a wrap fee program do not include the separate fees and expenses that are imposed under your wrap fee program.

An investment in a money market mutual fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market mutual funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in money market mutual funds. Past performance is no guarantee of future results. You should carefully consider a fund's investment objectives, risks, charges and expenses before investing. This and other important information is included in the fund's prospectus, which should be read carefully before investing.

The following section details the hypothetical future fee and expense structure associated with your mutual funds distributed by Columbia Management Distributors, Inc.. The following tables are produced for illustrative purposes only and do not guarantee future results. Actual results may vary. The illustrations are based on the assumptions appearing at the end of this section.

Account Number: NY3-065714
Account Name: ROSA JOSEF

Statement Date: 02/01/2007 to 02/28/2007

Banc of America Investment Services, Inc.™

HYPOTHETICAL FUTURE FEES & EXPENSES

COLUMBIA CASH RESERVES DAILY

Initial Hypothetical Investment

Assumed Rate of Return

197656605

\$10,000.00

5.00%

Year	Cumulative Return Before Fees & Expenses	Annual Expense Ratio ***	Cumulative Return After Fees & Expenses	Hypothetical Year-End Balance After Fees & Expenses	Annual Fees & Expenses
01	5.00%	0.80%	4.20%	\$10,420.00	\$81.68
02	10.25%	0.87%	8.50%	\$10,850.35	\$92.53
03	15.76%	0.87%	12.98%	\$11,298.47	\$96.35
04	21.55%	0.87%	17.65%	\$11,765.09	\$100.33
05	27.63%	0.87%	22.51%	\$12,250.99	\$104.47
06	34.01%	0.87%	27.57%	\$12,756.96	\$108.78
07	40.71%	0.87%	32.84%	\$13,283.82	\$113.28
08	47.75%	0.87%	38.32%	\$13,832.44	\$117.96
09	55.13%	0.87%	44.04%	\$14,403.72	\$122.83
10	62.89%	0.87%	49.99%	\$14,998.59	\$127.90
Total Gain After Fees & Expenses				\$4,998.59	
Total Annual Fees & Expenses					\$1,066.09

Hypothetical Fees & Expenses:

*** Annual Expense Ratios are based on amounts incurred during the most recent fiscal year, as shown in the fund's audited financial statement, may have been restated to reflect current service provider fees, and are net of any waivers, reimbursement or caps that the fund's manager has committed to the fund for the period of the commitment. Annual Expense Ratio is updated in conjunction with annual updates to the fund's prospectus. For new funds that do not yet have audited financial statements covering more than a six-month period, Annual Expense Ratio is estimated.

**** The hypothetical information shown above calculates the approximate fees & expenses that would be charged on the Initial Hypothetical Investment of \$10,000, based on a 5% return each year. Actual results may vary. The fee and expense information shown assumes that all dividends and distributions are reinvested in the fund.

Assumptions:

- Annual Fees & Expenses are approximate and assume that the investor had an initial investment of \$10,000 and held shares of the fund for an entire 10-year period and do not include contingent deferred sales charges.
- For Class B and G shares, the expense ratios reflect fee reductions resulting from conversion to Class A and Class T shares, respectively, for shares held after year eight.
- For Class A shares, the year one Annual Fees & Expenses & Hypothetical Year-End Balance After Fees & Expenses Information shown include the dollar amount and effect of any applicable front-end sales charge of the fund.
- Annual Fees & Expenses are calculated based on the average between the beginning and ending balance for each year. All information is calculated on an annual compounding basis.
- Hypothetical Future Fees & Expenses are hypothetical and should not be used or construed as an offer to sell, a solicitation of an offer to buy, or a recommendation or endorsement of any specific mutual fund. Mutual fund fees & expenses fluctuate over time and actual expenses may be higher or lower than those shown. You should carefully review the fund's prospectus to consider the investment objectives, risks, expenses and charges of the fund prior to investing.

GLOSSARY Short Account Balances - If you have sold securities under the short sale rule, we have, in accordance with regulations, segregated the proceeds from such transactions in your Short Account. Any market increases or decreases from the original sale price will be marked to the market and will be transferred to your Margin Account on a weekly basis. **Market Value** - The Total Market Value has been calculated out to 9 decimal places, however, the individual unit price is displayed at 5 decimal places. This amount represents values obtained from various quotation services, which may in turn, obtain appraisals based on closing prices and/or the mean bid and ask on the last day of the statement period. Accordingly, the accuracy of such prices cannot be guaranteed. The securities may be unpriced for various reasons including, but not limited to, unavailability of pricing or the securities may not have value. Securities are identified on the front of the statement. Investment decisions should be made only after consulting your broker/dealer for an actual quote. **Estimated Yield and Estimated Annual Income** - When available, the coupon rate of some fixed income securities is divided by the current market value of the fixed income security to create the **CUSTOMER SERVICE** Inquiries or concerns regarding your brokerage account or the activity therein should be directed to your broker/dealer at the telephone number and address reflected on the front of this statement. National Financial Services LLC ("NFS") carries your brokerage account and acts as your custodian for funds and securities deposited with us directly by you, through your broker/dealer, or as a result of transactions we process for your account. Inquiries concerning the positions and balances in your brokerage account only may be directed to NFS at (617) 563-5977. When contacting either NFS or your broker/dealer, remember to include your entire brokerage account number to ensure a prompt reply. Please notify the service center of your broker/dealer promptly in writing of any change of address. Please review your statement and report any discrepancies immediately.

ADDITIONAL INFORMATION Customer free credit balances are not segregated and may be used in NFS business, subject to the limitations of 17CFR Section 240.15c3-2 under the Securities and Exchange Act of 1934. You have the right to receive from NFS in the course of normal business operations, subject to open commitments in any of your brokerage accounts, any free credit balances to which you are entitled or any fully paid securities to which you are entitled and any securities purchased on margin upon full payment of any indebtedness to NFS. Interest on free credit balances awaiting reinvestment may be paid out at rates that may vary with current short-term money market rates and/or your brokerage account balances, set at the discretion of your broker/dealer and/or NFS.

Credit Adjustment Program. Accountholders receiving payments in lieu of qualified dividends may not be eligible to receive credit adjustments intended to help cover additional associated federal tax burdens. NFS reserves the right to deny the adjustment to any accountholder and to amend or terminate the credit adjustment program.

Options Customers. Each transaction confirmation previously delivered to you contains full information about commissions and other charges, if you require further information, please contact your broker/dealer. Assignments of American and European-style options are allocated among customer short positions pursuant to a random allocation procedure, a description of which is available upon request. Short positions in American-style options are liable for assignment at any time. The writer of a European-style option is subject to exercise assignment only during the exercise period. You should advise your broker/dealer promptly of any material change in your investment objectives or financial situation. **Splits, Dividends, and Interest.** Expected stock split, next dividend payable, and next interest payable information has been provided by third parties and may be subject to change. Information for certain securities may be missing if not received from third parties in time for printing. NFS is not responsible for inaccurate, incomplete, or missing information. Please consult your broker/dealer for more information about expected stock split, next dividend payable, and next interest payable for certain securities.

Equity Dividend Reinvestment Customers. Shares credited to your brokerage account resulted from transactions effected as agent by either: 1) Your broker/dealer for your investment account, or 2) through the Depository Trust Company (DTC) dividend reinvestment program. For broker/dealer effected transactions, the time of the transactions, the exchange upon which these transactions occurred and the name of the person from whom the security was purchased will be furnished upon written request. NFS may have acted as market maker in effecting trades in over-the-counter securities.

Retirement Contributions/Distributions. A summary of retirement contributions/distributions is displayed for you in the activity summary section of your statement.

Income Reporting. NFS reports earnings from investments in Traditional IRAs, Rollover IRAs, SEP-IRAs and Keoghs as tax-deferred income. Earnings from Roth IRAs are reported as tax-free income, since distributions may be tax-free after meeting the 5 year aging requirement and certain other conditions.

A financial statement of NFS is available for your personal inspection at its office or a copy of it will be mailed to you upon your written request.

Statement Mailing. NFS will mail statements to customers who have had transactions that affect their cash balances or security positions held in their brokerage accounts during the last monthly reporting period. All other brokerage customers will receive customer statements at least four times during a calendar year as long as the brokerage account contains cash or securities balances. Please keep these statements for your records, as they will help you prepare your income tax returns.

Loads and Fees. In addition to sales loads and 12b-1 fees described in the prospectus, NFS or your

Page 7 of 7

Estimated Yield figure and/or the current interest rate or most recently declared dividends for certain securities are annualized to create the Estimated Annual Income figure. These figures are estimates only, based on mathematical calculations of available data, and have been obtained from information providers believed to be reliable, but no assurance can be made as to accuracy. Since the interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political and business conditions, they should not be relied on for making investment, trading decisions, or tax decisions. **Estimated Value** - If this statement contains an estimated value, you should be aware that this value may be based on a limited number of trades or quotes. Therefore, you may not be able to sell these securities at a price equal or near to the value shown. However, the broker/dealer furnishing this statement may not refuse to accept your order to sell these securities. Also, the amount you receive from a sale generally will be reduced by the amount of any commissions or similar charges. If an estimated value is not shown for a security, a value could not be determined because of lack of information.

Broker/Dealer may receive compensation up to 35 basis points of the average daily net assets of certain mutual funds in connection with your purchase of those mutual funds and/or the on-going maintenance of your brokerage account with respect to those shares. The compensation is paid by the mutual fund and/or its affiliate. Additional information about the source and amount of the compensation will be furnished to you upon written request.

Fixed Income. The prices given for fixed income securities on this statement are approximations, not actual market bids or prices and are provided only as a general guide.

Margin. If you have applied for margin privileges and been approved, you may borrow money from NFS in exchange for pledging the assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on the value of securities in your margin account, which is identified on your statement. If you have a margin account, this is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve Board. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request.

NYSE and NASD. All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange market and its clearing house, if any, where the transactions are executed, and of the New York Stock Exchange (NYSE) and of the National Association of Securities Dealers, Inc. ("NASD"). The NASD requires that we notify you in writing of the availability of an investor brochure that includes information describing NASD Regulation's Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or NASD Regulation, contact the NASD Regulation Public Disclosure Program Hotline at (800) 289-9999 or access the NASD's web site at www.nasdr.com.

New York Stock Exchange Rule 382 requires that your broker/dealer and NFS allocate between them certain functions regarding the administration of your brokerage account. The following is a summary of the allocation services performed by your broker/dealer and NFS. A more complete description is available upon request.

Your broker/dealer is responsible for: (1) obtaining and verifying brokerage account information and documentation, (2) opening, approving and monitoring your brokerage account, (3) transmitting timely and accurate instructions to NFS with respect to your brokerage account, (4) determining the suitability of investment recommendations and advice, (5) operating, and supervising your brokerage account and its own activities in compliance with applicable laws and regulations including compliance with margin rules pertaining to your margin account, if applicable, and (6) maintaining required books and records for the services that it performs.

NFS shall, at the direction of your broker/dealer: (1) execute, clear and settle transactions processed through NFS by your broker/dealer, (2) prepare and send transaction confirmations and periodic statements of your brokerage account (unless your broker/dealer has undertaken to do so), (3) certain pricing and other information may be provided by your broker/dealer or obtained from third parties, which has not been verified by NFS, (3) act as custodian for funds and securities received by NFS on your behalf, (4) follow the instructions of your broker/dealer with respect to transactions and the receipt and delivery of funds and securities for your brokerage account, and (5) extend margin credit for purchasing or carrying securities on margin. Your broker/dealer is responsible for ensuring that your brokerage account is in compliance with federal, industry and NFS margin rules, and for advising you of margin requirements. NFS shall maintain the required books and records for the services it performs.

Securities in accounts carried by National Financial Services LLC ("NFS"), a Fidelity Investments company, are protected in accordance with the Securities Investor Protection Corporation ("SIPC") up to \$500,000 (including cash claims limited to \$100,000). For details, please see www.sipc.org. NFS has arranged for additional insurance protection for cash and securities to supplement its SIPC coverage. This additional protection covers total account net equity in excess of the \$500,000/\$100,000 coverage provided by SIPC. Neither coverage protects against a decline in the market value of securities. Assets Held Away, commodities, unregistered investment contracts, futures accounts and other investments may not be covered. Mutual funds and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal.

End of Statement

051112

070228 300 007108550

Quantity	Symbol/CUSIP	Security Price	Price Type	Activity Date	Execution Time	Execution Quantity	Execution Price	Time to Fill	Security Description	Electronic Order Number
100000	STK		0.07 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	01R1855
100000	STK		0.07 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	SYSTEMONE TECHNOLOGIES INC	01R1855
100000	DKR		0.0075 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	DRAKE GOLD RES INC COM	01R2360
43000	FCCN		0.048 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	DRAKE GOLD RES INC COM	01R2360
43000	FCCN		0.048 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	FRANCHISE CAP CORP	01R2631
200	GOOG		499.22 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	FRANCHISE CAP CORP	01R2631
200	GOOG		499.22 LIMIT	1/11/2007	n/a	n/a	n/a	DAY ORDER	GOOGLE INC CL A	01R2957
									GOOGLE INC CL A	01R2957

Account #	Account Name	IP Address
CV4208256	PETER MORGAN	70.116.107.167
NY3057860	JOHN MURPHY	70.5.118.15
NY3056871	DONNA MURPHY	69.122.128.66
NY3060003	LARISA KORSHAK	70.5.159.171
NY3060160	ROBERT MCKEON	70.5.144.233
NY3064033	PETER MARSHALL	67.102.52.250 / 24.90.135.144
NY3065714	JOSEFA ROSA	24.90.135.144
NY3064068	Ralph Saal	24.90.135.144

User	Account	Date	IP	Location	Referrer Address
peter268	CV4028256	12/20/2006 10:15	70.116.107.167	houston, texas, united states, n (fixed)	ref:https://www.basdirect.com/login.html
johnmunro17	NY3057860	N/A	N/A	N/A	N/A
DONNAHUR56	NY3056871	N/A	N/A	N/A	N/A
larkor129	NY3060003	12/27/2006 12:29	70.5.159.171	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		12/26/2006 9:44	64.39.38.145	frankfurt am main, hessen, germany, basdirect (none)	ref:https://www.basdirect.com/shared/modules/authentication.php
		12/26/2006 2:33	70.5.113.161	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/shared/modules/authentication.php
		12/20/2006 10:10	68.80.68.114	berlin, germany, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		12/20/2006 4:36	70.116.107.167	houston, texas, united states, n (fixed)	ref:https://www.basdirect.com/login.html
		12/20/2006 3:41	70.116.107.167	houston, texas, united states, n (fixed)	ref:https://www.basdirect.com/login.html
		12/20/2006 1:06	70.116.107.167	houston, texas, united states, n (fixed)	ref:https://www.basdirect.com/login.html
pety1pider	NY3064033	1/23/2007 2:02	24.90.135.144	new york, new york, united states, n (anonymous)	ref:https://www.basdirect.com/index.html
		1/18/2007 10:08	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/login.html
		1/18/2007 8:28	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/login.html
		1/18/2007 1:14	172.169.51.245	richmond, virginia, united states, aol (coldip)	ref:https://www.basdirect.com/index.html
		1/17/2007 9:40	171.159.192.10	vienna, virginia, united states, bankofamerica (international proxy)	ref:https://www.basdirect.com/index.html
		1/17/2007 8:34	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/superuserlogin.html
		1/17/2007 6:50	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/17/2007 6:37	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/shared/modules/authentication.php
		1/17/2007 2:47	67.102.52.250	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/17/2007 8:51	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/16/2007 5:41	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/16/2007 2:00	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/13/2007 10:12	69.114.217.113	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/13/2007 10:02	68.244.205.113	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/12/2007 11:05	69.114.217.113	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/12/2007 11:05	69.114.217.113	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/12/2007 1:37	68.244.241.154	pennsaulen, new jersey, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/12/2007 1:37	68.244.241.154	pennsaulen, new jersey, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/9/2007 12:18	70.5.24.3	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/9/2007 11:07	70.5.206.18	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/8/2007 5:45	68.246.128.57	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/8/2007 1:46	24.90.135.144	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/7/2007 11:04	64.39.38.145	frankfurt am main, hessen, germany, basdirect (none)	ref:https://www.basdirect.com/index.html
jlgmen07	NY3065714	1/23/2007 2:03	24.90.135.144	new york, new york, united states, n (anonymous)	ref:https://www.basdirect.com/index.html
		1/18/2007 10:04	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/18/2007 3:19	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/17/2007 11:08	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/17/2007 9:55	67.102.52.250	mclean, virginia, united states, covered (fixed)	ref:https://www.basdirect.com/index.html
		1/17/2007 9:41	71.159.192.10	richmond, virginia, united states, bankofamerica (international proxy)	ref:https://www.basdirect.com/index.html
		1/17/2007 6:38	70.5.127.309	pen springs, california, united states, verizon (fixed)	ref:https://www.basdirect.com/superuserlogin.html
		1/17/2007 5:35	70.5.127.309	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/16/2007 11:22	153.25.111.60	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/9/2007 12:20	70.5.24.3	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/8/2007 10:42	68.246.128.57	new york, new york, united states, spokane (mobile gateway)	ref:https://www.basdirect.com/index.html
		1/7/2007 9:07	172.165.83.189	vienna, virginia, united states, aol (coldip)	ref:https://www.basdirect.com/shared/modules/authentication.php

Beth Rothstein

Account Accepted by Scottrade Branch on 11/8/2006.
 Account Accepted by Mark Finnegan on 11/8/2006.

Scottrade®

More broker for your money.

Account #: 70611570



BROKERAGE ACCOUNT APPLICATION

Branch Address: 230 Park Ave Ground Floor (entrance on 45th St)
 New York, NY 10022

Branch Phone Number: 212-681-1980
 Branch 800 Number: 877-395-1980
 Branch Fax Number: 212-681-6114

Account Information

Account Type: Individual
 Trading Type: Internet
 Margin Borrowing: Yes
 Options Trading: No
 Referred By:

Applicant Information

Name: beth ROTHSTEIN
 E-mail Address: bethstein1@yahoo.com
 Home Phone: [REDACTED]
 Work Phone: [REDACTED]
 Cell Phone: [REDACTED]
 Fax Number: [REDACTED]
 Date of Birth: [REDACTED]
 Social Security Number: [REDACTED]
 Applicant Street Address: [REDACTED]
 New York NY 10017-1808
 Applicant Mailing Address: [REDACTED]
 New York NY 10017-1808

Applicant Citizenship

Are you a U.S. Citizen? Yes
 Country of Citizenship: USA
 Are you a U.S. Permanent Resident? NA
 Alien Registration Number: NA
 Visa Type: NA

Applicant Employment Information

Status: Retired
 Occupation:
 Employer:
 Employer Address:
 City, State, Zip

Applicant Regulatory Information

Is any applicant employed by or affiliated with a securities firm, a securities exchange, or the NASD?

Yes ☐ No ☒

Name and Address of Compliance

Is an applicant a "control person" or "affiliate" of a public company as defined by the SEC?

Yes ☐ No ☒

Company Name:

Trading Symbol:

Is any applicant or member of immediate family or business associate a senior foreign political official?

Yes ☐ No ☒

Electronic Delivery

Electronic Delivery of Account

Yes

Electronic Delivery of Trade

Yes

Broker Assisted Trading

(Traditional Accounts Only)

Mail or Hold Proceeds:

NA

Mail or Hold Dividends:

NA

I certify under penalties of perjury (1) the number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. person (including a U.S. resident alien). The IRS does not require your consent to any provision of this Agreement other than the certification required to avoid backup withholding.

☐ I have been notified by the IRS that I am subject to backup withholding.

By signing this agreement I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement which contains a pre-dispute arbitration clause at paragraph 29.

Electronically Signed By beth ROTHSTEIN ON Nov 7 2006 4:35PM(Eastern)

Date

Applicant/Authorized Person's Signature

MARGIN AGREEMENT - ALSO SIGN BELOW ONLY IF YOU DESIRE A MARGIN ACCOUNT

By signing this agreement I acknowledge that I have received and read the accompanying Brokerage Account Agreement Paragraphs 53 through 58, and addendum 7, and agree to be bound by the terms and conditions described therein.

Electronically Signed By beth ROTHSTEIN ON Nov 7 2006 4:35PM(Eastern)

Date

Applicant/Authorized Person's Signature

Valerie Szczepank
Division of Enforcement
U.S. Securities and Exchange Commission
3 World Financial Center Ste. 400
New York, NY 10281

Ms. Szczepank:

Per our phone conversation on March 27, 2007, account 70611570 was funded via ACH transfers from Citibank. The account information used to fund this account was:

Citibank Routing Number: 021000089

Citibank Account Number: [REDACTED] 3714

On 11/20/06 an initial deposit for \$98,500 was made to the account, which was subsequently returned to Citibank on 12/06/06. On 11/24/06 a second deposit was made for \$100,000, which was also returned to Citibank on 11/27/06.

If you need further assistance or have additional questions please feel free to contact me.

Sincerely,

Paul Sieben
Risk Management Analyst
Scottrade Inc.
12800 Corporate Hill Dr.
St. Louis MO, 63131
314-965-1555 x1989
psieben@scottrade.com



BETH ROTHSTEIN

NEW YORK NY 10017-1808

SCOTTRADE, INC.
230 PARK AVE
NEW YORK NY 10169-0005

(212) 681-1980

70611570

31D

11/01/2006

11/30/2006

Scottrade was named the "Best Low-Cost IRA" for its variety of no-fee IRA products by Kiplinger's Personal Finance magazine, November 2006 special issue, "The Best of Everything 2006." Check out Scottrade's Retirement Center today, or visit your local branch office to learn more about IRA investing.

VALUE THIS PERIOD		OPENING BALANCE	
			0.00
VALUE SECURITIES IN POSITION	10,358.00	CREDITS:	
ACCOUNT MONEY BALANCES	15,866.59	OTHER CREDITS	198,612.05
		TOTAL CREDITS	198,612.05
ACCOUNT TOTAL VALUE	26,224.59	DEBITS:	
		OTHER DEBITS	-182,745.46
		TOTAL DEBITS	-182,745.46
		CLOSING BALANCE	15,866.59

Type	Symbol / Cusip	Quantity	Description	Estimated Market		Estimated Annual	
				Price	Value	Income	Cur. Yld
MARGIN	ATOM	380,000	ATOMIC BURRITO INC	0.025	9,500.00		
MARGIN	CMDCQ	85,800	CAREMATRIX CORP NEW	0.01	858.00		
			TOTAL		10,358.00		

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			0.00
11/20/06	ADJUSTMENT		MONEY DIRECT DEPOSIT		98,500.00	98,500.00
11/27/06	BOUGHT	20,000	ATOMIC BURRITO INC ATOM	0.09	-1,816.00	96,684.00
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.09	-452.25	96,231.75
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.10	-502.50	95,729.25

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			0.00
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.10	-502.50	95,226.75
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.10	-1,005.00	94,221.75
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.14	-1,414.00	92,807.75
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.15	-1,507.50	91,300.25
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.15	-1,507.50	89,792.75
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.15	-1,507.50	88,285.25
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.20	-2,010.00	86,275.25
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.20	-1,005.00	85,270.25
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.20	-2,010.00	83,260.25
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.20	-2,010.00	81,250.25
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.20	-1,005.00	80,245.25
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.25	-1,263.25	78,982.00
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.25	-2,512.50	76,469.50
11/27/06	BOUGHT	10,000	ATOMIC BURRITO INC ATOM	0.25	-2,512.50	73,957.00
11/27/06	BOUGHT	50,000	ATOMIC BURRITO INC ATOM	0.25	-12,562.50	61,394.50
11/27/06	BOUGHT	25,000	ATOMIC BURRITO INC ATOM	0.25	-6,281.25	55,113.25
11/27/06	BOUGHT	25,000	ATOMIC BURRITO INC ATOM	0.25	-6,288.25	48,825.00
11/27/06	BOUGHT	75,000	ATOMIC BURRITO INC ATOM	0.24	-18,090.00	30,735.00
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.20	-1,005.00	29,730.00
11/27/06	BOUGHT	15,000	ATOMIC BURRITO INC ATOM	0.20	-3,015.00	26,715.00
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.10	-502.50	26,212.50
11/27/06	BOUGHT	20,000	ATOMIC BURRITO INC ATOM	0.10	-2,010.00	24,202.50
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.10	-502.50	23,700.00
11/27/06	BOUGHT	5,000	ATOMIC BURRITO INC ATOM	0.10	-502.50	23,197.50
11/27/06	BOUGHT	12,300	CAREMATRIX CORP NEW CMDCC	0.04	-501.46	22,696.04
11/27/06	BOUGHT	5,000	CAREMATRIX CORP NEW CMDCC	0.05	-251.25	22,444.79
11/27/06	BOUGHT	10,000	CAREMATRIX CORP NEW CMDCC	0.08	-811.00	21,633.79
11/27/06	BOUGHT	40,000	CAREMATRIX CORP NEW CMDCC	0.10	-4,020.00	17,613.79

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			0.00
11/27/06	BOUGHT	5,000	CAREMATRIX CORP NEW CMDCQ	0.10	-502.50	17,111.29
11/27/06	BOUGHT	4,500	CAREMATRIX CORP NEW CMDCQ	0.10	-452.25	16,659.04
11/27/06	BOUGHT	9,000	CAREMATRIX CORP NEW CMDCQ	0.10	-904.50	15,754.54
11/30/06	CREDIT INTEREST		CR INT 11 DAYS @ 3 1/2% ANNUAL PERCENT YIELD EARNED 3.56		112.05	15,866.59
			CLOSING BALANCE			15,866.59

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			0.00
11/24/06	ADJUSTMENT		MONEY DIRECT DEPOSIT PJB		100,000.00	100,000.00
11/28/06	JOURNAL ENTRY		REV MONEY DIRECT T5 70611570		-100,000.00	0.00
			CLOSING BALANCE			0.00

<u>Date</u>	<u>Symbol</u>	<u>Description</u>	<u>Commission/Fees</u>	<u>Interest</u>	<u>Amount</u>
12/8/2006		CREDIT INTEREST 6 DAYS @ 3% AVERAGE CREDIT BALANCE 13,240.83	\$0.00	\$0.00	\$6.62
12/8/2006		MARGIN INTEREST 1 DAY @ 9 1/2% AVERAGE DEBIT BALANCE 82,633.41	\$0.00	\$0.00	(\$21.81)
12/8/2006		REL TO ID THEFT % 70/61/1570	\$0.00	\$0.00	(\$96.86)
12/6/2006		REV MD DEPOSIT 11/20/06 % 70/61/1570	\$0.00	\$0.00	(\$98,500.00)
11/30/2006		CREDIT INTEREST 11 DAYS @ 3 1/2% AVERAGE CREDIT BALANCE 104,774.38 ANNUAL PERCENT YIELD EARNED 3.56	\$0.00	\$0.00	\$112.05
11/28/2006		REV MONEY DIRECT T5 % 70/61/1570	\$0.00	\$0.00	(\$100,000.00)
11/24/2006		MONEY DIRECT DEPOSIT PJB	\$0.00	\$0.00	\$100,000.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.25	\$13.25	\$0.00	\$1,263.25
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.20	\$5.00	\$0.00	\$1,005.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.20	\$10.00	\$0.00	\$2,010.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.25	\$12.50	\$0.00	\$2,512.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 25000 SHARES OF ATOM AT \$0.25	\$31.25	\$0.00	\$6,281.25
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 50000 SHARES OF ATOM AT \$0.25	\$62.50	\$0.00	\$12,562.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.25	\$12.50	\$0.00	\$2,512.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.20	\$10.00	\$0.00	\$2,010.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.15	\$7.50	\$0.00	\$1,507.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.14	\$14.00	\$0.00	\$1,414.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.10	\$5.00	\$0.00	\$1,005.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.15	\$7.50	\$0.00	\$1,507.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.20	\$5.00	\$0.00	\$1,005.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.20	\$10.00	\$0.00	\$2,010.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 10000 SHARES OF ATOM AT \$0.15	\$7.50	\$0.00	\$1,507.50
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 10000 SHARES OF CMDQC AT \$0.08	\$11.00	\$0.00	\$811.00
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 5000 SHARES OF CMDQC AT \$0.05	\$1.25	\$0.00	\$251.25

11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 12300 SHARES OF CMDQC AT \$0.04	\$9.46	\$0.00	\$501.46
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 40000 SHARES OF CMDQC AT \$0.10	\$20.00	\$0.00	\$4,020.00
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 9000 SHARES OF CMDQC AT \$0.10	\$4.50	\$0.00	\$904.50
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 4500 SHARES OF CMDQC AT \$0.10	\$2.25	\$0.00	\$452.25
11/21/2006	CMDQC	CANCEL TRADE: BOUGHT 5000 SHARES OF CMDQC AT \$0.10	\$2.50	\$0.00	\$502.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$2.50	\$0.00	\$502.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.20	\$5.00	\$0.00	\$1,005.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 75000 SHARES OF ATOM AT \$0.24	\$90.00	\$0.00	\$18,090.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 25000 SHARES OF ATOM AT \$0.25	\$38.25	\$0.00	\$6,288.25
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 15000 SHARES OF ATOM AT \$0.20	\$15.00	\$0.00	\$3,015.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$2.50	\$0.00	\$502.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 20000 SHARES OF ATOM AT \$0.10	\$10.00	\$0.00	\$2,010.00
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$2.50	\$0.00	\$502.50
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$2.50	\$0.00	\$502.50
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.10	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.15	(\$7.50)	\$0.00	(\$1,507.50)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.15	(\$7.50)	\$0.00	(\$1,507.50)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.25	(\$12.50)	\$0.00	(\$2,512.50)
11/21/2006	ATOM	BOUGHT 50000 SHARES OF ATOM AT \$0.25	(\$62.50)	\$0.00	(\$12,562.50)
11/21/2006	ATOM	BOUGHT 25000 SHARES OF ATOM AT \$0.25	(\$31.25)	\$0.00	(\$6,281.25)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.20	(\$5.00)	\$0.00	(\$1,005.00)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.10	(\$5.00)	\$0.00	(\$1,005.00)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.20	(\$10.00)	\$0.00	(\$2,010.00)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.25	(\$13.25)	\$0.00	(\$1,263.25)
11/21/2006	ATOM	BOUGHT 25000 SHARES OF ATOM AT	(\$38.25)	\$0.00	(\$6,288.25)

Date	Symbol	Description	Price	Quantity	Cost	Market Value	Unrealized Gain/Loss
11/21/2006	ATOM	BOUGHT 20000 SHARES OF ATOM AT \$0.09	\$0.09	20000	(\$16.00)	\$0.00	(\$1,816.00)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.14	\$0.14	10000	(\$14.00)	\$0.00	(\$1,414.00)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.09	\$0.09	5000	(\$2.25)	\$0.00	(\$452.25)
11/21/2006	ATOM	BOUGHT 75000 SHARES OF ATOM AT \$0.24	\$0.24	75000	(\$90.00)	\$0.00	(\$18,090.00)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$0.10	5000	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.15	\$0.15	10000	(\$7.50)	\$0.00	(\$1,507.50)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.25	\$0.25	10000	(\$12.50)	\$0.00	(\$2,512.50)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$0.10	5000	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	CMDCQ	BOUGHT 40000 SHARES OF CMDCQ AT \$0.10	\$0.10	40000	(\$20.00)	\$0.00	(\$4,020.00)
11/21/2006	CMDCQ	BOUGHT 5000 SHARES OF CMDCQ AT \$0.10	\$0.10	5000	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	CMDCQ	BOUGHT 10000 SHARES OF CMDCQ AT \$0.08	\$0.08	10000	(\$11.00)	\$0.00	(\$811.00)
11/21/2006	CMDCQ	BOUGHT 5000 SHARES OF CMDCQ AT \$0.05	\$0.05	5000	(\$1.25)	\$0.00	(\$251.25)
11/21/2006	CMDCQ	BOUGHT 4500 SHARES OF CMDCQ AT \$0.10	\$0.10	4500	(\$2.25)	\$0.00	(\$452.25)
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.09	\$0.09	5000	\$2.25	\$0.00	\$452.25
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$0.10	5000	\$2.50	\$0.00	\$502.50
11/21/2006	CMDCQ	BOUGHT 9000 SHARES OF CMDCQ AT \$0.10	\$0.10	9000	(\$4.50)	\$0.00	(\$904.50)
11/21/2006	ATOM	CANCEL TRADE: BOUGHT 20000 SHARES OF ATOM AT \$0.09	\$0.09	20000	\$16.00	\$0.00	\$1,816.00
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.10	\$0.10	5000	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.20	\$0.20	5000	(\$5.00)	\$0.00	(\$1,005.00)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.20	\$0.20	10000	(\$10.00)	\$0.00	(\$2,010.00)
11/21/2006	ATOM	BOUGHT 10000 SHARES OF ATOM AT \$0.20	\$0.20	10000	(\$10.00)	\$0.00	(\$2,010.00)
11/21/2006	ATOM	BOUGHT 20000 SHARES OF ATOM AT \$0.10	\$0.10	20000	(\$10.00)	\$0.00	(\$2,010.00)
11/21/2006	CMDCQ	BOUGHT 12300 SHARES OF CMDCQ AT \$0.04	\$0.04	12300	(\$9.46)	\$0.00	(\$501.46)
11/21/2006	ATOM	BOUGHT 15000 SHARES OF ATOM AT \$0.20	\$0.20	15000	(\$15.00)	\$0.00	(\$3,015.00)

11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.10	(\$2.50)	\$0.00	(\$502.50)
11/21/2006	ATOM	BOUGHT 5000 SHARES OF ATOM AT \$0.20	(\$5.00)	\$0.00	(\$1,005.00)
11/20/2006		MONEY DIRECT DEPOSIT	\$0.00	\$0.00	\$98,500.00



BETH ROTHSTEIN

NEW YORK NY 10017-1808

Branch Office	
SCOTTRADE, INC 230 PARK AVE NEW YORK NY 10169-0005 (212) 681-1980	
Account Number	Office
70611570	31D
Period Beginning	Period Ending
12 / 01 / 2006	12 / 31 / 2006

INFORMATION UPDATE

The net capital of Scottrade, Inc. as of 9/30/06 was \$343,161,242, which was \$308,185,312 in excess of the minimum required. On 11/30/06 the company had net capital of \$385,135,424 which was \$345,548,153 in excess of the minimum required.

ACCOUNT SUMMARY		TRANSACTION SUMMARY	
	VALUE THIS PERIOD	OPENING BALANCE	15,866.59
ACCOUNT MONEY BALANCES	0.00	CREDITS:	
		OTHER CREDITS	82,752.08
ACCOUNT TOTAL VALUE	0.00	TOTAL CREDITS	82,752.08
		DEBITS:	
		OTHER DEBITS	-98,618.67
		TOTAL DEBITS	-98,618.67
		CLOSING BALANCE	0.00

MARGIN ACCOUNT ACTIVITY

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			15,866.59
11/27/06	SOLD	20,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.09	1,816.00	17,682.59
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.09	452.25	18,134.84
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.10	502.50	18,637.34
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.10	502.50	19,139.84
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.10	1,005.00	20,144.84
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.14	1,414.00	21,558.84
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.15	1,507.50	23,066.34
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.15	1,507.50	24,573.84

MARGIN ACCOUNT ACTIVITY

Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			15,866.59
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.15	1,507.50	26,081.34
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	2,010.00	28,091.34
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	1,005.00	29,096.34
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	2,010.00	31,106.34
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	2,010.00	33,116.34
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	1,005.00	34,121.34
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	1,263.25	35,384.59
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	2,512.50	37,897.09
11/27/06	SOLD	10,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	2,512.50	40,409.59
11/27/06	SOLD	50,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	12,562.50	52,972.09
11/27/06	SOLD	25,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	6,281.25	59,253.34
11/27/06	SOLD	25,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.25	6,288.25	65,541.59
11/27/06	SOLD	75,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.24	18,090.00	83,631.59
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	1,005.00	84,636.59
11/27/06	SOLD	15,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.20	3,015.00	87,651.59
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.10	502.50	88,154.09
11/27/06	SOLD	20,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-CATOM (12/07) TRADED AS OF 11/21/2006	0.10	2,010.00	90,164.09
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-DATOM (12/07) TRADED AS OF 11/21/2006	0.10	502.50	90,666.59
11/27/06	SOLD	5,000	ATOMIC BURRITO INC CANCEL TRANS NO. 325-DATOM (12/07) TRADED AS OF 11/21/2006	0.10	502.50	91,169.09
11/27/06	SOLD	12,300	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.04	501.46	91,670.55
11/27/06	SOLD	5,000	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.05	251.25	91,921.80
11/27/06	SOLD	10,000	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.08	811.00	92,732.80

MARGIN ACCOUNT ACTIVITY						
Date	Transaction	Quantity	Description	Price	Amount	Balance
			OPENING BALANCE			15,866.59
11/27/06	SOLD	40,000	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.10	4,020.00	96,752.80
11/27/06	SOLD	5,000	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.10	502.50	97,255.30
11/27/06	SOLD	4,500	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.10	452.25	97,707.55
11/27/06	SOLD	9,000	CAREMATRIX CORP NEW CANCEL TRANS NO. 325-DCMDCQ (12/07) TRADED AS OF 11/21/2006	0.10	904.50	98,612.05
12/06/06	JOURNAL ENTRY		REV MD DEPO 11/20/06 70611570		-98,500.00	112.05
12/08/06	JOURNAL ENTRY		REL TO ID THEFT 70611570		-96.86	15.19
12/08/06	CREDIT INTEREST		CR INT 6 DAYS @ 3% AVG CREDIT BALANCE 13,240.83		6.62	21.81
12/08/06	MARGIN INTEREST		MGN INT 1 DAY @ 9 1/2% AVG DEBIT BALANCE 82,633.41		-21.81	0.00
			CLOSING BALANCE			0.00

Account Logins

Account	IP Address	Action Time
70611570	68.246.172.185	11/7/2006 4:37:27 PM
70611570	68.246.172.185	11/7/2006 4:43:51 PM
70611570	70.5.205.232	11/7/2006 5:00:12 PM
70611570	172.129.77.98	11/7/2006 5:24:33 PM
70611570	24.90.135.144	11/8/2006 1:28:02 PM
70611570	24.90.135.144	11/9/2006 1:09:57 PM
70611570	24.90.135.144	11/9/2006 1:15:19 PM
70611570	24.90.135.144	11/10/2006 11:17:30 AM
70611570	24.90.135.144	11/10/2006 11:20:22 AM
70611570	24.90.135.144	11/15/2006 12:22:28 PM
70611570	24.90.135.144	11/19/2006 4:06:50 PM
70611570	24.90.135.144	11/20/2006 12:19:02 AM
70611570	24.90.135.144	11/20/2006 8:48:14 AM
70611570	24.90.135.144	11/20/2006 10:24:08 AM
70611570	24.90.135.144	11/20/2006 2:49:29 PM
70611570	24.90.135.144	11/20/2006 7:15:44 PM
70611570	24.90.135.144	11/20/2006 7:23:58 PM
70611570	24.90.135.144	11/21/2006 9:08:16 AM
70611570	209.144.55.6	11/21/2006 11:18:54 AM
70611570	209.144.55.6	11/21/2006 2:48:46 PM
70611570	24.90.135.144	11/21/2006 3:58:19 PM

Internet Session Lookup

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/home/default.aspx	24.90.135.144	11/20/2006 12:19:30 AM	11/20/2006 12:19:30 AM	WWWST L25	3=&4=Symbol or Name&5=8&6=2291273&7=25&8=5&
70611570	/home/default.aspx	24.90.135.144	11/20/2006 12:19:03 AM	11/20/2006 12:19:03 AM	WWWST L25	
70611570	VeriSign	24.90.135.144	11/20/2006 12:19:02 AM	11/20/2006 12:19:03 AM	WWWST L25	12601939 24.90.135.144 11/20/2006 12:19:02 AM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3)WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/20/2006 12:19:02 AM	11/20/2006 12:19:03 AM	WWWST L25	
70611570	/home/default.aspx	24.90.135.144	11/10/2006 11:20:23 AM	11/10/2006 11:20:26 AM	WWWST L45	
70611570	VeriSign	24.90.135.144	11/10/2006 11:20:22 AM	11/10/2006 11:20:26 AM	WWWST L45	12601939 24.90.135.144 11/10/2006 11:20:22 AM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1)WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/10/2006 11:20:22 AM	11/10/2006 11:20:26 AM	WWWST L45	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/ACHFra meSet.aspx	24.90.135.144	11/10/2006 6 11:17:42 AM	11/10/2006 6 11:17:43 AM	WWWST L45	
70611570	/myaccount/Balances.aspx	24.90.135.144	11/10/2006 6 11:17:37 AM	11/10/2006 6 11:17:38 AM	WWWST L45	
70611570	/home/default.aspx	24.90.135.144	11/10/2006 6 11:17:30 AM	11/10/2006 6 11:17:30 AM	WWWST L45	
70611570	VeriSign	24.90.135.144	11/10/2006 6 11:17:30 AM	11/10/2006 6 11:17:30 AM	WWWST L45	12601939 24.90.135.144 11/10/2006 11:17:30 AM Mozilla/5.0 (Windows; U; Windows NT 5.1; en-US; rv:1.8.0.8) Gecko/20061025 Firefox/1.5.0.8 WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/10/2006 6 11:17:30 AM	11/10/2006 6 11:17:30 AM	WWWST L45	
70611570	/home/default.aspx	24.90.135.144	11/9/2006 1:25:14 PM	11/9/2006 1:25:22 PM	WWWST L37	
70611570	/home/default.aspx	24.90.135.144	11/9/2006 1:15:24 PM	11/9/2006 1:39:47 PM	WWWST L18	3=&4=Symbol or Name&5=8&6=2291273&
70611570	/home/default.aspx	24.90.135.144	11/9/2006 1:15:19 PM	11/9/2006 1:39:39 PM	WWWST L18	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	VeriSign	24.90.135.144	11/9/2006 1:15:19 PM	11/9/2006 1:39:39 PM	WWWST L18	12601939 24.90.135.144 11/9/2006 1:15:19 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1)WinXP
70611570	/LoginPro cess.aspx	24.90.135.144	11/9/2006 1:15:19 PM	11/9/2006 1:39:39 PM	WWWST L18	
70611570	/myaccou nt/ACHFra meSet.as px	24.90.135.144	11/9/2006 1:10:19 PM	11/9/2006 1:36:18 PM	WWWST L18	
70611570	/myaccou nt/Balance s.aspx	24.90.135.144	11/9/2006 1:10:12 PM	11/9/2006 1:36:16 PM	WWWST L18	
70611570	/home/def ault.aspx	24.90.135.144	11/8/2006 1:28:16 PM	11/8/2006 1:28:28 PM	WWWST L35	3=&4=Symbol or Name&5=8&6=2291273&7=14&8=10&
70611570	/home/def ault.aspx	24.90.135.144	11/8/2006 1:28:02 PM	11/8/2006 1:28:25 PM	WWWST L35	
70611570	VeriSign	24.90.135.144	11/8/2006 1:28:02 PM	11/8/2006 1:28:25 PM	WWWST L35	12601939 24.90.135.144 11/8/2006 1:28:02 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1)WinXP
70611570	/LoginPro cess.aspx	24.90.135.144	11/8/2006 1:28:02 PM	11/8/2006 1:28:25 PM	WWWST L35	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/ACHFra meSet.aspx	172.129.77.98	11/7/2006 5:25:17 PM	11/7/2006 5:25:17 PM	WWWST L40	
70611570	/myaccount/Bal ance.aspx	172.129.77.98	11/7/2006 5:25:14 PM	11/7/2006 5:25:14 PM	WWWST L40	
70611570	/myaccount/Bal ance.aspx	172.129.77.98	11/7/2006 5:25:14 PM	11/7/2006 5:25:14 PM	WWWST L40	
70611570	/home/De fault.aspx	172.129.77.98	11/7/2006 5:24:58 PM	11/7/2006 5:24:58 PM	WWWST L40	
70611570	/home/De fault.aspx	172.129.77.98	11/7/2006 5:24:58 PM	11/7/2006 5:24:58 PM	WWWST L40	
70611570	EntryEqui tyOrderEn try	172.129.77.98	11/7/2006 5:24:55 PM	11/7/2006 5:24:55 PM	WWWST L40	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/Sto ckOrderE ntry.aspx	172.129.77.98	11/7/2006 5:24:55 PM	11/7/2006 5:24:55 PM	WWWST L40	
70611570	EntryEqui tyOrderEn try	172.129.77.98	11/7/2006 5:24:55 PM	11/7/2006 5:24:55 PM	WWWST L40	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	172.129.77.98	11/7/2006 5:24:55 PM	11/7/2006 5:24:55 PM	WWWST L40	
70611570	EntryEquityOrderEntry	172.129.77.98	11/7/2006 5:24:45 PM	11/7/2006 5:24:45 PM	WWWST L40	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	172.129.77.98	11/7/2006 5:24:45 PM	11/7/2006 5:24:45 PM	WWWST L40	
70611570	EntryEquityOrderEntry	172.129.77.98	11/7/2006 5:24:45 PM	11/7/2006 5:24:45 PM	WWWST L40	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	172.129.77.98	11/7/2006 5:24:45 PM	11/7/2006 5:24:45 PM	WWWST L40	
70611570	/myaccount/Balances.aspx	172.129.77.98	11/7/2006 5:24:42 PM	11/7/2006 5:24:42 PM	WWWST L40	
70611570	/myaccount/Balances.aspx	172.129.77.98	11/7/2006 5:24:42 PM	11/7/2006 5:24:42 PM	WWWST L40	
70611570	/home/default.aspx	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	VeriSign	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	12601939 172.129.77.98 11/7/2006 5:24:33 PM Mozilla/4.0 (compatible; MSIE 7.0; America Online Browser 1.1; rev1.2; Windows NT 5.1; .NET CLR 1.0.3705; .NET CLR 1.1.4322; Media Center PC 4.0) WinXP
70611570	/LoginProcess.aspx	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	
70611570	/home/default.aspx	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	
70611570	VeriSign	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	12601939 172.129.77.98 11/7/2006 5:24:33 PM Mozilla/4.0 (compatible; MSIE 7.0; America Online Browser 1.1; rev1.2; Windows NT 5.1; .NET CLR 1.0.3705; .NET CLR 1.1.4322; Media Center PC 4.0) WinXP
70611570	/LoginProcess.aspx	172.129.77.98	11/7/2006 5:24:33 PM	11/7/2006 5:24:38 PM	WWWST L40	
70611570	/myaccount/ACHFraudSet.aspx	70.5.205.232	11/7/2006 5:01:12 PM	11/7/2006 5:01:12 PM	WWWST L7	
70611570	/myaccount/ACHFraudSet.aspx	70.5.205.232	11/7/2006 5:01:12 PM	11/7/2006 5:01:12 PM	WWWST L7	
70611570	/myaccount/Balances.aspx	70.5.205.232	11/7/2006 5:00:57 PM	11/7/2006 5:01:00 PM	WWWST L7	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/Balance.s.aspx	70.5.205.232	11/7/2006 5:00:57 PM	11/7/2006 5:01:00 PM	WWWST L7	
70611570	/home/default.aspx	70.5.205.232	11/7/2006 5:00:13 PM	11/7/2006 5:00:13 PM	WWWST L7	
70611570	VeriSign	70.5.205.232	11/7/2006 5:00:12 PM	11/7/2006 5:00:12 PM	WWWST L7	12601939 70.5.205.232 11/7/2006 5:00:12 PM Mozilla/4.0 (compatible; MSIE 4.01; Windows CE; Sprint:PPC-6700; PPC; 240x320) WinCE
70611570	/LoginProcess.aspx	70.5.205.232	11/7/2006 5:00:12 PM	11/7/2006 5:00:12 PM	WWWST L7	
70611570	/myaccount/ACHFraudSet.aspx	68.246.172.185	11/7/2006 4:44:34 PM	11/7/2006 4:44:34 PM	WWWST L15	
70611570	/myaccount/ACHFraudSet.aspx	68.246.172.185	11/7/2006 4:44:34 PM	11/7/2006 4:44:34 PM	WWWST L15	
70611570	/myaccount/Balance.s.aspx	68.246.172.185	11/7/2006 4:44:29 PM	11/7/2006 4:44:29 PM	WWWST L15	
70611570	/myaccount/Balance.s.aspx	68.246.172.185	11/7/2006 4:44:29 PM	11/7/2006 4:44:29 PM	WWWST L15	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	Entry/EquityOrderEntry	68.246.172.185	11/7/2006 4:44:25 PM	11/7/2006 4:44:26 PM	WWWST L15	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	68.246.172.185	11/7/2006 4:44:25 PM	11/7/2006 4:44:26 PM	WWWST L15	
70611570	Entry/EquityOrderEntry	68.246.172.185	11/7/2006 4:44:25 PM	11/7/2006 4:44:26 PM	WWWST L15	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	68.246.172.185	11/7/2006 4:44:25 PM	11/7/2006 4:44:26 PM	WWWST L15	
70611570	/home/default.aspx	68.246.172.185	11/7/2006 4:44:10 PM	11/7/2006 4:44:10 PM	WWWST L15	
70611570	/home/default.aspx	68.246.172.185	11/7/2006 4:44:10 PM	11/7/2006 4:44:10 PM	WWWST L15	
70611570	VeriSign	68.246.172.185	11/7/2006 4:43:51 PM	11/7/2006 4:43:51 PM	WWWST L15	12601939 68.246.172.185 11/7/2006 4:43:51 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginProcess.aspx	68.246.172.185	11/7/2006 4:43:51 PM	11/7/2006 4:43:51 PM	WWWST L15	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	VeriSign	68.246.172.185	11/7/2006 4:43:51 PM	11/7/2006 4:43:51 PM	WWWST L15	12601939 68.246.172.185 11/7/2006 4:43:51 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginPro cess.aspx	68.246.172.185	11/7/2006 4:43:51 PM	11/7/2006 4:43:51 PM	WWWST L15	
70611570	/Exchange eAgreeme nts/Opra_ Agreemen	68.246.172.185	11/7/2006 4:42:13 PM	11/7/2006 4:42:13 PM	WWWST L15	0=1&1=beth&2=rothstein&3=868 1 st ave apt 11f&4=&5=new york&6=NY&7=10017&8=US&9=retiree&10=na&11=868 1 st ave apt 11f&12=&13=new
70611570	/Exchange eAgreeme nts/Opra_ Agreemen	68.246.172.185	11/7/2006 4:42:13 PM	11/7/2006 4:42:13 PM	WWWST L15	york&14=NY&15=10017&16=US&17=retiree&18=retiree&19=1&20=1&2 0=1&1=beth&2=rothstein&3=868 1 st ave apt 11f&4=&5=new york&6=NY&7=10017&8=US&9=retiree&10=na&11=868 1 st ave apt 11f&12=&13=new
70611570	/Exchange eAgreeme nts/NYSE Agreeme	68.246.172.185	11/7/2006 4:39:16 PM	11/7/2006 4:39:16 PM	WWWST L15	york&14=NY&15=10017&16=US&17=retiree&18=retiree&19=1&20=1&2 0=0&1=beth rothstein&2=beth rothstein&3=11/7/2006&4=&5=&6=Signature Confirmed&7=11/7/2006&8=&
70611570	/Exchange eAgreeme nts/NYSE Agreeme	68.246.172.185	11/7/2006 4:39:16 PM	11/7/2006 4:39:16 PM	WWWST L15	0=0&1=beth rothstein&2=beth rothstein&3=11/7/2006&4=&5=&6=Signature Confirmed&7=11/7/2006&8=&
70611570	/Exchange eAgreeme nts/Nasdaq Agreem	68.246.172.185	11/7/2006 4:37:30 PM	11/7/2006 4:37:30 PM	WWWST L15	
70611570	/Exchange eAgreeme nts/Nasdaq Agreem	68.246.172.185	11/7/2006 4:37:30 PM	11/7/2006 4:37:30 PM	WWWST L15	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/ExchangeAgreements/Info.aspx	68.246.172.185	11/7/2006 4:37:29 PM	11/7/2006 4:37:30 PM	WWWST L15	1=Continue to Subscriber Agreements&
70611570	/ExchangeAgreements/Info.aspx	68.246.172.185	11/7/2006 4:37:29 PM	11/7/2006 4:37:30 PM	WWWST L15	1=Continue to Subscriber Agreements&
70611570	/ExchangeAgreements/Info.aspx	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	
70611570	VeriSign	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	12601939 68.246.172.185 11/7/2006 4:37:27 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginProcess.aspx	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	
70611570	/ExchangeAgreements/Info.aspx	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	
70611570	VeriSign	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	12601939 68.246.172.185 11/7/2006 4:37:27 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginProcess.aspx	68.246.172.185	11/7/2006 4:37:27 PM	11/7/2006 4:37:27 PM	WWWST L15	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/Balances.aspx	24.90.135.144	11/19/2006 4:07:09 PM	11/19/2006 4:07:10 PM	WWWST L37	
70611570	/home/default.aspx	24.90.135.144	11/19/2006 4:06:51 PM	11/19/2006 4:06:51 PM	WWWST L37	
70611570	VeriSign	24.90.135.144	11/19/2006 4:06:50 PM	11/19/2006 4:06:51 PM	WWWST L37	12601939 24.90.135.144 11/19/2006 4:06:50 PM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3) WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/19/2006 4:06:50 PM	11/19/2006 4:06:51 PM	WWWST L37	
70611570	/home/Default.aspx	24.90.135.144	11/15/2006 12:29:34 PM	11/15/2006 12:30:08 PM	WWWST L41	3=&4=Symbol or Name&5=8&6=2291273&7=26&8=6&
70611570	/home/Default.aspx	24.90.135.144	11/15/2006 12:29:29 PM	11/15/2006 12:30:04 PM	WWWST L41	
70611570	/myaccount/ACHFraudSet.aspx	24.90.135.144	11/15/2006 12:22:46 PM	11/15/2006 12:22:47 PM	WWWST L41	
70611570	/myaccount/Balances.aspx	24.90.135.144	11/15/2006 12:22:42 PM	11/15/2006 12:22:42 PM	WWWST L41	I

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/home/default.aspx	24.90.135.144	11/15/2006 12:22:28 PM	11/15/2006 12:22:29 PM	WWWST L41	
70611570	VeriSign	24.90.135.144	11/15/2006 12:22:28 PM	11/15/2006 12:22:29 PM	WWWST L41	12601939 24.90.135.144 11/15/2006 12:22:28 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/15/2006 12:22:28 PM	11/15/2006 12:22:29 PM	WWWST L41	
70611570	/myaccount/ACHFraudSet.aspx	24.90.135.144	11/10/2006 11:20:36 AM	11/10/2006 11:20:37 AM	WWWST L45	
70611570	/myaccount/Balances.aspx	24.90.135.144	11/10/2006 11:20:33 AM	11/10/2006 11:20:33 AM	WWWST L45	
70611570	/home/default.aspx	24.90.135.144	11/9/2006 1:09:57 PM	11/9/2006 1:36:11 PM	WWWST L18	
70611570	VeriSign	24.90.135.144	11/9/2006 1:09:57 PM	11/9/2006 1:36:11 PM	WWWST L18	12601939 24.90.135.144 11/9/2006 1:09:57 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.1; SV1) WinXP
70611570	/LoginProcess.aspx	24.90.135.144	11/9/2006 1:09:57 PM	11/9/2006 1:36:11 PM	WWWST L18	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccou nt/ACHFra meSet.as px	172.129.77.98	11/7/2006 5:25:17 PM	11/7/2006 5:25:17 PM	WWWST L40	
70611570	/home/def ault.aspx	70.5.205.232	11/7/2006 5:00:13 PM	11/7/2006 5:00:13 PM	WWWST L7	
70611570	VeriSign	70.5.205.232	11/7/2006 5:00:12 PM	11/7/2006 5:00:12 PM	WWWST L7	12601939 70.5.205.232 11/7/2006 5:00:12 PM Mozilla/4.0 (compatible; MSIE 4.01; Windows CE; Sprint:PPC-6700; PPC; 240x320) WinCE
70611570	/LoginPro cess.aspx	70.5.205.232	11/7/2006 5:00:12 PM	11/7/2006 5:00:12 PM	WWWST L7	
70611570	/LoginPro cess.aspx	24.90.135.144	11/20/200 6 8:48:14 AM	11/20/200 6 8:48:14 AM	WWWST L4	
70611570	VeriSign	24.90.135.144	11/20/200 6 8:48:14 AM	11/20/200 6 8:48:14 AM	WWWST L4	12601939 24.90.135.144 11/20/2006 8:48:14 AM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3) WinXP
70611570	/home/def ault.aspx	24.90.135.144	11/20/200 6 8:48:14 AM	11/20/200 6 8:48:14 AM	WWWST L4	
70611570	/myaccou nt/ACHFra meSet.as px	24.90.135.144	11/19/200 6 4:07:23 PM	11/19/200 6 4:07:23 PM	WWWST L37	1

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	EntryEquityOrderConfirmation	24.90.135.144	11/21/2006 9:30:26 AM	11/21/2006 9:30:27 AM	WWWST L17	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=BTIMW&TRADETYPE=Limit&LIMITPRICE=.03&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XFB039020061121&COMMISSION=8.5
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:34:47 AM	11/21/2006 9:34:47 AM	WWWST L17	
70611570	CancelEquityOrderConfirm	24.90.135.144	11/21/2006 9:35:03 AM	11/21/2006 9:35:03 AM	WWWST L17	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=BTIMW&TRADETYPE=Limit&LIMITPRICE=.03&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XBB517120061121&COMMISSION=8.5
70611570	/home/default.aspx	24.90.135.144	11/21/2006 9:35:15 AM	11/21/2006 9:35:15 AM	WWWST L17	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:35:16 AM	11/21/2006 9:35:17 AM	WWWST L17	
70611570	/myaccount/Balances.aspx	24.90.135.144	11/21/2006 9:35:22 AM	11/21/2006 9:35:22 AM	WWWST L17	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:35:29 AM	11/21/2006 9:35:45 AM	WWWST L17	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:35:33 AM	11/21/2006 9:35:47 AM	WWWST L17	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:44 AM	11/20/2006 10:24:44 AM	WWWST L34	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=lpchcw&9=Limit&10=.01&11=&12=&13=Select -&14=Today (Good until 4:00 PM EST)&15=24&16=16&
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:35:33 AM	11/21/2006 9:35:47 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:35:56 AM	11/21/2006 9:35:58 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:35:56 AM	11/21/2006 9:35:58 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:17:05 PM	11/20/2006 7:17:05 PM	WWWST L13	
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 7:17:05 PM	11/20/2006 7:17:05 PM	WWWST L13	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/home/Default.aspx	24.90.135.144	11/20/2006 7:18:45 PM	11/20/2006 7:18:45 PM	WWWST L13	3=&4=Symbol or Name&5=8&6=2291273&7=33&8=7&
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:35:56 AM	11/21/2006 9:35:58 AM	WWWST L17	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.006&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.6

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	Entry/EquityOrderConfirmation	24.90.135.144	11/21/2006 9:36:01 AM	11/21/2006 9:36:01 AM	WWWST L17	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.006&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XJB078220061121&COMMISSION=7.6
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:24:30 PM	11/20/2006 7:24:30 PM	WWWST L13	
70611570	Entry/EquityOrderEntry	24.90.135.144	11/20/2006 7:24:30 PM	11/20/2006 7:24:30 PM	WWWST L13	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:32:23 PM	11/20/2006 7:32:23 PM	WWWST L13	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:32:23 PM	11/20/2006 7:32:23 PM	WWWST L13	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	Entry/EquityOrderReview	24.90.135.144	11/20/2006 7:32:23 PM	11/20/2006 7:32:23 PM	WWWST L13	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=APUX&TRADETYPE=Limit&LIMITPRICE=.01&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.5
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:28:07 AM	11/21/2006 9:28:07 AM	WWWST L17	
70611570	Entry/EquityOrderEntry	24.90.135.144	11/21/2006 9:28:07 AM	11/21/2006 9:28:07 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:28:22 AM	11/21/2006 9:28:23 AM	WWWST L17	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=btimw&9=Limit&10=&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:28:23 AM	11/21/2006 9:28:23 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:28:23 AM	11/21/2006 9:28:23 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:28:23 AM	11/21/2006 9:28:23 AM	WWWST L17	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=BTIMW&TRADETYPE=Limit&LIMITPRICE=.02&TIF=Today (Good until 4:00 PM EST)&COMMISSION=8
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:28:36 AM	11/21/2006 9:28:36 AM	WWWST L35	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:28:36 AM	11/21/2006 9:28:37 AM	WWWST L35	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:30:18 AM	11/21/2006 9:30:19 AM	WWWST L17	3=&4=Symbol or Name&5=0&6=Change Order&
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:30:18 AM	11/21/2006 9:30:19 AM	WWWST L17	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=BTIMW&TRADETYPE=Limit&LIMITPRICE=.02&TIF=Today (Good until 4:00 PM EST)&COMMISSION=8

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:30:23 AM	11/21/200 6 9:30:23 AM	WWWST L17	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=btimw&9=Limit&10= 03&11=&12=&13 =- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:30:23 AM	11/21/200 6 9:30:23 AM	WWWST L17	7.00 *
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:30:23 AM	11/21/200 6 9:30:23 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEqui tyOrderRe view	24.90.135.144	11/21/200 6 9:30:23 AM	11/21/200 6 9:30:23 AM	WWWST L17	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=BTIMW&T RADETYPE=Limit&LIMITPRICE=.03&TIF=Today (Good until 4:00 PM EST)&COMMISSION=8.5
70611570	/myaccou nt/OrderSt atus.aspx	24.90.135.144	11/21/200 6 9:50:40 AM	11/21/200 6 9:50:40 AM	WWWST L17	
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:30:26 AM	11/21/200 6 9:30:26 AM	WWWST L17	3=&4=Symbol or Name&5=0&6=Place Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:50:59 AM	11/21/200 6 9:50:59 AM	WWWST L17	7.00 *
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:50:59 AM	11/21/200 6 9:50:59 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:34:57 AM	11/21/2006 9:34:58 AM	WWWST L17	
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 9:34:57 AM	11/21/2006 9:34:58 AM	WWWST L17	&ACTION=Buy&AON=False&SYMBOL=BTIMV&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XFB039020061121&COMMISSION=8.5
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:50:59 AM	11/21/2006 9:50:59 AM	WWWST L17	This order appears to be a duplicate of an existing open order.
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:50:59 AM	11/21/2006 9:50:59 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&COMMISSION=57
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:51:06 AM	11/21/2006 9:51:06 AM	WWWST L17	3=&4=Symbol or Name&5=2&6=Buy&7=100000&8=atom&9=Limit&10=.1&11=&12=&13=Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:51:06 AM	11/21/2006 9:51:06 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:51:06 AM	11/21/2006 9:51:06 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:51:06 AM	11/21/2006 9:51:07 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&COMMISSION=57

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:13 AM	11/20/2006 10:24:13 AM	WWWST L34	
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 10:24:13 AM	11/20/2006 10:24:13 AM	WWWST L34	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:31 AM	11/20/2006 10:24:31 AM	WWWST L34	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:31 AM	11/20/2006 10:24:31 AM	WWWST L34	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:31 AM	11/20/2006 10:24:31 AM	WWWST L34	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 10:24:31 AM	11/20/2006 10:24:31 AM	WWWST L34	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=LPHCW&TRADETYPE=Limit&LIMITPRICE=.01&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.5
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:35:56 AM	11/21/2006 9:35:58 AM	WWWST L17	3=&4=Symbol or Name&5=1&6=Buy&7=20000&8=atom&9=Limit&10=.006&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/LoginProcess.aspx	24.90.135.144	11/20/2006 7:15:44 PM	11/20/2006 7:15:44 PM	WWWST L13	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	VeriSign	24.90.135.144	11/20/2006 6:15:44 PM	11/20/2006 6:15:44 PM	WWWST L13	12601939 24.90.135.144 11/20/2006 7:15:44 PM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3) WinXP
70611570	/home/default.aspx	24.90.135.144	11/20/2006 6:15:45 PM	11/20/2006 6:15:44 PM	WWWST L13	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 6:17:36 PM	11/20/2006 6:17:36 PM	WWWST L13	
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 6:17:36 PM	11/20/2006 6:17:36 PM	WWWST L13	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/myaccount/Balances.aspx	24.90.135.144	11/20/2006 6:17:45 PM	11/20/2006 6:17:45 PM	WWWST L13	
70611570	/home/Default.aspx	24.90.135.144	11/20/2006 6:18:37 PM	11/20/2006 6:18:36 PM	WWWST L13	
70611570	/LoginProcess.aspx	24.90.135.144	11/20/2006 6:23:58 PM	11/20/2006 6:23:58 PM	WWWST L13	
70611570	VeriSign	24.90.135.144	11/20/2006 6:23:58 PM	11/20/2006 6:23:58 PM	WWWST L13	12601939 24.90.135.144 11/20/2006 7:23:58 PM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3) WinXP

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/home/default.aspx	24.90.135.144	11/20/2006 7:23:58 PM	11/20/2006 7:23:58 PM	WWWST L13	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:24:03 PM	11/20/2006 7:24:03 PM	WWWST L13	
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 7:24:03 PM	11/20/2006 7:24:03 PM	WWWST L13	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:32:23 PM	11/20/2006 7:32:23 PM	WWWST L13	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=apux&9=Limit&10=.01&11=&12=&13=-Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/myaccount/ACHFraudSet.aspx	24.90.135.144	11/20/2006 7:17:49 PM	11/20/2006 7:17:49 PM	WWWST L13	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:36:01 AM	11/21/2006 9:36:01 AM	WWWST L17	3=&4=Symbol or Name&5=1&6=Place Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:50:44 AM	11/21/2006 9:50:44 AM	WWWST L17	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:50:44 AM	11/21/2006 9:50:44 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:50:58 AM	11/21/2006 9:50:59 AM	WWWST L17	3=&4=Symbol or Name&5=2&6=Buy&7=100000&8=atom&9=Limit&10=.1&11=&12=&13=Select-&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:35:03 AM	11/21/2006 9:35:03 AM	WWWST L17	3=&4=Symbol or Name&5=Cancel Order&
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:35:16 AM	11/21/2006 9:35:17 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:51:08 AM	11/21/2006 9:51:13 AM	WWWST L17	3=&4=Symbol or Name&5=2&6=Place Order&
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 9:51:09 AM	11/21/2006 9:51:21 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=57
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:52:02 AM	11/21/2006 9:52:03 AM	WWWST L17	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:24:21 PM	11/20/2006 7:24:21 PM	WWWST L13	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:24:21 PM	11/20/2006 7:24:21 PM	WWWST L13	* If the price is under \$1 at the time of execution, commission is \$7 1/2

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	EntryEquityOrderReview	24.90.135.144	11/20/2006 7:24:21 PM	11/20/2006 7:24:21 PM	WWWST L13	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=ACLR&TRADETYPE=Limit&LIMITPRICE=.005&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.25
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 7:32:28 PM	11/20/2006 7:32:28 PM	WWWST L13	3=&4=Symbol or Name&5=0&6=Change Order&
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 7:32:28 PM	11/20/2006 7:32:28 PM	WWWST L13	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=APUX&TRADETYPE=Limit&LIMITPRICE=.01&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.5
70611570	/LoginProcess.aspx	24.90.135.144	11/21/2006 9:08:16 AM	11/21/2006 9:08:17 AM	WWWST L35	
70611570	VeriSign	24.90.135.144	11/21/2006 9:08:16 AM	11/21/2006 9:08:17 AM	WWWST L35	12601939 24.90.135.144 11/21/2006 9:08:16 AM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1)[WinXP
70611570	/home/default.aspx	24.90.135.144	11/21/2006 9:08:16 AM	11/21/2006 9:08:17 AM	WWWST L35	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:55 AM	11/21/2006 9:55:55 AM	WWWST L17	This order appears to be a duplicate of an existing open order.
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 10:24:31 AM	11/20/2006 10:24:31 AM	WWWST L34	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=phcw&9=Limit&10=.01&11=&12=&13=Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 2:51:04 PM	11/20/200 6 2:51:04 PM	WWWST L46	3=&4=Symbol or Name&5=0&6=34&7=12&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 7:17:34 PM	11/20/200 6 7:17:33 PM	WWWST L13	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=wmdb&9=Limit&10=.02&11=&12=&13 =- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 7:17:34 PM	11/20/200 6 7:17:34 PM	WWWST L13	7.00 *
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 7:17:34 PM	11/20/200 6 7:17:34 PM	WWWST L13	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 7:17:34 PM	11/20/200 6 7:17:34 PM	WWWST L13	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	EntryEqui tyOrderEn try	24.90.135.144	11/20/200 6 7:17:34 PM	11/20/200 6 7:17:34 PM	WWWST L13	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=WMDDB&T RADETYPE=Limit&LIMITPRICE=.02&TIF=Today (Good until 4:00 PM EST)&COMMISSION=8
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/20/200 6 7:24:21 PM	11/20/200 6 7:24:20 PM	WWWST L13	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=acrl&9=Limit&10=.005&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:55:55 AM	11/21/200 6 9:55:55 AM	WWWST L17	3=&4=Symbol or Name&5=4&6=Buy&7=100000&8=atom&9=Limit&10=.25&11=&12=&13 =- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:55 AM	11/21/2006 9:55:55 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:55 AM	11/21/2006 9:55:55 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/LoginProcess.aspx	24.90.135.144	11/20/2006 10:24:08 AM	11/20/2006 10:24:10 AM	WWWST L34	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:59 AM	11/21/2006 9:56:00 AM	WWWST L17	3=&4=Symbol or Name&5=4&6=Place Order&
70611570	EntryEquityOrderConfirmation	24.90.135.144	11/21/2006 9:56:00 AM	11/21/2006 9:56:00 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XOA124020061121&COMMISSION=132
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:56:06 AM	11/21/2006 9:56:07 AM	WWWST L17	
70611570	VeriSign	24.90.135.144	11/20/2006 10:24:08 AM	11/20/2006 10:24:10 AM	WWWST L34	12601939 24.90.135.144 11/20/2006 10:24:08 AM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3)WinXP
70611570	/home/default.aspx	24.90.135.144	11/20/2006 10:24:08 AM	11/20/2006 10:24:10 AM	WWWST L34	!

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/LoginProcess.aspx	24.90.135.144	11/20/2006 2:49:29 PM	11/20/2006 2:49:29 PM	WWWST L46	
70611570	VeriSign	24.90.135.144	11/20/2006 2:49:29 PM	11/20/2006 2:49:29 PM	WWWST L46	12601939 24.90.135.144 11/20/2006 2:49:29 PM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1; IEMB3; IEMB3)WinXP
70611570	/home/default.aspx	24.90.135.144	11/20/2006 2:49:29 PM	11/20/2006 2:49:29 PM	WWWST L46	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:49:57 PM	11/20/2006 2:49:57 PM	WWWST L46	
70611570	EntryEquityOrderEntry	24.90.135.144	11/20/2006 2:49:57 PM	11/20/2006 2:49:57 PM	WWWST L46	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:38 PM	11/20/2006 2:50:48 PM	WWWST L46	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=phcw&9=Limit&10=.002&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:39 PM	11/20/2006 2:50:48 PM	WWWST L46	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:39 PM	11/20/2006 2:50:48 PM	WWWST L46	* If the price is under \$1 at the time of execution, commission is \$7 1/2

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:39 PM	11/20/2006 2:50:48 PM	WWWST L46	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	EntryEquityOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:39 PM	11/20/2006 2:50:48 PM	WWWST L46	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=LPHCW&TRADETYPE=Limit&LIMITPRICE=.002&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.1
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:54 PM	11/20/2006 2:50:55 PM	WWWST L46	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=magla&9=Limit&10=.0003&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:54 PM	11/20/2006 2:50:55 PM	WWWST L46	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/20/2006 2:50:54 PM	11/20/2006 2:50:55 PM	WWWST L46	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview.aspx	24.90.135.144	11/20/2006 2:50:54 PM	11/20/2006 2:50:55 PM	WWWST L46	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=MAGLA&TRADETYPE=Limit&LIMITPRICE=.0003&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.015
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:58:41 AM	11/21/2006 9:58:42 AM	WWWST L14	
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:58:48 AM	11/21/2006 9:58:48 AM	WWWST L14	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	CancelEquityOrder Review	24.90.135.144	11/21/2006 9:58:48 AM	11/21/2006 9:58:48 AM	WWWST L14	&ACTION=Buy&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XJB078220061121&COMMISSION=7.6
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:58:56 AM	11/21/2006 9:58:56 AM	WWWST L14	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:53:54 AM	11/21/2006 9:54:14 AM	WWWST L17	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:02 AM	11/21/2006 9:54:18 AM	WWWST L17	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:54:02 AM	11/21/2006 9:54:18 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:26 AM	11/21/2006 9:54:29 AM	WWWST L17	3=&4=Symbol or Name&5=3&6=Buy&7=100000&8=atom&9=Limit&10=.2&11=&12=&13=Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:26 AM	11/21/2006 9:54:29 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:26 AM	11/21/2006 9:54:29 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:26 AM	11/21/2006 9:54:29 AM	WWWST L17	This order appears to be a duplicate of an existing open order.
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:54:26 AM	11/21/2006 9:54:29 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.2&TIF=Today (Good until 4:00 PM EST)&COMMISSION=107
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:29 AM	11/21/2006 9:54:30 AM	WWWST L17	3=&4=Symbol or Name&5=3&6=Buy&7=100000&8=atom&9=Limit&10=.2&11=&12=&13=Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:34 AM	11/21/2006 9:55:43 AM	WWWST L17	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:55:34 AM	11/21/2006 9:55:44 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:51:43 AM	11/21/2006 9:51:46 AM	WWWST L17	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:52:26 AM	11/21/2006 9:52:26 AM	WWWST L17	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:53:12 AM	11/21/2006 9:53:13 AM	WWWST L17	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:29 AM	11/21/2006 9:54:30 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:29 AM	11/21/2006 9:54:30 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:54:29 AM	11/21/2006 9:54:30 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.2&TIF=Today (Good until 4:00 PM EST)&COMMISSION=107
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:54:35 AM	11/21/2006 9:54:36 AM	WWWST L17	3=&4=Symbol or Name&5=3&6=Place Order&
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 9:54:36 AM	11/21/2006 9:54:36 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.2&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XVB937020061121&COMMISSION=107
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:54:42 AM	11/21/2006 9:54:43 AM	WWWST L17	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:55:29 AM	11/21/2006 9:55:41 AM	WWWST L17	
70611570	/home/default.aspx	24.90.135.144	11/21/2006 10:04:14 AM	11/21/2006 10:04:15 AM	WWWST L14	!

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:59:09 AM	11/21/2006 9:59:09 AM	WWWST L14	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 9:59:09 AM	11/21/2006 9:59:09 AM	WWWST L14	&ACTION=Buy&QUANTITY=55000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=0.10&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=34.5
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:55:55 AM	11/21/2006 9:55:55 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&COMMISSION=132
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:57 AM	11/21/2006 9:55:57 AM	WWWST L17	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:57 AM	11/21/2006 9:55:57 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:55:57 AM	11/21/2006 9:55:57 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&COMMISSION=132
70611570	/home/default.aspx	24.90.135.144	11/21/2006 9:59:49 AM	11/21/2006 9:59:53 AM	WWWST L14	
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:52:47 AM	11/21/2006 9:52:58 AM	WWWST L17	1

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 9:57:19 AM	11/21/2006 9:57:19 AM	WWWST L17	
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:59:04 AM	11/21/2006 9:59:04 AM	WWWST L14	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:58:58 AM	11/21/2006 9:58:58 AM	WWWST L14	
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 9:59:04 AM	11/21/2006 9:59:04 AM	WWWST L14	&ACTION=Buy&QUANTITY=55000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=0.10&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=34.5
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 9:58:58 AM	11/21/2006 9:58:58 AM	WWWST L14	&ACTION=Buy&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=34.5
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:59:04 AM	11/21/2006 9:59:04 AM	WWWST L14	3=&4=Symbol or Name&5=Cancel Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:04:14 AM	11/21/2006 10:04:15 AM	WWWST L14	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:04:14 AM	11/21/2006 10:04:15 AM	WWWST L14	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 10:23:37 AM	11/21/2006 10:23:53 AM	WWWST L16	
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 10:23:37 AM	11/21/2006 10:23:53 AM	WWWST L16	&ACTION=Buy&AON=False&SYMBOL=ARVXQ&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XJA016620061121&COMMISSION=7.3
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 10:23:46 AM	11/21/2006 10:23:57 AM	WWWST L16	
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 10:23:46 AM	11/21/2006 10:23:57 AM	WWWST L16	&ACTION=Buy&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=22
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:03:19 AM	11/21/2006 10:03:20 AM	WWWST L14	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:21:11 AM	11/21/2006 10:21:12 AM	WWWST L16	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:03:19 AM	11/21/2006 10:03:20 AM	WWWST L14	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:59:09 AM	11/21/2006 9:59:09 AM	WWWST L14	3=&4=Symbol or Name&5=Cancel Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/Sto ckOrderC ancel.asp x	24.90.135.144	11/21/200 6 10:23:41 AM	11/21/200 6 10:23:55 AM	WWWST L16	3=&4=Symbol or Name&5=Cancel Order&
70611570	/home/De fault.aspx	24.90.135.144	11/21/200 6 9:59:39 AM	11/21/200 6 9:59:49 AM	WWWST L14	
70611570	/myaccou nt/OrderSt atus.aspx	24.90.135.144	11/21/200 6 10:23:44 AM	11/21/200 6 10:23:56 AM	WWWST L16	
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:56:38 AM	11/21/200 6 9:56:58 AM	WWWST L17	3=&4=Symbol or Name&5=5&6=Buy&7=100000&8=atom&9=Limit&10=.25&11=&12=&13 =- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderC ancel.asp x	24.90.135.144	11/21/200 6 10:23:49 AM	11/21/200 6 10:23:59 AM	WWWST L16	3=&4=Symbol or Name&5=Cancel Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:56:38 AM	11/21/200 6 9:56:58 AM	WWWST L17	7.00 *
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 9:56:38 AM	11/21/200 6 9:56:58 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:21:11 AM	11/21/200 6 10:21:12 AM	WWWST L16	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:38 AM	11/21/2006 9:56:58 AM	WWWST L17	This order appears to be a duplicate of an existing open order.
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:56:38 AM	11/21/2006 9:56:58 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&COMMISSION=132
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 10:23:49 AM	11/21/2006 10:23:59 AM	WWWST L16	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	CancelEquityOrderReview	24.90.135.144	11/21/2006 10:23:49 AM	11/21/2006 10:23:59 AM	WWWST L16	&ACTION=Buy&QUANTITY=30000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=0.10&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=22
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:22:49 AM	11/21/2006 10:22:49 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:22:49 AM	11/21/2006 10:22:49 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 10:22:49 AM	11/21/2006 10:22:49 AM	WWWST L16	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ARVXQ&TRADETYPE=Limit&LIMITPRICE=.003&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.3
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 9:58:51 AM	11/21/2006 9:58:52 AM	WWWST L14	3=&4=Symbol or Name&5=Cancel Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	CancelEquityOrderConfirm	24.90.135.144	11/21/2006 9:58:52 AM	11/21/2006 9:58:52 AM	WWWST L14	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=0.006&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XZA048020061121&COMMISSION=7.6
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 10:23:31 AM	11/21/2006 10:23:51 AM	WWWST L16	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:55:57 AM	11/21/2006 9:55:57 AM	WWWST L17	3=&4=Symbol or Name&5=4&6=Buy&7=100000&8=atom&9=Limit&10=.25&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	CancelEquityOrderConfirm	24.90.135.144	11/21/2006 10:23:41 AM	11/21/2006 10:23:55 AM	WWWST L16	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ARVXQ&TRADETYPE=Limit&LIMITPRICE=0.003&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XBA903520061121&COMMISSION=7.3
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:59:47 AM	11/21/2006 9:59:52 AM	WWWST L14	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:26 AM	11/21/2006 9:56:27 AM	WWWST L17	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:56:26 AM	11/21/2006 9:56:27 AM	WWWST L17	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:40 AM	11/21/2006 9:56:59 AM	WWWST L17	3=&4=Symbol or Name&5=5&6=Buy&7=100000&8=atom&9=Limit&10=.25&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:40 AM	11/21/2006 9:56:59 AM	WWWST L17	7.00 *
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 9:59:47 AM	11/21/2006 9:59:53 AM	WWWST L14	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:40 AM	11/21/2006 9:56:59 AM	WWWST L17	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:26:32 AM	11/21/2006 10:26:46 AM	WWWST L16	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:26:32 AM	11/21/2006 10:26:46 AM	WWWST L16	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 9:56:40 AM	11/21/2006 9:56:59 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&COMMISSION=132
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 9:56:42 AM	11/21/2006 9:56:59 AM	WWWST L17	3=&4=Symbol or Name&5=5&6=Place Order&
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 9:56:42 AM	11/21/2006 9:56:59 AM	WWWST L17	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=.25&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XEB627320061121&COMMISSION=132

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:22:49 AM	11/21/2006 10:22:49 AM	WWWST L16	3=&4=Symbol or Name&5=0&6=Buy&7=20000&8=arvqx&9=Limit&10=&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:35:26 AM	11/21/2006 10:35:30 AM	WWWST L16	
70611570	ModifyEquityOrderEntry		11/21/2006 10:35:26 AM	11/21/2006 10:35:30 AM	WWWST L16	&ACTION=Buy&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XGA668220061121&COMMISSION=7.5
70611570	ModifyEquityOrderReview	24.90.135.144	11/21/2006 10:35:31 AM	11/21/2006 10:35:32 AM	WWWST L16	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=0.02&TIF=Today (Good until 4:00 PM EST)&COMMISSION=8
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:03:49 AM	11/21/2006 10:04:06 AM	WWWST L14	3=&4=Symbol or Name&5=0&6=Buy&7=20000&8=atom&9=Limit&10=&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:03:49 AM	11/21/2006 10:04:06 AM	WWWST L14	7.00 *
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:35:34 AM	11/21/2006 10:35:34 AM	WWWST L16	3=&4=Symbol or Name&5=2&6=Place Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:03:49 AM	11/21/2006 10:04:06 AM	WWWST L14	* If the price is under \$1 at the time of execution, commission is \$7 1/2

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:03:49 AM	11/21/200 6 10:04:06 AM	WWWST L14	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	Entry/Equi tyOrderEn try	24.90.135.144	11/21/200 6 10:03:49 AM	11/21/200 6 10:04:06 AM	WWWST L14	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ATOM&T RADETYPE=Limit&LIMITPRICE=.11&TIF=Today (Good until 4:00 PM EST)&COMMISSION=18
70611570	/trade/Sto ckOrderM odify.aspx	24.90.135.144	11/21/200 6 10:35:30 AM	11/21/200 6 10:35:32 AM	WWWST L16	3=&4=Symbol or Name&5=2&6=10000&7=Limit&8=0.02&9=&10=&11=- Select -&12=Today (Good until 4:00 PM EST)&13=Review Order&
70611570	/trade/Sto ckOrderM odify.aspx	24.90.135.144	11/21/200 6 10:35:30 AM	11/21/200 6 10:35:32 AM	WWWST L16	7.00 *
70611570	/trade/Sto ckOrderM odify.aspx	24.90.135.144	11/21/200 6 10:35:30 AM	11/21/200 6 10:35:32 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:50:34 AM	11/21/200 6 10:50:34 AM	WWWST L16	3=&4=Symbol or Name&5=4&6=Select&7=100000&8=cmdcq&9=Limit&10=.1&11=&12=& 13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderM odify.aspx	24.90.135.144	11/21/200 6 10:52:38 AM	11/21/200 6 10:52:48 AM	WWWST L16	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:50:34 AM	11/21/200 6 10:50:34 AM	WWWST L16	Unable to enter the order because the Buy/Sell action specified is invalid.

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	ModifyEquityOrderEntry	24.90.135.144	11/21/2006 10:52:38 AM	11/21/2006 10:52:48 AM	WWWST L16	&ACTION=Buy&QUANTITY=90000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=0.2&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA025520061121&COMMISSION=97
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:34 AM	11/21/2006 10:50:34 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:34 AM	11/21/2006 10:50:34 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:50:34 AM	11/21/2006 10:50:34 AM	WWWST L16	&QUANTITY=100000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&COMMISSION=57
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:40 AM	11/21/2006 10:50:41 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:54:06 AM	11/21/2006 10:54:16 AM	WWWST L16	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:54:06 AM	11/21/2006 10:54:16 AM	WWWST L16	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	ModifyEquityOrderEntry	24.90.135.144	11/21/2006 10:52:30 AM	11/21/2006 10:52:46 AM	WWWST L16	&ACTION=Buy&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA025520061121&COMMISSION=52

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/Balance.aspx	209.144.55.6	11/21/2006 11:18:57 AM	11/21/2006 11:19:20 AM	WWWST L8	
70611570	/trade/StockOrderCancel.aspx	24.90.135.144	11/21/2006 10:26:28 AM	11/21/2006 10:26:45 AM	WWWST L16	3=&4=Symbol or Name&5=Change Order&
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:26:28 AM	11/21/2006 10:26:45 AM	WWWST L16	
70611570	ModifyEquityOrderEntry	24.90.135.144	11/21/2006 10:26:28 AM	11/21/2006 10:26:45 AM	WWWST L16	&ACTION=Buy&AON=False&SYMBOL=ATOM&TRADETYPE=Limit&LIMITPRICE=&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA927320061121&COMMISSION=22
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:40 AM	11/21/2006 10:50:41 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:24 AM	11/21/2006 10:48:30 AM	WWWST L16	3=&4=Symbol or Name&5=3&6=Buy&7=100000&8=cmdcq&9=Limit&10=.05&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:52:38 AM	11/21/2006 10:52:48 AM	WWWST L16	7.00 *
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 10:26:56 AM	11/21/2006 10:26:56 AM	WWWST L16	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=.01&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XGA668220061121&COMMISSION=7.5

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:52:38 AM	11/21/2006 10:52:48 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:24 AM	11/21/2006 10:48:30 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:40 AM	11/21/2006 10:50:41 AM	WWWST L16	This order appears to be a duplicate of an existing open order.
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:50:40 AM	11/21/2006 10:50:41 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&COMMISSION=57
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:24 AM	11/21/2006 10:48:30 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 10:22:51 AM	11/21/2006 10:22:52 AM	WWWST L16	&ACTION=Buy&QUANTITY=20000&AON=False&SYMBOL=ARVXQ&TRADETYPE=Limit&LIMITPRICE=.003&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XJA016620061121&COMMISSION=7.3
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:52:38 AM	11/21/2006 10:52:48 AM	WWWST L16	Unable to enter the order because your account does not have enough fund to place this order for a non marginable stock
70611570	ModifyEquityOrderConfirm	24.90.135.144	11/21/2006 10:35:34 AM	11/21/2006 10:35:35 AM	WWWST L16	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=0.02&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XVB003520061121&COMMISSION=8

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	Entry/EquityOrderConfirmation	24.90.135.144	11/21/2006 10:50:45 AM	11/21/2006 10:51:13 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XTA025520061121&COMMISSION=57
70611570	Entry/EquityOrderReview	24.90.135.144	11/21/2006 10:48:24 AM	11/21/2006 10:48:30 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.05&TIF=Today (Good until 4:00 PM EST)&COMMISSION=32
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:17 AM	11/21/2006 10:50:17 AM	WWWST L16	
70611570	Entry/EquityOrderEntry	24.90.135.144	11/21/2006 10:50:17 AM	11/21/2006 10:50:18 AM	WWWST L16	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	Entry/EquityOrderEntry	24.90.135.144	11/21/2006 10:48:16 AM	11/21/2006 10:48:27 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.05&TIF=Today (Good until 4:00 PM EST)&COMMISSION=32
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:52:30 AM	11/21/2006 10:52:46 AM	WWWST L16	
70611570	/trade/StockOrderModify.aspx	24.90.135.144	11/21/2006 10:52:37 AM	11/21/2006 10:52:48 AM	WWWST L16	3=&4=Symbol or Name&5=5&6=90000&7=Limit&8=0.2&9=&10=&11=-Select -&12=Today (Good until 4:00 PM EST)&13=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:40 AM	11/21/2006 10:50:41 AM	WWWST L16	3=&4=Symbol or Name&5=4&6=Buy&7=100000&8=cmdcq&9=Limit&10=.1&11=&12=&13=-Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/LoginProcess.aspx	209.144.55.6	11/21/2006 11:18:54 AM	11/21/2006 11:19:19 AM	WWWST L8	
70611570	VeriSign	209.144.55.6	11/21/2006 11:18:54 AM	11/21/2006 11:19:19 AM	WWWST L8	12601939 209.144.55.6 11/21/2006 11:18:54 AM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.0; .NET CLR 1.1.4322) Win2000
70611570	/home/default.aspx	209.144.55.6	11/21/2006 11:18:54 AM	11/21/2006 11:19:19 AM	WWWST L8	
70611570	/myaccount/ACHFraudSet.aspx	209.144.55.6	11/21/2006 11:19:13 AM	11/21/2006 11:19:24 AM	WWWST L8	
70611570	/myaccount/OnlineProfile.aspx	209.144.55.6	11/21/2006 11:21:59 AM	11/21/2006 11:22:19 AM	WWWST L8	
70611570	/myaccount/Balance.aspx	209.144.55.6	11/21/2006 11:49:03 AM	11/21/2006 11:49:13 AM	WWWST L8	
70611570	/trade/StockOrderEntry.aspx	209.144.55.6	11/21/2006 11:49:06 AM	11/21/2006 11:49:14 AM	WWWST L8	
70611570	EntryEquityOrderEntry	209.144.55.6	11/21/2006 11:49:06 AM	11/21/2006 11:49:14 AM	WWWST L8	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:26:53 AM	11/21/200 6 10:26:53 AM	WWWST L16	3=&4=Symbol or Name&5=1&6=Buy&7=10000&8=cmdcq&9=Limit&10=.01&11=&12=&13 =- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:26:53 AM	11/21/200 6 10:26:53 AM	WWWST L16	7.00 *
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:26:53 AM	11/21/200 6 10:26:53 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEqui tyOrderRe view	24.90.135.144	11/21/200 6 10:26:53 AM	11/21/200 6 10:26:53 AM	WWWST L16	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=CMDCCQ& TRADETYPE=Limit&LIMITPRICE=.01&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.5
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:26:55 AM	11/21/200 6 10:26:56 AM	WWWST L16	3=&4=Symbol or Name&5=1&6=Place Order&
70611570	/myaccou nt/OrderSt atus.aspx	24.90.135.144	11/21/200 6 10:35:21 AM	11/21/200 6 10:35:28 AM	WWWST L16	
70611570	/myaccou nt/ACHFra meSet.as px	209.144.55.6	11/21/200 6 11:51:29 AM	11/21/200 6 11:51:30 AM	WWWST L8	
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:22:51 AM	11/21/200 6 10:22:51 AM	WWWST L16	3=&4=Symbol or Name&5=0&6=Place Order&

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:43 AM	11/21/2006 10:50:43 AM	WWWST L16	3=&4=Symbol or Name&5=4&6=Buy&7=100000&8=cmdcq&9=Limit&10=.1&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/home/Default.aspx	209.144.55.6	11/21/2006 12:50:46 PM	11/21/2006 12:50:46 PM	WWWST L23	
70611570	/myaccount/Balances.aspx	209.144.55.6	11/21/2006 12:50:51 PM	11/21/2006 12:50:51 PM	WWWST L23	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:44 AM	11/21/2006 10:50:50 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:44 AM	11/21/2006 10:50:50 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	EntryEquityOrderReview	24.90.135.144	11/21/2006 10:50:44 AM	11/21/2006 10:50:50 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.1&TIF=Today (Good until 4:00 PM EST)&COMMISSION=57
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:50:45 AM	11/21/2006 10:51:13 AM	WWWST L16	3=&4=Symbol or Name&5=4&6=Place Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:47:46 AM	11/21/2006 10:47:47 AM	WWWST L16	

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 10:47:46 AM	11/21/2006 10:47:47 AM	WWWST L16	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:16 AM	11/21/2006 10:48:27 AM	WWWST L16	3=&4=Symbol or Name&5=3&6=Buy&7=100000&8=cmdcq&9=Limit&10=.05&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 10:51:28 AM	11/21/2006 10:51:28 AM	WWWST L16	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:16 AM	11/21/2006 10:48:27 AM	WWWST L16	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:16 AM	11/21/2006 10:48:27 AM	WWWST L16	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 10:48:28 AM	11/21/2006 10:48:32 AM	WWWST L16	3=&4=Symbol or Name&5=3&6=Place Order&
70611570	EntryEquityOrderConfirm	24.90.135.144	11/21/2006 10:48:31 AM	11/21/2006 10:48:33 AM	WWWST L16	&ACTION=Buy&QUANTITY=100000&AON=False&SYMBOL=CMDCCQ&TRADETYPE=Limit&LIMITPRICE=.05&TIF=Today (Good until 4:00 PM EST)&ORDERNUMBER=XYB217420061121&COMMISSION=32
70611570	/myaccount/OrderStatus.aspx	24.90.135.144	11/21/2006 10:48:37 AM	11/21/2006 10:48:38 AM	WWWST L16	1

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccoun nt/OrderSt atus.aspx	24.90.135.144	11/21/200 6 10:49:32 AM	11/21/200 6 10:49:50 AM	WWWST L16	
70611570	/trade/Sto ckOrderE ntry.aspx	24.90.135.144	11/21/200 6 10:48:16 AM	11/21/200 6 10:48:27 AM	WWWST L16	This order appears to be a duplicate of an existing open order.
70611570	/myaccou nt/OrderSt atus.aspx	24.90.135.144	11/21/200 6 10:51:43 AM	11/21/200 6 10:51:43 AM	WWWST L16	
70611570	/LoginPro cess.aspx	209.144.55.6	11/21/200 6 2:48:46 PM	11/21/200 6 2:48:59 PM	WWWST L32	
70611570	VeriSign	209.144.55.6	11/21/200 6 2:48:46 PM	11/21/200 6 2:48:59 PM	WWWST L32	12601939 209.144.55.6 11/21/2006 2:48:46 PM Mozilla/4.0 (compatible; MSIE 6.0; Windows NT 5.0; .NET CLR 1.1.4322)Win2000
70611570	/home/def ault.aspx	209.144.55.6	11/21/200 6 2:48:46 PM	11/21/200 6 2:48:59 PM	WWWST L32	
70611570	/myaccou nt/Balance s.aspx	209.144.55.6	11/21/200 6 2:48:48 PM	11/21/200 6 2:48:59 PM	WWWST L32	
70611570	/myaccou nt/ACHFra meSet.as px	209.144.55.6	11/21/200 6 2:48:49 PM	11/21/200 6 2:48:59 PM	WWWST L32	!

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	/myaccount/Balances.aspx	209.144.55.6	11/21/2006 11:49:07 AM	11/21/2006 11:49:14 AM	WWWST L8	
70611570	/myaccount/ACHFraudSet.aspx	209.144.55.6	11/21/2006 11:50:22 AM	11/21/2006 11:50:39 AM	WWWST L8	
70611570	/myaccount/ACHFraudSet.aspx	209.144.55.6	11/21/2006 12:50:52 PM	11/21/2006 12:50:52 PM	WWWST L23	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	3=&4=Symbol or Name&5=0&6=Buy&7=10000&8=cmdcq&9=Limit&10=.008&11=&12=&13=- Select -&14=Today (Good until 4:00 PM EST)&15=Review Order&
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	7.00 *
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	* If the price is under \$1 at the time of execution, commission is \$7 1/2
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	CR1: Buys are not allowed for this security. Please call your local branch to place the order.
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	Unable to enter the order because your account is not setup for trading online.

Account	Page	IP Address	Action Time	Log Time	Server Name	Query String
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 3:59:05 PM	11/21/2006 3:59:38 PM	WWWST L6	&ACTION=Buy&QUANTITY=10000&AON=False&SYMBOL=CMDCQ&TRADETYPE=Limit&LIMITPRICE=.008&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7.4
70611570	/home/Default.aspx	24.90.135.144	11/21/2006 3:59:20 PM	11/21/2006 3:59:45 PM	WWWST L6	
70611570	/trade/StockOrderEntry.aspx	24.90.135.144	11/21/2006 3:58:45 PM	11/21/2006 3:59:27 PM	WWWST L6	
70611570	/LoginProcess.aspx	24.90.135.144	11/21/2006 3:58:19 PM	11/21/2006 3:59:12 PM	WWWST L6	
70611570	VeriSign	24.90.135.144	11/21/2006 3:58:19 PM	11/21/2006 3:59:12 PM	WWWST L6	12601939 24.90.135.144 11/21/2006 3:58:19 PM Mozilla/4.0 (compatible; MSIE 7.0; Windows NT 5.1)WinXP
70611570	/home/Default.aspx	24.90.135.144	11/21/2006 3:58:19 PM	11/21/2006 3:59:13 PM	WWWST L6	
70611570	EntryEquityOrderEntry	24.90.135.144	11/21/2006 3:58:46 PM	11/21/2006 3:59:27 PM	WWWST L6	&AON=False&TRADETYPE=Market&TIF=Today (Good until 4:00 PM EST)&COMMISSION=7

BEGIN FORM - Terms and Conditions Effective Wednesday, December 27, 2006 at 15:32:21

**For Internal Use Only**

Account Number:	785-934062
Type:	Individual
Registration:	Cash & Margin
Branch ID:	NYC 92392
Referrer:	direct
Ad Code:	WEB
Offer Code:	170

Mailing Address

Standard Delivery:
Overnight:
Fax:

PO Box 2760, Omaha, NE 68103-2760
1005 N. Ameritrade Place, Bellevue, NE 68005
816-243-3769

New Account Information

Type:
Registration:
Receive Corp. Communications:
E-mail Address:
Account Statement:
Trade Confirmation:
Funding:
Sweep Vehicle:

Individual
Cash & Margin
Yes
BETHSMOKER1@GOOWY.COM
Monthly Electronic
Electronic
Express Funding
TD AMERITRADE Cash (Protected by SIPC)

Account Owner

Name:
Street Address:

BETH ROTHSTEIN
[REDACTED]
NEW YORK, NY 10017
UNITED STATES OF AMERICA
Married

Marital Status:
Home Phone:
Social Security Number:
Date of Birth:
Mother's Maiden Name:
Citizenship:
Employment Status:
Corporate Affiliation:
NASD Affiliation:

[REDACTED]
[REDACTED]
[REDACTED]
US Citizen/Permanent Resident
Retired
No
No

Financial Questionnaire

Income:
Networth:
Liquid Networth:
Number of Dependents:

\$100,000+
\$100,000 - 499,999
\$50,000 - 99,999
1

ACH Information

Deposit Amount:
Bank Account Name:
Bank Name:
Bank Nickname:
Bank City:
Bank State:
ABA Routing Number:
Bank Account Number:
Bank Account Type:
EFT Tracking Number:
EFT Transaction Date:

85500.00
BETH ROTHSTEIN
CITI BANK
NEW YORK
NY
[REDACTED]
3714
S
78593406213135301
11/13/2006 13:53:31

CASH & MARGIN AGREEMENT

Under penalties of perjury, I certify (1) that the Social Security Number shown on this form is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) I am a U.S. person (including a resident alien); provided, however, if I am a non-resident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit a W-8BEN Form. If I have been notified by the IRS that I am subject to backup withholding as a result of dividend or interest underreporting, I must cross out (2) in this certification.

I have received and read the terms and conditions, which are incorporated by this reference, that will govern my account. I agree to be bound by these terms and conditions, as amended from time to time, and request an account to be opened in the names(s) set forth below.

The Terms and Conditions applicable to this Brokerage Account Agreement contain pre-dispute arbitration clauses. I agree to be bound by the terms of the agreement including the arbitration agreement located at paragraphs 92 - 94 of the Terms and Conditions.

All securities, dividends and proceeds will be held at Ameritrade Clearing, Division of Ameritrade, Inc. unless otherwise instructed.

I understand that non-deposit investments purchased through TD AMERITRADE are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

I understand my available cash balance will earn interest in my brokerage account as TD AMERITRADE Cash (Covered by SIPC) based on current rates and policies, which may be changed without prior notice.

Important Information about procedures for opening a new account:

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

I understand that TD AMERITRADE may relate information regarding this account, including account delinquency and voluntary closures, to consumer or credit-reporting agencies. Upon my request, TD AMERITRADE shall inform me of each consumer or credit-reporting agency from which they have obtained and/or reported my consumer or credit report. TD AMERITRADE agrees to notify the consumer or credit-reporting agencies if I dispute the completeness or accuracy of the information furnished by TD AMERITRADE. By my signature below, I authorize TD AMERITRADE to obtain consumer or credit reports for the name(s) set forth below.

Account Owner(s) must sign.

Date

X _____
BETH ROTHSTEIN
Account Number: 785-934062

For Ameritrade Clearing use only

Margin account approval (initial & date): _____

New Accounts Opened By (initial & date): _____

Account Number: 785-934062

General Inquiry

Account History

Account Detail

Account Detail

785-934062: ROTHSTEIN BETH

Margin: Yes

Option: None

Account Closed - BKR ALRT - KEEP CLSD (12/13/2006)

Restriction
Summary

RESTR - FD3 - FDIC ACCT OPTED OUT

RESTR - PR - PREMIER ACCOUNT

RESTR - GK - GAINKEEPER ACCESS

NO\$OUT -

LTONLY -

RESTR - BC - BOUNCED CHECK

RESTR - U - UNDESIRABLE ACCOUNT

CLOSED -

Demographics

Short Name	ROTHSTEIN BETH	Account Type	C CUSTOMER
Mailing Address	BETH ROTHSTEIN [REDACTED] NEW YORK NY 10017	Owner Type	R INDIVIDUAL
		Sub Owner Type	
		Tax Identification	[REDACTED]
		Nickname	
		Email	E-MAIL
		Representative	FF TD AMERITRADE
Physical Address		Branch ID	NYC92392
		Commission Schedule	TDA
		Withholding Instructions	SHHH
Primary Phone	[REDACTED]	Date Opened	11/13/2006
Secondary Phone		Date Changed	12/14/2006
Other Phone		Date Active	12/19/2006
Fax Phone		Date Deceased	
		W-8 Expiration Date	
		W-8 Signature Date	
		ACH Bank	[REDACTED]
		ACH Acct/Type	[REDACTED] 3714

Additional
Information

Employer	RETIRED	Comments
Option Approval		ACCOUNT CLOSED FRAUD
Margin Approval	11/13/2006	12/14/2006 Added to UL per Corp Risk
ECA OK	Y	
Day Trader	N	
Prime Money Acct	N	Pending LP research. JZ x 5293
IASD	N	
IATS?	W	
acct Desig	A	
egal Fee	Y	
ternate Address	N	First Trades
ccount Source	170 -	Option -
irth Date	[REDACTED]	Margin - 11/17/2006
ouse Birth Date		
ceased Birth Date		
ceased Death Date		
oreign Codes		

CallTrack v6.0.0.1 | Agent ID: 7138 Extension: Not Logged In

File Edit Favorites Forms UA Tool Resource Desk

Telephony: Conference, Transfer, Hold, Outbound, Enter Phone #, IDS, Call Source, Call ID, Answer Time, Call Queue, Agent Status, Unavailable, No Telephony Support

Current Call Info: Call Source, Call ID, Answer Time

Call Queue: Queue # In Prog. Wait

Agent Status: Unavailable, No Telephony Support

ACT - United Insurance

Back Forward Refresh Stop URL: /net/CustomerContactAdmin/pagehandler-PHCustomerContactAdmin/ACCOUNTSEARCH-VBACCOUNTID-

Customer Contact Information

This application requires Javascript in browser be turned on

Wed Dec 27 2006 4:50:43 PM EST

Account numbers: [REDACTED]

Beneficiary Owner: **ROTHSTEIN BETH**
(Select Beneficiary Owner for Update)

[Change Courtesy Fill Contacts](#)

Change Email Addresses:

Primary Email Address: **BETHSMOKER1@GOOWY.COM**

Secondary Email Address: [REDACTED]

Subscription Changes:

Subscription	Delivery Option
<input checked="" type="checkbox"/> Statements	Primary Email <input checked="" type="checkbox"/>
<input checked="" type="checkbox"/> Legal Trade Confirmations	Primary Email <input checked="" type="checkbox"/>
<input checked="" type="checkbox"/> Customer News & Benefits (Marketing)	Primary Email <input checked="" type="checkbox"/>

ACCOUNT NO.	YOUR REPRESENTATIVE
785-934062	PF

STATEMENT PERIOD	PAGE
10/28/06-11/24/06	1-6

TD AMERITRADE

100171

BETH ROTHSTEIN

NEW YORK, NY 10017

IMPROVED TD AMERITRADE WEB SITE.
THE WAY YOU TRADE AND CHECK YOUR
BALANCES IS THE SAME, BUT YOU'LL
FIND NEW MENU CHOICES, EXPANDED
RESEARCH AND SHORTCUTS LOG ON
AND CHECK OUT THE CHANGES TODAY!

ACCOUNT SUMMARY AS OF 11/24/06

CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY

Stocks	Current Month Value	% of Long Value
Warrants/Rights	\$32,694	77.1
*Long Market Value	9,692	22.9
Margin Account (2) Balance	\$42,386	100.0
*Net Account Value	\$95	
*Total Equity	\$42,481	
*Excludes unpriced securities(NP)	\$42,481	

Money Balance Summary	
Account Type	Opening Balance Closing Balance
Margin Account (2)	\$0.00 \$94.90
Net Cash Balance	\$0.00 \$94.90
Total Money Balance	\$0.00 \$94.90

Security Positions

Type	Quantity	Description	Symbol	Mkt. Price	Mkt. Value
2	326,600	Accom Inc Com	ACOM	\$.0047	\$1,535
2	807,700	Locateplus Holdings Corp	LPHCW	.012	9,692
2	3,647	Warrant Class A Exp 04/12/2007	OWLD	.03	109
2	207,000	Oneworld Systems Inc Com	WMDB	.15	31,050
		Wendit Bristol Health Svcs Corp			
		Cm N/C 10-92 Was Tenco Natl			
TOTAL ACCOUNT VALUE					\$42,386

ACCOUNT NO.		CUSTOMER NAME		STATEMENT PERIOD		PAGE	
E785-934062		BETH ROTHSTEIN		10/28/06-11/24/06		2-6	
TD AMERITRADE							
Account Activity							
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit	
11/13/06	11/14/06	OPENING BAL CASH ACCOUNT					
11/14/06	11/14/06	Electronic Funding JOURNAL					
		Ef 11/14 Move Cash to Margin CLOSING BAL CASH ACCOUNT			85,500.00	\$0.00	85,500.00
11/13/06	11/13/06	OPENING BAL MARGIN ACCOUNT JOURNAL				\$0.00	
11/14/06	11/14/06	Cash Award JOURNAL				\$0.00	
11/17/06	11/22/06	Ef 11/14 Move Cash to Margin BUY	150000	.050	7,500.00	85,500.00	
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	50000	.050	2,500.00		
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl SELL	20000	.060		1,199.96	
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	30000	.040		1,199.96	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	170000	.10	17,000.00		
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.090	450.00		
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	50000	.0950	4,750.00		
11/17/06	11/22/06	Accom Inc Com BUY	25000	.020	500.00		
11/17/06	11/22/06	Accom Inc Com BUY	75000	.0195	1,462.50		
11/17/06	11/22/06	Accom Inc Com BUY	200000	.030	6,000.00		
11/17/06	11/22/06	Accom Inc Com BUY	150000	.0250	3,750.00		
11/17/06	11/22/06	Accom Inc Com BUY	200000	.0250	5,000.00		
11/17/06	11/22/06	Accom Inc Com BUY	100000	.020	2,000.00		
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	120000	.030	3,600.00		
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	5000	.0150	75.00		

CONTINUED ON NEXT PAGE

CONTINUED ON NEXT PAGE

ACCOUNT NO. |
E785-934062
CUSTOMER NAME
BETH ROTHSTEIN

STATEMENT PERIOD
10/28/06-11/24/06
PAGE
3-6
 ---|
 TD AMERITRADE

Account Activity						
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	15000	.020	300.00	
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	15000	.050	750.00	
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	22000	.050	1,100.00	
11/17/06	11/22/06	Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl BUY	5000	.040	200.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.050	250.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.060	300.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.070	350.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.0650	325.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	98647	.090	8,878.23	
11/17/06	11/22/06	Accom Inc Com BUY	51600	.020	1,032.00	
11/17/06	11/22/06	Accom Inc Com BUY	125000	.0250	3,125.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	63000	.020	1,260.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	105000	.0250	2,625.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	115000	.030	3,450.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	75000	.0350	2,625.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	80000	.0250	2,000.00	
11/20/06	11/24/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 SELL	100000	.0030		299.99
11/20/06	11/24/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 SELL	10000	.0150		149.99

---CONTINUED ON NEXT PAGE---

ACCOUNT NO.		CUSTOMER NAME		STATEMENT PERIOD		PAGE	
785-934062		BETH ROTHSTEIN		10/28/06-11/24/06		5-6	
TD AMERITRADE							
Trades Pending Settlement							
Description	Type	Trade Date	Settle Date	Quantity	Market Price	Amount Charged	Amount Credited
SELL Accom Inc Com	MGN	11/22/06	11/28/06	5000	.0060		29.99
SELL Accom Inc Com	MGN	11/22/06	11/28/06	15000	.0050		74.99
SELL Accom Inc Com	MGN	11/22/06	11/28/06	5000	.0045		22.49
SELL Accom Inc Com	MGN	11/22/06	11/28/06	5000	.0043		21.49
SELL Accom Inc Com	MGN	11/22/06	11/28/06	5000	.0041		20.49
SELL Accom Inc Com	MGN	11/22/06	11/28/06	10000	.0040		39.99
SELL Accom Inc Com	MGN	11/22/06	11/28/06	5000	.0037		18.49
SELL Accom Inc Com	MGN	11/22/06	11/28/06	150000	.0034		509.98
SELL Accom Inc Com	MGN	11/22/06	11/28/06	121600	.0032		389.10
Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/22/06	11/28/06	10000	.030		299.99
Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/22/06	11/28/06	5000	.0250		124.99
Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/22/06	11/28/06	192000	.010		1,919.94
Wendt Bristol Health Svcs Corp Cm N/C 10-92 Was Temco Natl	MGN	11/22/06	11/28/06	100000	.0350	3,500.00	

TD Ameritrade Cash Interest Credited				
Beg. Date	Credit Balance	Nbr./Days	Int. Rate	Interest Credited
11/14/06	\$85,600.00	8	1.0500	\$19.70
11/22/06	4,842.19	2	0.1000	0.03
TOTAL INTEREST TO BE CREDITED				\$19.73

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
E785-934062	BETH ROTHSTEIN	10/28/06-11/24/06	6-6
			TD AMERITRADE

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

THE ABOVE PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO VALUE YOUR PORTFOLIO. CURRENT QUOTATIONS ARE AVAILABLE THROUGH YOUR BROKER. CERTIFICATES OF DEPOSIT ARE PRICED AT PAR. SALE PRIOR TO MATURITY MAY RESULT IN A LOSS OF PRINCIPAL. MORTGAGE BACKED POSITIONS ARE VALUED USING THE REMAINING BALANCE AND THE CURRENT MARKET PRICE.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

ACCOUNT NO. | YOUR REPRESENTATIVE
 8785-934082 | 135
 10/28/06-11/24/06 | 1-6

STATEMENT PERIOD
 10/28/06-11/24/06
 1-6

CD AMERITRADE

100171

BETH ROSENSTEIN

NEW YORK, NY 10017

IMPROVED TO AMERITRADE WEB SITE.
 THE WAY YOU TRADE AND CHECK YOUR
 BALANCES IS THE SAME. BUT YOU'LL
 FIND NEW MENU CHOICES, EXPANDED
 RESEARCH AND SHORTCUTS. LOG ON
 AND CHECK OUT THE CHANGES TODAY!

ACCOUNT SUMMARY AS OF 11/24/06 CUSTOMER ACCOUNTS PROTECTED UP TO \$150,000,000.

PORTFOLIO SUMMARY

Stocks	Current Month Value	% of Long Value
Warrants/Rights	\$32,694	77.1
Long Market Value	\$42,386	100.0
Margin Account (2) Balance	\$42,481	
Net Account Value	\$42,481	
Total Equity	\$42,481	

*Excludes unpriced securities (NP)

Money Balance Summary

Account Type	Closing Balance	Closing Balance
Margin Account (2)	\$0.00	\$94.90
Net Cash Balance	\$0.00	\$94.90
Total Money Balance	\$0.00	\$94.90

Security Positions

Type	Quantity	Description	Symbol	Acq. Price	Mar. Value
2	325,500	Acrom Inc Com	ACRM	\$0.047	\$15.35
2	807,700	Locatopius Holdings Corp	LPHEW	0.12	9.692
2	3,647	Warrant Class A Exp 04/12/2007			
2	207,000	Crowworld Systems Inc Com	CWLD	0.03	109
		Kendat Bristol Health Svcs Corp	KMBR	0.15	31.050
		GM X/C 10-92 Mas Tempo Natl			
TOTAL ACCOUNT VALUE					\$42,386

ACCOUNT NO.		CUSTOMER NAME		STATEMENT PERIOD		PAGE	
1785-934062		BETH ROSENSTEIN		10/28/06-11/24/06		2-5	
Account Activity							
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit	
11/13/06	11/14/06	OPENING BAL CASH ACCOUNT					
11/14/06	11/14/06	Electronic Funding JOURNAL			85,500.00	85,500.00	
		IF 11/14 Move Cash to Margin					
		CLOSING BAL CASH ACCOUNT					
11/13/06	11/13/06	OPENING BAL MARGIN ACCOUNT JOURNAL					
11/14/06	11/14/06	Cash Award JOURNAL					
11/17/06	11/22/06	IF 11/14 Move Cash to Margin					
		BUY	150000	.050	7,500.00	85,500.00	
		Wendat Bristol Health Svcs Corp					
		CM N/C 10-92 Was Temco Natl					
11/17/06	11/22/06	BUY	30000	.050	2,500.00		
		Wendat Bristol Health Svcs Corp					
		CM N/C 10-92 Was Temco Natl					
11/17/06	11/22/06	SELL	30000	.060		1,199.96	
		Wendat Bristol Health Svcs Corp					
		CM N/C 10-92 Was Temco Natl					
11/17/06	11/22/06	SELL	30000	.040		1,199.96	
		Wendat Bristol Health Svcs Corp					
		CM N/C 10-92 Was Temco Natl					
11/17/06	11/22/06	BUY	170000	.10	17,000.00		
		OneWorld Systems Inc Com					
11/17/06	11/22/06	BUY	5000	.090	450.00		
		OneWorld Systems Inc Com					
11/17/06	11/22/06	BUY	50000	.0950	4,750.00		
		OneWorld Systems Inc Com					
11/17/06	11/22/06	BUY	25000	.020	500.00		
		Accom Inc Com					
11/17/06	11/22/06	BUY	75000	.0195	1,462.50		
		Accom Inc Com					
11/17/06	11/22/06	BUY	200000	.030	6,000.00		
		Accom Inc Com					
11/17/06	11/22/06	BUY	150000	.0250	3,750.00		
		Accom Inc Com					
11/17/06	11/22/06	BUY	200000	.0350	5,000.00		
		Accom Inc Com					
11/17/06	11/22/06	BUY	100000	.020	2,000.00		
		Locateplus Holdings Corp					
		Warrant Class A Exp 04/12/2007					
11/17/06	11/22/06	BUY	120000	.030	3,600.00		
		Locateplus Holdings Corp					
		Warrant Class A Exp 04/12/2007					
11/17/06	11/22/06	BUY	5000	.0150	75.00		

---CONTINUED ON NEXT PAGE---

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
15785-934062	BETH ROTHSTEIN	10/28/06-11/24/06	3-6
			TO AMERITRADE

Account Activity

Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
11/17/06	11/22/06	Wendit Bristol Health Svcs Corp On N/C 10-32 Was Temco Natl BUY	15000	.020	300.00	
11/17/06	11/22/06	Wendit Bristol Health Svcs Corp On N/C 10-32 Was Temco Natl BUY	15000	.050	750.00	
11/17/06	11/22/06	Wendit Bristol Health Svcs Corp On N/C 10-32 Was Temco Natl BUY	22000	.050	1,100.00	
11/17/06	11/22/06	Wendit Bristol Health Svcs Corp On N/C 10-32 Was Temco Natl BUY	5000	.040	200.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.050	250.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.060	300.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.070	350.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	5000	.0650	325.00	
11/17/06	11/22/06	Oneworld Systems Inc Com BUY	98847	.090	8,896.23	
11/17/06	11/22/06	Accom Inc Com BUY	51600	.030	1,032.00	
11/17/06	11/22/06	Accom Inc Com BUY	125000	.0250	3,125.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	63000	.020	1,260.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	105000	.0250	2,625.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	115000	.030	3,450.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	75000	.0350	2,625.00	
11/17/06	11/22/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 BUY	80000	.0250	2,000.00	
11/20/06	11/24/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 SELL	100000	.0030		299.99
11/20/06	11/24/06	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007 SELL	10000	.0150		149.99

+ CONTINUED ON NEXT PAGE

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
2765-934062	ESTR ROTHSTEIN	10/28/06-11/24/06	4-5

Account Activity						
Trade Date	Settle Date	Description	Quantity	Price/Rate	Debit	Credit
11/20/06	11/24/06	SELL	50000	.0050		299.99
11/20/06	11/24/06	Accom Inc Com				
11/20/06	11/24/06	SELL	430000	.0030		1,289.95
11/20/06	11/24/06	Accom Inc Com				
11/20/06	11/24/06	SELL	20000	.030		599.99
11/20/06	11/24/06	Oneworld Systems Inc Com				
11/20/06	11/24/06	SELL	25000	.020		499.99
11/20/06	11/24/06	Oneworld Systems Inc Com				
11/20/06	11/24/06	SELL	285000	.010		2,849.91
11/20/06	11/24/06	Oneworld Systems Inc Com				
11/20/06	11/24/06	BUY	200000	.020	4,000.00	
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	BUY	500000	.0100	5,000.00	
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	SELL	15000	.040		599.98
11/20/06	11/24/06	Oneworld Systems Inc Com				
11/20/06	11/24/06	SELL	20300	.010		202.99
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	SELL	10000	.0070		69.99
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	SELL	65000	.0050		324.99
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	SELL	105000	.0030		314.99
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	SELL	250000	.0030		749.37
11/20/06	11/24/06	Locateplus Holdings Corp				
11/20/06	11/24/06	Warrant Class A Exp 04/12/2007				
11/20/06	11/24/06	CLOSING BAL MARGIN ACCOUNT				
TOTAL ACCOUNT BALANCE						\$94.90

Trades Pending Settlement						
Description	Type	Trade Date	Settle Date	Quantity	Market Price	Amount Charged
SELL						Amount Credited
Accom Inc Com	MAN	11/22/06	11/26/06	5000	.0070	34.99

--CONTINUED ON NEXT PAGE--

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
8785-934962	BETH ROCHSTEIN	10/28/06-11/24/06	5-6

CD AMERITRADE

Trades Pending Settlement

Description	Type	Trade Date	Settle Date	Quantity	Market Price	Amount Charged	Amount Credited
SELL	MGN	11/22/06	11/28/06	5000	.0050		25.00
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	15000	.0050		75.00
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	5000	.0045		22.50
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	5000	.0043		21.50
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	5000	.0041		20.50
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	10000	.0039		39.00
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	5000	.0037		18.50
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	15000	.0034		51.00
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	121500	.0032		3888.00
Accom Inc Com							
SELL	MGN	11/22/06	11/28/06	10000	.0030		30.00
Accom Inc Com							
Wendit Bristol Health Svcs Corp							
On N/C 10-92 Was Tenco Natl							
SELL	MGN	11/22/06	11/28/06	5000	.0050		25.00
Wendit Bristol Health Svcs Corp							
On N/C 10-92 Was Tenco Natl							
SELL	MGN	11/22/06	11/28/06	192000	.0010		1920.00
Wendit Bristol Health Svcs Corp							
On N/C 10-92 Was Tenco Natl							
BUY	MGN	11/22/06	11/28/06	100000	.0050	3,500.00	
Wendit Bristol Health Svcs Corp							
On N/C 10-92 Was Tenco Natl							

02/26/2007 MON 12:12 FAX

0006/015

ACCOUNT NO.	CUSTOMER NAME	STATEMENT PERIOD	PAGE
2785-934062	LETH ROSENSTEIN	12/28/06-11/30/06	6-6

Important Information

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

THE ABOVE PRICES ARE PROVIDED ONLY AS A GENERAL GUIDE TO VALUE YOUR PORTFOLIO. CURRENT QUOTATIONS ARE AVAILABLE THROUGH YOUR BROKER. CERTIFICATES OF DEPOSIT ARE PRICED AT PAR. SALE PRIOR TO MATURITY MAY RESULT IN A LOSS OF PRINCIPAL. MORTGAGE BACKED POSITIONS ARE VALUED USING THE REMAINING BALANCE AND THE CURRENT MARKET PRICE.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT, INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY. AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

Account Statement

report period: 11/25/06 - 12/29/06

account # 785-934062

Account registration:

BETH ROTHSTEIN
NEW YORK, NY 10017800-689-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

Announcements:

SHARE THE POWERFUL TOOLS, VALUE AND
SERVICE OF TD AMERITRADE WITH YOUR
FRIENDS AND EARN GREAT REWARDS. TO
REFER A FRIEND, LOG ON TO YOUR
ACCOUNT AND SELECT "PROMOTIONS &
OFFERS" FROM THE ACCOUNT MENU.

Portfolio Summary

Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	Portfolio Allocation
Margin Loan	\$ (5.27)	\$94.90	\$ (100.17)	(105.6%)	\$236.76	-	100.0%
MMDA	-	-	-	-	-	-	-
Money Market	-	-	-	-	-	-	-
Short balance	-	-	-	-	-	-	-
Stocks	-	32,694.43	(32,694.43)	(100.0%)	-	-	-
Short stocks	-	-	-	-	-	-	-
Bonds	-	-	-	-	-	-	-
Options	-	-	-	-	-	-	-
Short options	-	-	-	-	-	-	-
Mutual funds	-	-	-	-	-	-	-
Other	-	9,692.40	(9,692.40)	(100.0%)	-	-	-
Total	\$ (5.27)	\$42,481.73	\$ (42,487.00)	(100.0%)	\$236.76	(4492.6%)	100.0%
Margin equity	100.0%						

Cash Activity Summary

	Current	YTD
Opening balance	\$94.90	\$0.00
Securities purchased	(10,652.63)	(106,810.36)
Securities sold	96,157.73	106,810.36
Funds deposited	(85,500.00)	85,600.00
Funds disbursed	-	(85,500.00)
Income	19.73	19.73
Expense	(125.00)	(125.00)
Other	-	-
Closing balance	\$ (5.27)	\$ (5.27)

Income & Expense Summary

	Taxable	Non-taxable	YTD
Income			
Dividends	\$ -	\$ -	\$ -
Interest	19.73	-	19.73
Other	-	-	-
Expense			
Interest	-	-	-
Fees	(125.00)	-	(125.00)
Other	-	-	-
Net	\$ (105.27)	\$0.00	\$ (105.27)

Performance Summary

	YTD
Cost basis as of - 12/29/06	\$0.00
Realized gains*	-
Realized losses*	-
Unrealized gains	-
Unrealized losses	-
Funds deposited/(disbursed)	100.00
Income/(expense)	(105.27)
Securities received/(delivered)	0.00

*Coming in 2006

ama103.cmp.20070105.GW3RD0.cds 0006634 0047530

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Account Activity							
Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price
Opening balance							Balance
11/27/06	11/27/06	Margin	Divint	Interest Credit			\$94.90
12/06/06	12/06/06	Margin		Payable: 11/24/2006			114.63
12/06/06	12/06/06	Margin		Eff Return - R10 - Customer			
12/06/06	12/06/06	Margin	Journal	Advices Not Authorized			(85,385.37)
12/11/06	12/11/06	Margin	Journal	Ach Return Charge (Customer)			(85,410.37)
12/18/06	12/18/06	Margin	Cancel sell	Advices Not Authorized			(100.00)
12/18/06	12/18/06	Margin	Cancel sell	Promo Adjustment			(259.99)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	100,000	(85,510.37)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(85,810.36)
12/18/06	12/18/06	Margin	Cancel sell	Accom Inc Com	ACMM	10,000	(149.99)
12/18/06	12/18/06	Margin	Cancel sell	Accom Inc Com	ACMM	430,000	(1,289.96)
12/18/06	12/18/06	Margin	Cancel sell	Accom Inc Com	ACMM	60,000	(299.99)
12/18/06	12/18/06	Margin	Cancel sell	Oneworld Systems Inc Com	OWLD	20,000	(599.98)
12/18/06	12/18/06	Margin	Cancel sell	Oneworld Systems Inc Com	OWLD	25,000	(499.98)
12/18/06	12/18/06	Margin	Cancel sell	Oneworld Systems Inc Com	OWLD	285,000	(2,849.91)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp	LPHCW	200,000-	4,000.00
12/18/06	12/18/06	Margin	Cancel buy	Warrant Class A Exp 04/12/2007			(87,500.17)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp	LPHCW	500,000-	(78,500.17)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(79,100.15)
12/18/06	12/18/06	Margin	Cancel sell	Oneworld Systems Inc Com	OWLD	15,000	(599.98)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	20,300	(202.99)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(79,373.13)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	10,000	(69.99)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(79,698.12)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	65,000	(324.99)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(80,013.11)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	105,000	(314.99)
12/18/06	12/18/06	Margin	Cancel sell	Warrant Class A Exp 04/12/2007			(80,763.08)
12/18/06	12/18/06	Margin	Cancel sell	Locateplus Holdings Corp	LPHCW	250,000	(749.97)
12/18/06	12/18/06	Margin	Cancel buy	Warrant Class A Exp 04/12/2007			7,500.00
12/18/06	12/18/06	Margin	Cancel buy	Wendit Bristol Health Svcs Corp	WMDB	150,000-	(73,263.08)
12/18/06	12/18/06	Margin	Cancel buy	Cm NYC 10-92 Was Temco Natl	WMDB	50,000-	(70,763.08)
12/18/06	12/18/06	Margin	Cancel buy	Wendit Bristol Health Svcs Corp	WMDB	50,000-	(70,763.08)
12/18/06	12/18/06	Margin	Cancel buy	Cm NYC 10-92 Was Temco Natl	WMDB	50,000-	(70,763.08)

Account Statement

report period: 11/25/06 - 12/29/06

account # 785-934062

Account Activity									
Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/18/06	12/18/06	Margin	Cancel sell	Wendt Bristol Health Svcs Corp	WMDB	20,000	0.06	(1,199.96)	(71,963.04)
12/18/06	12/18/06	Margin	Cancel sell	Cm N/C 10-92 Was Temco Natl	WMDB	30,000	0.04	(1,199.96)	(73,163.00)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	170,000-	0.10	17,000.00	(56,163.00)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	5,000-	0.09	450.00	(55,713.00)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	50,000-	0.095	4,750.00	(50,963.00)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	25,000-	0.02	500.00	(50,463.00)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	75,000-	0.0195	1,462.50	(49,000.50)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	200,000-	0.03	6,000.00	(43,000.50)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	150,000-	0.025	3,750.00	(39,250.50)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	200,000-	0.025	5,000.00	(34,250.50)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp	LPHCW	100,000-	0.02	2,000.00	(32,250.50)
12/18/06	12/18/06	Margin	Cancel buy	Warrant Class A Exp 04/12/2007	LPHCW	120,000-	0.03	3,600.00	(28,650.50)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp	WMDB	5,000-	0.015	75.00	(28,575.50)
12/18/06	12/18/06	Margin	Cancel buy	Wendt Bristol Health Svcs Corp	WMDB	15,000-	0.02	300.00	(28,275.50)
12/18/06	12/18/06	Margin	Cancel buy	Cm N/C 10-92 Was Temco Natl	WMDB	15,000-	0.05	750.00	(27,525.50)
12/18/06	12/18/06	Margin	Cancel buy	Wendt Bristol Health Svcs Corp	WMDB	22,000-	0.05	1,100.00	(26,425.50)
12/18/06	12/18/06	Margin	Cancel buy	Cm N/C 10-92 Was Temco Natl	OWLD	5,000-	0.04	200.00	(26,225.50)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	5,000-	0.05	250.00	(25,975.50)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	5,000-	0.06	300.00	(25,675.50)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	5,000-	0.07	350.00	(25,325.50)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	5,000-	0.065	325.00	(25,000.50)
12/18/06	12/18/06	Margin	Cancel buy	Oneworld Systems Inc Com	OWLD	98,647-	0.09	8,878.23	(16,122.27)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	51,600-	0.02	1,032.00	(15,090.27)
12/18/06	12/18/06	Margin	Cancel buy	Accorn Inc Com	ACMM	125,000-	0.025	3,125.00	(11,965.27)

ame103.cmp.20070105.GVGRD0.cds 0006534 0047591

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Account Activity									
Trade Date	Settle Date	Account Type	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007	LPHCW	63,000-	0.02	1,260.00	(10,705.27)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007	LPHCW	105,000-	0.025	2,625.00	(8,080.27)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007	LPHCW	115,000-	0.03	3,450.00	(4,630.27)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007	LPHCW	75,000-	0.035	2,625.00	(2,005.27)
12/18/06	12/18/06	Margin	Cancel buy	Locateplus Holdings Corp Warrant Class A Exp 04/12/2007	LPHCW	80,000-	0.025	2,000.00	(5.27)
Closing balance									\$(5.27)

TD AMERITRADE Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
12/06/06	\$84,985.74	\$	5	9.5000	\$112.13	\$
12/11/06	80,123.11		7	9.5000	148.01	
12/18/06	5.27		12	11.0000	0.02	
Total interest income/(expense)					\$260.16	\$0.00

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Important Information

ABSENT SPECIFIC CUSTOMER DIRECTION, STOCK AND OPTION ORDERS ARE ROUTED VIA AN ELECTRONIC MATRIX TO A LISTED, NASDAQ, OR OVER-THE-COUNTER AGENT, OR OPTIONS EXCHANGE, DEPENDING UPON THE SECURITY BEING TRADED. ADVANCED CLEARING OR THE INTRODUCING BROKER MAY RECEIVE CASH PAYMENT FOR ROUTING SUCH CUSTOMER STOCK OR OPTION ORDERS FOR EXECUTION TO CERTAIN AGENTS ON SPECIFIC LISTED, NASDAQ, OVER-THE-COUNTER, OR OPTION SECURITIES. BECAUSE THESE AGENTS ARE MARKET MAKERS, THEY CARRY INVENTORY IN THEIR SPECIFIC SECURITIES, ALLOWING FOR PRICE IMPROVEMENT TO THE RETAIL CUSTOMER BY TRADING THROUGH THEIR INVENTORY. ACCORDINGLY, FOR STOCKS, THE CUSTOMER'S ORDER IS ALWAYS EXECUTED AT THE "BEST OFFER", "BEST BID", OR AT A PRICE SUPERIOR TO EITHER. FOR OPTIONS, THE CUSTOMER'S ORDER IS ALWAYS EXECUTED WITHIN THE PREVAILING QUOTE AT ONE OF THE OPTIONS EXCHANGES.

KEEP THIS STATEMENT FOR INCOME TAX PURPOSES

THE MOST RECENT STATEMENT OF FINANCIAL CONDITION FOR AMERITRADE, INC. MAY BE OBTAINED AT NO COST, VIA THE INTERNET AT [HTTP://WWW.TDAMERITRADE.COM/FINANCIALSTATEMENT.HTML](http://www.tdameritrade.com/financialstatement.html) OR BY CONTACTING CLIENT SERVICES AT 1-800-668-3900. AS OF SEPTEMBER 29, 2006 AMERITRADE, INC. HAD NET CAPITAL AND A NET CAPITAL REQUIREMENT OF \$387,033,179 AND \$88,891,436, RESPECTIVELY. A COPY OF THE REPORT AND COMMENTS IS CURRENTLY AVAILABLE FOR CLIENTS' INSPECTION AT THE PRINCIPAL OFFICE OF THE COMMISSION IN WASHINGTON DC AND THE DENVER, CO OFFICE OF THE COMMISSION.

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Important Information

-----ANTI-MONEY LAUNDERING REQUIREMENTS-----
-----THE USA PATRIOT ACT -- THE USA PATRIOT ACT IS DESIGNED TO DETECT, DETER, AND PUNISH TERRORIST IN THE UNITED STATES AND ABROAD. THE ACT IMPOSES ANTI-MONEY LAUNDERING REQUIREMENTS ON BROKERAGE FIRMS AND FINANCIAL INSTITUTIONS. ALL BROKERAGE FIRMS ARE REQUIRED TO HAVE COMPREHENSIVE ANTI-MONEY LAUNDERING PROGRAMS. TO HELP YOU UNDERSTAND THESE EFFORTS, WE WANT TO PROVIDE YOU WITH SOME INFORMATION ABOUT MONEY LAUNDERING AND OUR STEPS IMPLEMENTING THE USA PATRIOT ACT.

----- WHAT IS MONEY LAUNDERING? -- MONEY LAUNDERING IS THE PROCESS OF DISGUIISING ILLEGALLY OBTAINED MONEY SO THAT THE FUNDS APPEAR TO COME FROM LEGITIMATE SOURCES OR ACTIVITIES. MONEY LAUNDERING OCCURS IN CONNECTION WITH A WIDE VARIETY OF CRIMES, INCLUDING ILLEGAL ARMS SALES, DRUG TRAFFICKING, ROBBERY, FRAUD, RACKETEERING, AND TERRORISM. -----

----- HOW BIG IS THE PROBLEM AND WHY IS IT IMPORTANT? -- THE USE OF THE U.S. FINANCIAL SYSTEM BY CRIMINALS TO FACILITATE TERRORISM OR OTHER CRIMES COULD WELL TAINT OUR FINANCIAL MARKETS. ACCORDING TO THE U.S. STATE DEPARTMENT, ONE RECENT ESTIMATE PUTS THE AMOUNT OF WORLDWIDE MONEY LAUNDERING ACTIVITY AT \$1 TRILLION A YEAR.

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Important Information

-----ANTI-MONEY LAUNDERING REQUIREMENTS CONTINUED-----
-----WHAT ARE WE REQUIRED TO DO TO ELIMINATE MONEY LAUNDERING? -- UNDER RULES
REQUIRED BY THE USA PATRIOT ACT, OUR ANTI-MONEY LAUNDERING PROGRAM MUST
DESIGNATE A SPECIAL COMPLIANCE OFFICER, SET UP EMPLOYEE TRAINING, CONDUCT
INDEPENDENT AUDITS, AND ESTABLISH AND MAINTAIN POLICIES AND PROCEDURES TO
DETECT AND REPORT SUSPICIOUS TRANSACTIONS AND ENSURE COMPLIANCE WITH THE NEW
LAWS. TO HELP THE GOVERNMENT FIGHT THE FUNDING OF TERRORISM AND MONEY
LAUNDERING ACTIVITIES, FEDERAL LAW REQUIRES ALL FINANCIAL INSTITUTIONS TO
OBTAIN, VERIFY, AND RECORD INFORMATION THAT IDENTIFIES EACH PERSON WHO OPENS
AN ACCOUNT. AS PART OF OUR REQUIRED PROGRAM, WE MAY ASK YOU TO PROVIDE
VARIOUS IDENTIFICATION DOCUMENTS OR OTHER INFORMATION. UNTIL YOU PROVIDE THE
INFORMATION OR DOCUMENTS WE NEED, WE MAY NOT BE ABLE TO OPEN AN ACCOUNT OR
EFFECT ANY TRANSACTIONS FOR YOU. -----

-----WE THANK YOU FOR YOUR PATIENCE AND HOPE THAT YOU WILL SUPPORT US IN OUR
EFFORTS TO DENY TERRORIST GROUPS ACCESS TO AMERICA'S FINANCIAL SYSTEM. -----

-----© 2002. NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. (NASD). ALL
RIGHTS RESERVED

PURSUANT TO SEC RULE 11AC1-6 A WRITTEN COPY OF THE AMERITRADE, INC. ORDER
ROUTING DISCLOSURES IS AVAILABLE UPON REQUEST.

NON-STANDARD ASSETS: THIS STATEMENT SHOWS ALL ASSETS IN YOUR ACCOUNT,
INCLUDING CERTAIN DIRECT INVESTMENTS THAT MAY BE HELD BY A THIRD PARTY.
AMERITRADE IS NOT RESPONSIBLE FOR THIRD-PARTY INFORMATION, INCLUDING THE
VALUATION OF CERTAIN NON-STANDARD ASSETS. THE PRICE SHOWN REPRESENTS AN
ESTIMATED VALUE THAT IS PROVIDED BY AN ANNUAL REPORT OR OTHER SOURCE. THE
ESTIMATED VALUE OF THESE ASSETS MAY NOT BE REALIZED WHEN YOU TRY TO LIQUIDATE
THE POSITION. ASSETS HELD BY A THIRD PARTY ARE NOT COVERED BY THE SECURITIES
INVESTOR PROTECTION CORPORATION (SIPC).

Account Statement

account # 785-934062

report period: 11/25/06 - 12/29/06

Important Information

TRADING ON MARGIN POSES ADDITIONAL RISKS AND IS NOT SUITABLE FOR ALL INVESTORS. A COMPLETE LIST OF THE RISKS ASSOCIATED WITH MARGIN TRADING IS AVAILABLE IN THE MARGIN RISK DISCLOSURE DOCUMENT. YOU MAY OBTAIN A COPY OF THIS DOCUMENT AT: WWW.TDAMERITRADE.COM, OR BY CONTACTING A TD AMERITRADE REPRESENTATIVE AT 800-689-3900.

Order Timestamp	Buy/Sell	Order Size	Order Symbol	Order Type	Order Price	Account	Order Status	Order Time Stamp	Order Qty	Exec Price	Exec Qty	Mkt Maker
2006-11-29 15:24:27	S	807700	LPHCW	LMT	0.006	785934062	L	2006-11-29 15:24:36	500000	0.006	307700	SBSh
2006-11-29 15:24:27	S	807700	LPHCW	LMT	0.006	785934062	L	2006-11-29 15:25:15	57500	0.006	250200	SBSh
2006-11-29 15:24:27	S	807700	LPHCW	LMT	0.006	785934062	F	2006-11-29 15:26:42	250200	0.006	0	SBSh
2006-11-29 15:42:51	B	400000	LPHCW	LMT	0.012	785934062	L	2006-11-29 15:45:19	1667	0.011	398333	SBSh
2006-11-29 15:42:51	B	400000	LPHCW	LMT	0.012	785934062	L	2006-11-29 15:46:15	5000	0.012	393333	SBSh
2006-11-29 15:42:51	B	400000	LPHCW	LMT	0.012	785934062	L	2006-11-29 15:47:06	50000	0.012	343333	SBSh
2006-11-29 15:49:36	B	250000	LPHCW	LMT	0.015	785934062	L	2006-11-29 15:50:19	50000	0.015	200000	SBSh
2006-11-29 15:49:36	B	250000	LPHCW	LMT	0.015	785934062	L	2006-11-29 15:50:50	100000	0.015	100000	SBSh
2006-11-29 15:49:36	B	250000	LPHCW	LMT	0.015	785934062	F	2006-11-29 15:50:53	100000	0.015	0	SBSh
2006-12-06 11:05:45	S	10000	LPHCW	LMT	0.011	785934062	F	2006-12-06 11:06:35	10000	0.011	0	SBSh
2006-12-06 11:08:30	S	20000	LPHCW	LMT	0.011	785934062	F	2006-12-06 11:10:38	20000	0.011	0	SBSh
2006-12-06 11:11:55	S	50000	LPHCW	LMT	0.011	785934062	L	2006-12-06 11:13:42	1000	0.011	49000	SBSh
2006-12-06 11:11:55	S	50000	LPHCW	LMT	0.011	785934062	L	2006-12-06 11:14:03	25000	0.011	24000	SBSh
2006-12-06 11:11:55	S	50000	LPHCW	LMT	0.011	785934062	F	2006-12-06 11:14:09	24000	0.011	0	SBSh
2006-12-06 11:16:56	S	10000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:17:18	10000	0.012	0	SBSh
2006-12-06 11:17:45	S	20000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:18:35	20000	0.012	0	SBSh
2006-12-06 11:19:30	S	20000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:19:50	20000	0.012	0	SBSh
2006-12-06 11:22:45	S	50000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:22:52	50000	0.012	0	SBSh
2006-12-06 11:24:22	S	50000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:24:58	50000	0.012	0	SBSh
2006-12-06 11:26:02	S	50000	LPHCW	LMT	0.012	785934062	L	2006-12-06 11:26:11	25000	0.012	25000	SBSh
2006-12-06 11:26:02	S	50000	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:26:35	25000	0.012	0	SBSh
2006-12-06 11:27:00	S	26667	LPHCW	LMT	0.012	785934062	F	2006-12-06 11:27:21	26667	0.012	0	SBSh
2006-12-06 10:51:16	S	3647	OWLD	MKT	0	785934062	F	2006-12-06 10:51:33	3647	0.02	0	SBSh
2006-12-06 11:33:06	S	5000	WMDB	LMT	0.01	785934062	F	2006-12-06 11:33:13	5000	0.03	0	SBSh
2006-12-06 11:34:18	S	10000	WMDB	LMT	0.03	785934062	L	2006-12-06 11:35:46	5000	0.03	5000	SBSh
2006-12-06 11:34:18	S	10000	WMDB	LMT	0.03	785934062	F	2006-12-06 11:35:52	5000	0.03	0	SBSh
2006-12-06 11:36:14	S	10000	WMDB	LMT	0.03	785934062	L	2006-12-06 11:36:38	5000	0.03	5000	SBSh
2006-12-06 11:40:06	S	10000	WMDB	LMT	0.02	785934062	L	2006-12-06 11:40:22	5000	0.02	5000	SBSh
2006-12-06 11:40:06	S	10000	WMDB	LMT	0.02	785934062	F	2006-12-06 11:40:23	5000	0.02	0	SBSh
2006-12-06 11:51:53	S	5000	WMDB	LMT	0.01	785934062	F	2006-12-06 11:51:59	5000	0.01	0	SBSh
2006-12-06 11:52:24	S	5000	WMDB	LMT	0.01	785934062	F	2006-12-06 11:52:55	5000	0.01	0	SBSh
2006-12-06 11:53:15	S	5000	WMDB	LMT	0.01	785934062	F	2006-12-06 11:54:07	5000	0.01	0	SBSh
2006-12-06 11:56:00	S	5000	WMDB	LMT	0.007	785934062	F	2006-12-06 11:56:08	5000	0.007	0	SBSh
2006-12-06 11:56:25	S	5000	WMDB	LMT	0.007	785934062	F	2006-12-06 11:56:42	5000	0.007	0	SBSh
2006-12-06 11:57:17	S	5000	WMDB	LMT	0.006	785934062	F	2006-12-06 11:57:44	5000	0.006	0	SBSh
2006-12-06 12:05:56	S	5000	WMDB	LMT	0.006	785934062	F	2006-12-06 12:06:13	5000	0.006	0	SBSh
2006-12-06 12:06:52	S	5000	WMDB	LMT	0.006	785934062	F	2006-12-06 12:07:16	5000	0.006	0	SBSh
2006-12-06 13:30:25	S	5000	WMDB	LMT	0.006	785934062	F	2006-12-06 13:30:52	5000	0.006	0	SBSh
2006-12-06 13:31:25	S	10000	WMDB	LMT	0.006	785934062	F	2006-12-06 13:31:39	10000	0.006	0	SBSh
2006-12-06 13:32:23	S	10000	WMDB	LMT	0.006	785934062	F	2006-12-06 13:32:31	10000	0.006	0	SBSh
2006-12-06 13:32:55	S	5000	WMDB	LMT	0.006	785934062	F	2006-12-06 13:33:15	5000	0.006	0	SBSh

Client					
785-934062		Beth Rothstein			
Date and Time	UserID/Acct #	Auth ID	IP Address	Success or Fail	ISP Provider
11/13/06 12:55 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/16/06 12:48 PM	bethsmoker1	A000000018308995	69.122.128.66	S	Optimum Online
11/16/06 2:55 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/16/06 4:46 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/17/06 1:35 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/17/06 8:26 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/19/06 2:07 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/19/06 10:26 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/20/06 7:41 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/22/06 10:15 AM	bethsmoker1	NULL	24.90.135.144	F	Road Runner
11/22/06 10:15 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/22/06 10:20 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/27/06 2:12 PM	bethsmoker1	NULL	67.102.52.250	F	Covad Communications
11/27/06 2:12 PM	bethsmoker1	A000000018308995	67.102.52.250	S	Covad Communications
11/29/06 2:19 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/29/06 2:42 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
11/29/06 11:42 PM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner
12/1/06 10:48 PM	bethsmoker1	A000000018308995	172.163.95.148	S	America Online
12/1/06 10:50 PM	bethsmoker1	A000000018308995	172.163.95.148	S	America Online
12/5/06 8:40 AM	bethsmoker1	NULL	24.90.135.144	F	Road Runner
12/5/06 8:40 AM	bethsmoker1	A000000018308995	24.90.135.144	S	Road Runner

Ralph Saal

Data Archive for Account: NY3-064068

Date: 01/03/2007 10:38:28

Registration

Registration

OSJ: NY3 - New York

Associate: DST - House Primary

Product Type: Standard Brokerage

Registration Type: Individual

Tax Status: U.S. Citizen

SSN: [REDACTED]

Check here if the client is a BofA employee: No

Legal Country of Residence: United States

Legal State of Residence: New York

Annuity Related Account: No

529 Related Account?: No

Collateral Account: No

Online Channel: Online

Channel Type: Online

Account Parties

PrimaryParty (Individual)

Inherent Risk Score: [REDACTED]

Tax Status: U.S. Citizen

SSN: [REDACTED]

Legal Country of Residence: United States

If a significant portion of the client's source of wealth/revenue/income is derived from a country other than those captured in the country of Citizenship or Residency, please select the country from the drop down.: N/A

Name and Tax Information

Salutation: N/A

First Name: ralph

Middle Name: N/A

Last Name: saal

Title: N/A

Date of Birth: [REDACTED]

Identification Information

Identity Verification Method: Drivers License

Driver's License/Government ID Number: [REDACTED]

Issuing State: New York

Expiration Date: [REDACTED]/2008

Date Of Issuance: [REDACTED]/2000

Did you physically review original ID?: No

Describe method of verification: KYC - IC Exception

Date of verification: [REDACTED]/2007

Describe verification discrepancy results: KYC - IC Exception

Legal Address

Mailing Address Same as Legal Address: Yes

Attention: N/A

Street Address Line 1: [REDACTED]

Street Address Line 2: N/A

City: new york

Legal State of Residence: New York

ZIP Code: 10021

Employment Information

Source of Income/Revenue: Retirement Income

Provide additional details on income: IC Exception

Employment Status: Retired

Previous Occupation/Employment: Bouncer

Contact Information

E-mail Address: icemann70@hotmail.com

Home Phone: [REDACTED]

Additional Contact: No

Work Phone: N/A

Additional Contact: No

Affiliation Information

Are you employed by or related to an employee of Bank of America or any of its affiliates?: No

Director, Shareholder or Policy Making Officer of Publicly Traded Company: No

Affiliated with a Financial Institution: No

Know Your Customer Information

Estimated Annual Income: \$120,000

Comments (Explain any additional information or documentation, including source of funds for initial investment and source of net worth.): IC Exception

Are you, or any authorized signatories, beneficial owners, trustees, power of attorney or other individuals with authority to effect transactions, a Politically Exposed Person (PEP) also known as Senior Non U.S. Political Figure?: No

Is the client a 25% owner of the following business types?: DEFAULT - Individual
Is the client a 25% owner of the additional following business types?: N/A

Instructions

Instructions

Trade Pending

Trade Pending: No

All account information and agreements sent to each account holder?: No

Sweep Instructions

Preferred Sweep Vehicle: Money Market

Money Market: NSHXX

Link an Account: No

Suitability

Suitability

General Suitability Information

Marital Status: S

Number of Dependents: 0

Specific Investment Knowledge

Stocks: NA

Bonds: NA

Mutual Funds: NA

Options: NA

Other: NA

Investment Objectives

Preservation of Capital: 1

Income: 4

Appreciation: 3

Speculation: 2

Other: No

Risk Tolerance: Moderate

Initial Portfolio Horizon: Intermediate (5 - 10 Years)

Net Worth (Primary and Additional Account Holders)

Combined Annual Income: \$120,000

Household net worth: \$450,000

Describe source of net worth: KYC - IC Exception

How was Estimated Net Worth determined?: Other - Explain

Other/Discussion: UNKNOWN

Combined Investable Assets: \$130,000

Federal Tax Bracket: Over 27.5%

Know Your Customer Information

Initial Funding Amount: \$130,000

Primary Source of funds for Initial Investment: Investment

Provide additional details: KYC - IC Exception

Purpose Of Account: Savings for retirement

Expected number of monthly deposits (including wire transactions): 6 - 10

Expected dollar amount of monthly deposits (including wire transactions): \$50,001 - \$250,000

Expected monthly wire activity (Incoming): 1 - 5

Select origin of expected wire activity: Domestic

Expected number of monthly withdrawals (including wire transactions): 1 - 5

Expected dollar amount of monthly withdrawals (including wire transactions): \$10,001 - \$50,000

Expected monthly wire activity (Outgoing): 1 - 5

Select destination of expected wire activity: Domestic

Expected Balance to be maintained in the account: \$250,001 - \$500,000

Authorization / Referral

There are no Authorizations for this account.

Referral Information

Referral

Referred Account: No

Interested Party

There are no Interested Parties for this account.

Beneficiary

There are no IRA Beneficiaries for this account.

Submitted User

House Primary

Principaled By

Eric Cutler

Comments

Previous Comments

Page 1 of 2

44314972

NY3064068

New Account Request Information.**Account Information****Account Type:** Standard Brokerage Account**Registration Type:** Individual

Print

Signature Card**Product Name:**

Name: ralph saal

Social Security Number [REDACTED]

Address: [REDACTED] new york, NY, 10021

Tracking Number: 44314972

I/we acknowledge that I/we have received, read, understand and agree to the disclosures set forth in this application and the terms set forth in the separate Customer Agreement, and agree to be bound by such terms and conditions as are currently in effect and may be amended from time to time with or without prior notice. The agreement shall cover individually and collectively all accounts which I/we may open or reopen and shall inure to any successor (whether by merger, consolidation, assignments, transfers, or otherwise) to Banc of America Investment Services, Inc. I/we understand that telephone calls to Banc of America Investment Services, Inc., National Financial Services LLC and Bank of America may be recorded and I/we consent to such recording. All securities and other property now or hereafter held in the brokerage account of the undersigned may be pledged, repledged, hypothecated or rehypothecated, either separately or together with securities of other customers either for the amount due Banc of America Investment Services, Inc. or for a greater sum. Interest on debit balances will be charged and compounded in accordance with the Customer Agreement. I/we acknowledge that information provided by me/us to Banc of America Investment Services, Inc. (whether on this application, verbally or otherwise) may be used to verify my/our identity. I/we acknowledge that you may share information regarding my activity with you among Bank of America affiliates. I/we also agree that you may share information which I/we have provided to you on applications or which you receive from outside sources among the Bank of America affiliates, provided that I/we may tell you that I/we prefer that you do not share this information as provided in the Customer Agreement. I/we acknowledge that by signing the Signature Card, Bank of America and its affiliates may transmit information about me to its brokerage affiliate, Banc of America Investment Services, Inc.

Under the penalties of perjury, I certify that (1) the number shown on this application is my correct taxpayer identification number and (2) I am exempt from back-up withholding or I have not been notified by the IRS that I am subject to back-up withholding as a result of failure to report all interest and dividends, or the IRS notified me that I am no longer subject to back-up withholding and (3) I am a U.S. person (including a U.S. resident alien). Please note: If the IRS notified you that you are subject to back-up withholding because of underreporting (and notice has not been terminated by the IRS), please disregard statement (2) above.

Investment products provided by Banc of America Investment Services, Inc. ®:

Are Not FDIC Insured	May Lose Value	Are Not Bank Guaranteed
-------------------------	-------------------	----------------------------

Banc of America Investment Services, Inc. is a registered broker-dealer,

<https://admin.baisdirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/3/2007

Page 2 of 2

member NASD and SIPC and is a nonbank subsidiary of Bank of America, N.A. Banc of America Investment Services, Inc. is not a tax advisor. We suggest you consult your personal tax advisor before making tax-related investment decisions.

I/we understand that Section 16 on page 3 of the Customer Agreement contains a predispute arbitration clause requiring all disputes under this agreement to be settled by binding arbitration. By signing the Signature Card I/we have received, read, understand and agree to such arbitration provisions and also acknowledge receiving a copy of the agreement.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Electronic signature provided by applicant 01-03-2007
ralph saal Date (MM-DD-YYYY)

Internal User Only

Banc Of America Investment Services, Inc.

Registered rep signature Date (MM-DD-YYYY)

Registered rep name (print)

Registered rep number

Principal signature Date (MM-DD-YYYY)

Account carried by National Financial Services LLC

<https://admin.baisidirect.com/Shared/Modules/requestGet.php?tmpl=ReqStatus/ViewReque...> 1/3/2007

01/08/07 12:36 FAX 3477152789

QUICK COPY CENTER

001

**Bank of America
Investment Services, Inc.***
**Electronic Funds Transfer
(EFT) Link Request**

For toll-free 784-685-1212 (Full Service Non-Northeast Accounts)
617-798-1245 (Full Service Northeast/Well-Drilled Accounts)

Please complete a separate form for each Electronic Funds Transfer (EFT) link request.

This form will enable you to establish an Electronic Funds Transfer (EFT) link between your bank account and your Bank of America Investment Services, Inc. ("BAI") brokerage account. **NOTE: This form applies to EFT links ONLY. The funds will be transferred into and out of BAI's brokerage account. Please contact your registered representative in appropriate state to obtain a transfer of funds to an EFT link (i.e., complete and submit appropriate relevant account distribution form, transfer of funds form, etc.)**

For all EFT/OTMA Accounts: The account title and registration of the brokerage and bank accounts MUST match exactly.

① Client Information

Print your name as it appears on your BAI brokerage account.

Name(s) on Account: Ralph Saal

Account Number: NY3064068

Home Phone: [REDACTED]

Work Phone: [REDACTED]

② Bank Information (For routine money movements only - not for PIP EFT links)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is REQUIRED.

Establish EFT link for

Account Type: (check one)

☒ Checking

☐ Savings

Bank Name: Citibank

Name(s) on your Bank Account: Ralph Saal

Bank Account Number: [REDACTED]

Bank ABA/Routing Number: 00024

☐ For all account types (ONLY available for non-BAI bank accounts)

☐ For ACH transaction

☐ For automatic distribution

③ Periodic Investment Plan (PIP) EFT Link Information (For PIP EFT links only)

Complete the following information. For all bank accounts that are NOT Bank of America accounts, a voided check is REQUIRED.

☐ Debit my (our) Bank of America bank account.

Bank Account Number: [REDACTED]

Bank ABA/Routing Number: [REDACTED]

State: [REDACTED]

Check type of bank account (check one)

☒ Checking

☐ Savings

☒ Debit other banking institution account. (Voided check is REQUIRED)

Bank Name: Citibank

Bank Account Number: [REDACTED]

Bank ABA/Routing Number: 00024

State: NY

Check type of bank account (check one)

☒ Checking

☐ Savings

Feedback: savings accounts are not eligible and your bank must be a member of the Automated Clearing House (ACH) system.

④ Authorization

Ralph Saal

BAI EFT/OTMA Account Owner/Authorized Agent Signature

Date: 1/9/07

Signature(s) of Brokerage/Bank Account Co-Owner(s) (If applicable)

Date

We want you to know: Investment products such as stocks, bonds and mutual funds provided by Bank of America Investment Services, Inc.*

Are Not FDIC Insured

May Lose Value

Are Not Bank Guaranteed

Bank of America Investment Services, Inc. is a member of the clearing member NYSE and NYSE, and is not a bank. Bank of America Investment Services, Inc. is not a bank. We suggest you consult your professional advisor before making investment decisions.

00-25-370705 02-0000

CMM
1/9/07

01/08/07 12:36 FAX 3477152739

QUICK COPY CENTER

002

0210

Pay to the order of

VOID

cfibank

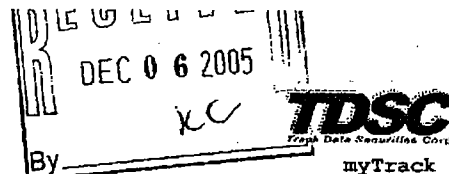
0210

0210

Account Number	Account Short Name	Activity Id	Order Entry Time	Activity Date	Activity Time	Event	Action	Quantity	Symbol/CUSIP	Security Price	Price Type	Electronic Order	Execution Price	Execution Quantity	Order Condition	Time In Force	Security Description
NY306408	SAAL RALPH	13382552	1/24/2007 22:04	1/25/2007	03:15	VERIFIED CANCELED	BUY	10	GOOG	499.07	LIMIT	024Y0440	499.07	10	UNSOLICITED	DAY ORDER	GOOGLE INC CL A
NY306408	SAAL RALPH	13382552	1/24/2007 22:04	1/25/2007	03:14	HELD	BUY	10	GOOG	499.07	LIMIT	024Y0440	499.07	10	UNSOLICITED	DAY ORDER	GOOGLE INC CL A

Alexis Ampudia

ACKNOWLEDGEMENT PAGE



Margin Account - If you would like a margin account, please read the paragraph below and initial the box. If not, please skip to the next paragraph.

If I initial in the box to the right, you are hereby specifically authorized to lend, either separately or with other securities, to either yourself as broker or to others, any securities held by you on margin or as collateral for my/our accounts or as collateral therefore, or maintain a margin account. This agreement shall continue until signed notice of revocation is received by or from me and, in case of such revocation, it shall continue in effect as to transactions entered into prior thereto. By signing this agreement I acknowledge that my securities may be loaned to you or loaned out to others. ****INITIAL THIS BOX IF YOU HAVE OR WANT A MARGIN ACCOUNT. →**

Initials

Paragraph 19 of the Customer Agreement relates to margin accounts, and the lending agreement only becomes operative when transactions are effected on a general/margin account basis.

TAX CERTIFICATION (substitute W-9): Under penalties of perjury, by signing below I certify that:

- 1.) The number shown below on this form is my correct tax identification number (or I am waiting for a number to be issued to me); and
 - 2.) I am not subject to backup withholding because (a) I am exempt from backup withholding, (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all dividends or interest, or (c) the Internal Revenue Service has notified me that I am no longer subject to backup withholding.
 - 3.) I am a U.S. person (including a U.S. resident alien).
- I understand that I must cross out item (2) above if I am currently subject to backup withholding because of under-reporting of dividends and interest on my tax return.

NOTICE: The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Account Number	DOB	First Name	Last Name	Address	City	State	Zip Code	Country
----------------	-----	------------	-----------	---------	------	-------	----------	---------

ALL INFORMATION BELOW THIS LINE MUST BE FILLED IN.

The following is the applicant's

- ☒ Social Security No.
☐ Tax Identification No.

2913

PLEASE PRINT BELOW THE NAME BELONGING TO SS # OR TAX I.D. # WHICH IS PROVIDED ON THE RIGHT.

Alexis Ampudia

All communications for this account are to be mailed to:

Name Alexis Ampudia		
Address [REDACTED]		
City BROOKLYN	State NY	Zip Code 11238
Country USA		

X 12/6/2005
 Applicant's Signature Date

X 12/6/2005
 Co-applicant's Signature Date

Authorization to Earn Interest on Funds Awaiting Investment. This is to confirm my intention to reinvest cash balances held by you in my name, and I further confirm that this cash credit balance is being maintained with you solely for the purpose of reinvestment. I understand that cash balances of up to \$100,000 are protected by the Securities Investor Protection Corporation (SIPC), but that SIPC is not available for funds maintained solely for the purpose of earning interest.

Disclosure to Issuers. Under rule 14b-1(c) of the Securities Exchange Act of 1934, Person is required to disclose to an issuer the name, address, and securities position of its customers who are beneficial owners of that issuer's securities unless the customer objects. Unless the Customer notifies Person of such objection by initialing the statement below, Person will make such disclosures to issuers.

I object to the disclosure of such information. _____

I agree to promptly satisfy all margin and maintenance calls and understand and acknowledge that debit balances in all of my account(s) shall be charged with interest as required by all applicable statutes, rules, regulations, and procedures or as deemed necessary by Person Financial Services, Inc. I understand that I am liable for payment upon demand of any obligations owed relating to my account and that my margin account can and may be liquidated at any time in order to meet margin requirements without demand or notice to me. I acknowledge that I have read and agree to be bound by all terms and provisions set forth in the Customer and Margin Agreements, which can be found at www.mytrack.com/Person/agreement.htm, and those set forth in the "About Trading" section located at www.mytrack.com, including the Margin Disclosure Statement and the Person Financial Services, Inc. and Track Data Securities Corp. Privacy Policy statements. I understand that the company may unilaterally change the terms and conditions of the customer agreement at any time by posting such terms or conditions on our web site located at www.mytrack.com. Continued use of Track Data Securities after such notice will constitute acknowledgment and acceptance of the revised terms and conditions. Please note that confirmations are online in lieu of US mail.

THE FOLLOWING ARBITRATION AGREEMENT SHOULD BE READ IN CONJUNCTION WITH THESE DISCLOSURES:

- ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORM IN WHICH A CLAIM IS FILED;
 - ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED;
 - THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS;
 - THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASON(S) FOR THEIR AWARD;
 - THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY;
 - THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT;
 - THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.
- ARBITRATION AGREEMENT. ANY AND ALL CONTROVERSIES, DISPUTES OR CLAIMS BETWEEN THE UNDERSIGNED AND YOU, OR THE INTRODUCING BROKER, OR YOUR AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OR THE AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OF THE INTRODUCING BROKER, ARISING OUT OF, IN CONNECTION WITH, FROM OR WITH RESPECT TO (a) ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT OR ANY RELATED AGREEMENTS, (b) THE RELATIONSHIP OF THE PARTIES HERETO, OR (c) ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, THE INTRODUCING BROKER'S BUSINESS OR THE UNDERSIGNED'S ACCOUNTS, SHALL BE CONDUCTED PURSUANT TO THE CODE OF ARBITRATION PROCEDURE OF THE NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC. ARBITRATION MUST BE COMMENCED BY SERVICE OF A WRITTEN DEMAND FOR ARBITRATION OR A WRITTEN NOTICE OF INTENTION TO ARBITRATE. IF YOU ARE A PARTY TO SUCH ARBITRATION, TO THE EXTENT PERMITTED BY THE RULES OF THE APPLICABLE ARBITRATION TRIBUNAL, THE ARBITRATION SHALL BE CONDUCTED IN DALLAS, TEXAS. THE DECISION AND AWARD OF THE ARBITRATOR(S) SHALL BE CONCLUSIVE AND BINDING UPON ALL PARTIES, AND ANY JUDGMENT UPON ANY AWARD RENDERED MAY BE ENTERED IN A COURT HAVING JURISDICTION THEREOF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

Must be completed for "entity" accounts only (Corporate, Sole Proprietorship, Partnership, Investment Club, Trust)

Is this account for a foreign shell bank? ☐ Yes or ☐ No If YES, please list agent for service of process _____

If your entity is a Corporation or Partnership: Does it offer services to a shell bank? ☐ Yes or ☐ No Does it offer services to a foreign bank? ☐ Yes or ☐ No.

If you answered yes to any of the above questions, you'll need to complete a Certification Regarding Correspondent Accounts. Call 1-800-698-7225 to request this form.

Must be completed for Partnership Accounts only:

The Partnership is formed to engage in the business of _____ and represents that it ☐ IS or ☐ IS NOT a commodity pool operator.

X 12/6/2005
 Applicant's Signature Date

X 12/6/2005
 Co-applicant's Signature Date

Please ensure that the Applicant and Co-applicant have each signed twice (once in each designated area).

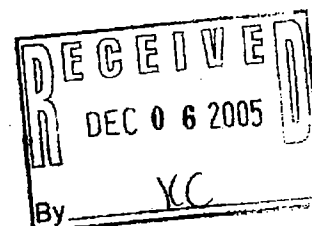


New Account Application

- ☐ Cash
☒ Margin
☒ Options

Other	Ag	NS	Cash/Cr	Mgn/Cr	A/E

☐ Tax I.D. or ☒ S.S.#
 Applicant **2913**
 Co-Applicant



myTrack

WEB

Instructions:

1. Review the information that you have previously supplied to ensure that it is complete and correct.
2. Do not fill in the shaded areas. These areas are for firm use only.
3. If primary applicant has a spouse, provide all spousal information.
4. You must sign and date below. If there is a co-applicant, that individual must also sign and date below.
5. Complete the Acknowledgement page.
6. Complete other forms as needed. For example, Option Agreement, IRA, etc.

ACCOUNT CATEGORY

Check one or more boxes as appropriate

- ☒ Individual Acct.
☐ Joint Acct. (WROS) (Except in Louisiana)
☐ Joint Acct. (Tenants-in-Common)
☐ Community Property Acct.
☐ Corporation
☐ Partnership
☐ Investment Club
☐ Sole Proprietorship
☐ Custodian Acct. (U.G.T.M.A.)
☐ Non-Profit/Charitable Organization
☐ Recognized Religious Group
☐ Numbered Acct.
☐ Conservatorship Acct.
☐ Guardianship Acct.
☐ ERISA (Pension, Profit Sharing)
☐ Living Trust (Intervivos)
☐ Testamentary Trust (u/w/o)
☐ Estate Acct.
☐ Committee
☐ IRA
☐ Keogh
☐ SEP
☐ Other

Are you now or have you ever been a corporate officer or do you now own 10% of any corporation stock?

☐ YES ☒ NO

If YES, what corporation?

Are you an officer, director, partner, employee, or agent of any NASD member firm; or a buyer or employee of the Securities Department of an institutional investor, bank or other fiduciary organization; or a member of the immediate family of any such person?

☐ YES ☒ NO

If yes, specify the relationship or affiliation?

All financial information is for the primary applicant

Hold is currently selected. Please check a box if instruction is other than Hold.

0 Cfm 1 Stm	Money <input type="checkbox"/> (1) Pay	Securities <input type="checkbox"/> (1) T&S	Dividends <input type="checkbox"/> (1) Pay
--------------------	--	---	--

Investment ☐ Growth (capital appreciation with risk) ☒ Speculative (long-term high risk) ☒ Trading Profits (short-term high risk)
 Objectives ☐ Income (use proceeds of Account as a source of income) ☐ Safety of Principal (long-term appreciation with limited risk)

Enter the Legal Name and Mailing Address on the next 6 lines

Prefix First Name Middle Name Last Name Suffix
Mr. Alexis Ampudia

Prefix First Name Middle Name Last Name Suffix
 (Leave blank for Co-Applicant)

Street Address
 Street Address
 Street Address

City...or for international accounts, City, Country, etc.
Brooklyn State/City **NY** Zip Code **11238**

Home Tel. No. Business Tel. No. Date of Birth **1985**

Employee of Person? ☐ Yes ☐ No Employee Related? ☐ Yes ☐ No Name of Employee and Relationship U.S. Citizen? ☒ Yes ☐ No If No, specify country of citizenship

Employer's Name **Alexis ampudia** Est. Annual Income **\$25K - \$50K** Years Employed
 Employment Employer's Address **BROOKLYN NY 11238**
 Nature of Business Occupation **furniture repairman** ☐ RETIRED (indicate former occupation and employer)

Co-Applicant's Name Co-Applicant's Occupation Co-Applicant's Date of Birth

Co-Applicant Co-Applicant's Address U.S. Citizen? ☐ Yes ☐ No If No, specify country of citizenship

City or for international accounts, City, Country, etc. State/City Zip Code

Co-Applicant's Employer Address of Co-Applicant's Employer

Bank Name and Address **Commerce bank SW prospect park, br** ☐ Checking ☐ Check if verified
☐ Savings

References Does client have accounts with other brokerage firms? ☐ Yes ☐ No If YES, which firm? If client or members of household have other accounts with us, give nos.

General Marital Status ☐ Married ☐ Divorced ☐ Widowed ☒ Single No. of Dependents ☐ Own Home ☐ Rent Net Worth (excl home) **\$50K - \$75K** Net Liquid Assets **\$25K - \$50K**

Tax Federal Tax Bracket: % Annual Income **\$25K - \$50K** Other Tax Consideration

Line 1 Line 2 Line 3

Interested Party City...or for international accounts, City, Country, etc. State/City Zip Code

DISCRETIONARY AUTHORIZATION ☒ None ☐ Limited AE ☐ Limited 3rd Party If granted, Agent's Name and Address

Special Instructions:

Applicant Signature

X

Date: / /

Initial Deposit

\$

Co-applicant Signature (If applicable)

X

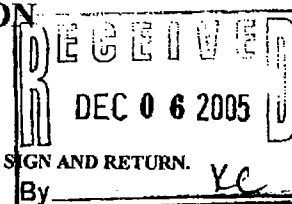
Date: / /

A03-8900

Track Data Securities Corp., Member NASD/SIPC

691 Fulton Street, Brooklyn, NY 11217 • Telephone - 1-800-698-7225

OPTION CUSTOMER ACCOUNT INFORMATION



PLEASE COMPLETE ALL ITEMS (EXCEPT IN SHADED AREA), CHECK ALL APPLICABLE BOXES, SIGN AND RETURN.

1. Account Holder: Mr. Alexis Ampudia Co-Account Holder: _____

2. Address: BROOKLYN NY 11238 USA

3. Telephone: Bus: _____ Res: _____

4. Date of Birth: 1985 Marital Status: single Number of Dependents (Including Self): _____

5. Employer(s): alexis ampudia

6. Employer's Address: BROOKLYN NY 11238

7. Occupation: furniture repairman Approximate Annual Income: \$25K - \$50K

8. Estimated Net Worth (excluding family residence): \$50K - \$75K
 20-25K ☐ 25-50K ☐ 50-75K ☒ 75-100K ☐ 100-200K ☐ Over 200K ☐

9. Estimated Liquid Net Worth (cash, marketable securities, other): \$25K - \$50K
 20-25K ☐ 25-50K ☒ 50-75K ☐ 75-100K ☐ 100-200K ☐ Over 200K ☐

10. Past Investment Experience:

	Years of Trading Experience	Average Amount Invested	Estimated Frequency of Transactions*	Knowledge of the Investment**	Type of Account (check applicable boxes)			
					Cash	Margin	Long	Short
Options		\$20K - \$25K			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Stocks	2	\$20K - \$25K			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bonds		\$20K - \$25K			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Commodities		\$20K - \$25K			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other					<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Specify "Other": _____

*A = Annually; S = Semi-annually; M = Monthly or more frequently
 **1 = Extensive; 2 = Good; 3 = Nominal

11. Investment Objective: ☐ Safety of Principal (long-term appreciation with limited risk) ☐ Income (use proceeds of Account as a source of income)
☐ Growth (capital appreciation with risk) ☒ Trading Profits (short-term high risk) ☒ Speculative (long-term high risk)

☐ Other (specify): _____

12. Past Option Experience: ☐ Option Purchases ☐ Option Writing ☐ None

13. Anticipated Options Activity: ☐ Purchasing ☐ Covered Writing ☐ Spreading ☐ Uncovered Writing

14. Has customer affirmatively refused to provide any of above information: ☐ Yes ☐ No

I HAVE RECEIVED FROM YOU THE RISK DISCLOSURE DOCUMENT AND ANY SUPPLEMENT ISSUED BY THE VARIOUS EXCHANGES AND THE OPTIONS CLEARING CORPORATION. I CONFIRM THAT I HAVE READ AND THAT I UNDERSTAND THE INFORMATION CONTAINED IN THAT DOCUMENT. I AM AWARE OF THE SPECIAL RISKS AND OBLIGATIONS OF OPTIONS TRADING. I HAVE READ AND I UNDERSTAND BOTH SIDES OF THIS AGREEMENT AND I AM BOUND BY IT.

Date: _____

Signature(s): Acct. Holder _____ Co-Acct. Holder _____
 Address BROOKLYN NY 11238 USA Address _____
 City, State Zip Code _____ City, State Zip Code _____

Date (Disclosure Document Furnished): 12/6/2005

FOR OFFICE USE ONLY

I have received this application and believe the account is suitable for:
☐ buying ☐ covered writing ☐ spreading
☒ uncovered writing ☐ uncovered puts

Approved (Branch Manager) _____ Approved (R.O.P.) _____
 Date _____ Date _____

Restrictions, if any: _____

A03-8900 myTrack

in connection with any transactions in options which have been or may be purchased, sold, exercised or endorsed for the undersigned's accounts with an introducing broker(s) which clears through Person 1 Services, Inc., the undersigned agrees as follows:

1. **Definitions.** "Introducing broker" means any brokerage firm which introduces security transactions on behalf of the undersigned, which transactions are cleared through you, whether one or more. "Obligs" means all indebtedness, debit balances, liabilities or other obligations of any kind of the undersigned to you, whether now existing or hereafter arising. "Options" means all types of options, including puts, calls, equity and otherwise. "Securities and other property" shall include, but shall not be limited to money, securities, commodities or other property of every kind and nature and all contracts and options relating to whether for present or future delivery. "You" or "your" refers to Person Financial Services, Inc.

Limits. The Undersigned shall not, acting alone or in concert with others, exceed the position/exercise limits set forth by any exchange or market or by any other regulatory authority having jurisdiction. All short for the undersigned's account and risk, put, call or other forms of option and/or to buy, sell or sell short any part or all of the underlying shares represented by equity, or if the underlying security is not listed on a securities exchange, the underlying security may be held in any account of the undersigned.

Authority. Execution of Orders, Security Interest. The undersigned hereby authorizes you in your discretion, should you deem it necessary for your protection for any reason, to exercise the undersigned's account and risk, put, call or other forms of option and/or to buy, sell or sell short any part or all of the underlying shares represented by equity, or if the underlying security is not listed on a securities exchange, the underlying security may be held in any account of the undersigned.

Exercise. Exercise, Random Allocation. The undersigned is aware of your policy of exercising the undersigned's account and risk, put, call or other forms of option and/or to buy, sell or sell short any part or all of the underlying shares represented by equity, or if the underlying security is not listed on a securities exchange, the underlying security may be held in any account of the undersigned.

[illegible][illegible]

received from the undersigned or by means of a "give up" basis through another firm until the introducing broker and/or you, in your respective sole discretion, the undersigned has met the collateral requirements of the undersigned's investment objectives, financial situation or other circumstances that may be determined by you and/or the undersigned. The undersigned is not responsible for the undersigned's investment objectives, financial situation or other circumstances that may be determined by you and/or the undersigned.

Account Form, Disclosure Documents. The undersigned has reviewed the contract documents and the undersigned's investment objectives, financial situation or other circumstances that may be determined by you and/or the undersigned.

Carried in Clearing. The undersigned has reviewed the contract documents and the undersigned's investment objectives, financial situation or other circumstances that may be determined by you and/or the undersigned.

[illegible][illegible]

THE UNDERSIGNED UNDERSTANDS THAT YOU WILL NOT REVIEW THE UNDERSIGNED'S ACCOUNTS AND WILL NOT BE RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF THE INTRODUCING BROKER OR ITS REPRESENTATIVES, EMPLOYEES OR OTHER AGENTS. THE UNDERSIGNED CONFIRMS TO YOU THAT THE UNDERSIGNED IS RELYING FOR ANY ADVICE OR INFORMATION FROM THE INTRODUCING BROKER OR ITS REPRESENTATIVES, EMPLOYEES OR OTHER AGENTS. THE UNDERSIGNED UNDERSTANDS THAT YOU ACT ONLY TO CLEAR TRADES AND NOT TO ADVISE OR RECOMMEND ANY INVESTMENT OR FINANCIAL STRATEGY THROUGH WHOM THE UNDERSIGNED IS RELYING FOR ANY ADVICE OR INFORMATION. THE UNDERSIGNED UNDERSTANDS THAT YOU ARE NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF THE INTRODUCING BROKER OR ITS REPRESENTATIVES, EMPLOYEES OR OTHER AGENTS. THE UNDERSIGNED CONFIRMS TO YOU THAT THE UNDERSIGNED IS RELYING FOR ANY ADVICE OR INFORMATION FROM THE INTRODUCING BROKER OR ITS REPRESENTATIVES, EMPLOYEES OR OTHER AGENTS. THE UNDERSIGNED UNDERSTANDS THAT YOU ACT ONLY TO CLEAR TRADES AND NOT TO ADVISE OR RECOMMEND ANY INVESTMENT OR FINANCIAL STRATEGY THROUGH WHOM THE UNDERSIGNED IS RELYING FOR ANY ADVICE OR INFORMATION.

PROCEEDINGS; PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY
AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD
RATORS DO NOT HAVE TO EXPLAIN THE REASON(S) FOR THEIR AWARD.
OF SOME ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF
ON MAY BE BROUGHT IN COURT.
OF THE ARBITRATION.

OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS TO THE ARBITRATION FORUM MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION, IN SOME CASES.

ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT, OR OF THE INTRODUCING BROKER, OR THE INTRODUCING
 ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, THE INTRODUCING BROKER, OR THE INTRODUCING
 SERVICE OF A WRITTEN DEMAND FOR ARBITRATION OF THE
 SHALL BE CONCLUSIVE AND BINDING FOR ARBITRATION OF THE
 CEO, AND NEITHER

OF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of the undersigned's right to bring and Short Account Agreement. The undersigned understands that the undersigned's agreement to arbitrate is in addition to the provisions of the undersigned's agreement to arbitrate, and that the undersigned's agreement to arbitrate is not intended to be encompassed by the putative class action suit.

The undersigned expressly agrees that any data or online reports are available from you and, to the extent available, to its accuracy or completeness. Such information is provided in the undersigned without warranty, time, timeliness, or completeness of any information. The undersigned acknowledges that the undersigned shall not constitute a waiver of any rights under this agreement except to the extent stated herein.

The undersigned acknowledges that the information contained in any reports provided by or obtained from sources believed to be reliable, arising out of or in connection with the use of any reports provided by or obtained from sources believed to be reliable, including but not limited to, the implied or explicit representations, warranties, covenants, conditions, terms, and exclusions, are incorporated by reference herein.

[illegible][illegible]

and my assigns, the undersigned in writing signed by your authorized introducing broker, and any and all other persons, including without limitation, government restrictions, exchange or market rulings, and all other persons, in connection with the undersigned's business, war or strike. You may transfer the accounts of the undersigned to your successors and assigns.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971
 Financial Advisor #: TQ00

Statement Period

November 1, 2006 to November 30, 2006

ALEXIS AMPUDIA

BROOKLYN NY 11238

Your Financial Advisor

TRACK DATA SECURITIES CORP

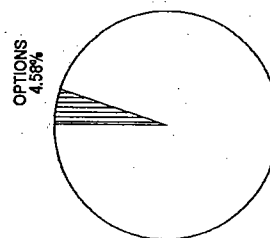
Office Serving You

691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Account Value

Asset Category	Current Value 11/30/06	Prior Value 10/31/06	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash Account Balance	3.39	11.39	0.01%		
Margin Account Balance	48,749.55	1,235.59	95.40%		
Equities	6.00	205.50	0.01%		
Options, Rights, Warrants	2,340.00	4,837.50	4.58%		
Total Portfolio Values	51,098.94	6,289.98	100.00%		
Total Account Value	51,098.94	6,289.98	100.00%		

Asset Allocation



CASH + MNVMKT
95.41%

Items less than 2% are not reflected on this pie chart.

Income Summary

	This Period	Year to Date
Credit Interest	3.39	100.02
Margin Interest	(16.59)	(36.73)
Total Income	(13.20)	63.29

The Account of the Customer is subject to the following terms and conditions ("Agreement") which the Customer agrees to, and accounts by use, maintenance and/or continuation of the Account notwithstanding any notice or statement of intention to You to the contrary.

1. Definitions. "Account" shall refer individually and collectively to all accounts which the Customer has previously opened, now has open or may open in the future, in which the Customer has any interest, with You or any introducing Broker, and any and all previous, current and future transactions in such accounts. "Customer" refers to the individual(s) and/or entity(ies) in whose name the Account and future transactions on the face of this Account statement is carried or who may otherwise have any interest in an Account. "Introducing Broker" means any brokerage firm which introduces securities transactions on behalf of the Customer, which transactions are cleared through You, whether one or more. "Obligations" means all indebtedness, debit balances, liabilities or other obligations of any kind of the Customer to You, whether now existing or hereafter arising. "Securities or other Property" shall mean all money, securities, commodities or other property of every kind and nature and all contracts and options relating thereto, whether for present or future delivery. "You" or "Your" refers to Pension Financial Services, Inc.

2. Payment of Indebtedness Upon Demand. The Customer shall at all times be liable for the payment upon demand of any Obligations owing from the Customer to You, and Customer shall be liable to You for any deficiency remaining in any Account in the event of the liquidation thereof, in whole or in part, by You, the Introducing Broker, or by the Customer, and the Customer shall pay such Obligations upon demand.

3. Security Interest: Liquidation. All Securities or other property now or hereafter held, carried or maintained by You in or for the Account shall be subject to a first and prior lien and security interest for the discharge of all of the Obligations of the Customer and are to be held by You as security for the payment of the Obligations of the Customer to You, to the fullest extent allowed by law. You shall have the right to transfer Securities or other Property so held by You from or to any Account of the Customer whenever You so determine. Whenever the Customer does not, on or before the settlement date, pay in full for any Securities or other Property purchased for the Account of the Customer, or deliver any Securities or other Property sold for such Account, or if any other Obligations of the Customer to You are unpaid, You are authorized (a) until payment or delivery is made, to pledge, repledge, hypothecate or rehypothecate, without notice, any and all Securities or other Property which You may hold for the Customer (either individually or jointly with others), separately or in common with other Securities or other Property, for the sum then due for a greater or lesser sum and without retaining in your possession and control for delivery a like amount of similar Securities or other Property; (b) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (c) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (d) to buy any or all Securities or other Property which may be short in such Account; and/or (e) to cancel any open orders and to close any or all outstanding contracts; (f) without notice of sale or purchase, or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account. You are authorized, in Your discretion, should You for any reason whatsoever deem it necessary for Your protection, without notice, to cancel any outstanding order, to close out the Account of the Customer, in whole or in part, or to close out any commitment made on behalf of the Customer.

4. Lending Agreement; Margin or Short Accounts. With respect to any margin or short Account maintained by the Customer, You are authorized to lend to yourself as principal or otherwise, or to others, any Securities or other Property held by You on margin for any Account or as collateral therefor either separately or without other securities. The Customer will at all times maintain such Securities or other Property in the Account of the Customer for margin and/or maintenance purposes as required by You in Your sole discretion, on demand, in accordance with Your general policies regarding margin and maintenance requirements, as such may be modified from time to time, or at other times as You may require, and will be charged, in accordance with Your usual custom or as otherwise determined by You with interest at rates permitted by the laws of the State of Texas. With respect to any margin or short Account of the Customer, You shall have the right at any time and from time to time, in accordance with Your general policies regarding Your margin or maintenance requirements, short Accounts or Accounts in general, as such may be modified from time to time, or at an earlier point in time than called for by said general policies, in the event that You feel insecure with respect to the Customer's account for any reason in Your sole discretion: (a) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (b) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (c) to buy any or all Securities or other Property which may be short in such Account; and/or (d) to cancel any open orders and to close any or all outstanding contracts; all without demand for margin or additional margin, notice of sale or purchase or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account.

5. Account Carried as Clearing Broker. The Customer understands that You are carrying the Account of the Customer as clearing broker by arrangement with the Customer's Introducing Broker through whose courtesy the Account of the Customer has been introduced to You. The Customer understands that You act as custodian for funds and securities deposited with You, through the Customer's Introducing Broker, or as a result of transactions You may process for the Customer's Account. Inquiries regarding the Customer's Account or the activity therein should be directed to the Introducing Broker. If Customer is unable to reach the Introducing Broker concerning account activity, or a complaint, Customer may contact Pension at 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201, (214) 765-1100, and speak with the Compliance Department. The Customer understands that the Introducing Broker and not You, is responsible for compliance with all applicable laws and regulations in connection with the Customer's Account. Until receipt by You from the Customer of written notice to the contrary, you may accept and rely upon from such introducing broker and its representatives employees and other agents, without any inquiry or investigation by You; (a) orders for the purchase or sale in the Customer's Account of Securities or other Property, and (b) any other instructions concerning the Customer's Account. The

5. (continued)

Customer understands that You act only to clear trades introduced by the Customer's Introducing Broker and to effect other back office functions for the Customer's Introducing Broker. The Customer confirms to You that the Customer is relying for any advice concerning the Customer's Account solely on the Customer's Introducing Broker. The Customer understands that You are not a principal or partner with and do not control in any way, the Introducing Broker or its representatives, employees or other agents. The Customer understands that You will not review the Customer's account and will have no responsibility for trades made in the Customer's Account. The Customer agrees that You shall not be responsible or liable for any acts or omissions of the Introducing Broker or its representatives, employees or other agents.

THE FOLLOWING ARBITRATION AGREEMENT SHOULD BE READ IN CONJUNCTION WITH THESE DISCLOSURES:

- ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORM IN WHICH A CLAIM IS FILED;
- ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED;
- THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS;
- THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASONS(S) FOR THEIR AWARD;
- THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY;
- THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT;
- THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

6. ARBITRATION AGREEMENT. ANY AND ALL CONTROVERSIES, DISPUTES OR CLAIMS BETWEEN THE UNDERSIGNED AND YOU OR THE INTRODUCING BROKER OR THE AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OF YOU OR THE INTRODUCING BROKER, ARISING OUT OF, IN CONNECTION WITH, FROM OR WITH RESPECT TO (a) ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT OR ANY RELATED AGREEMENTS; (b) THE RELATIONSHIP OF THE PARTIES HERETO, OR (c) ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, THE INTRODUCING BROKER'S BUSINESS OR THE UNDERSIGNED'S ACCOUNTS, SHALL BE CONDUCTED PURSUANT TO THE CODE OF ARBITRATION PROCEDURE OF THE NASD. ARBITRATION MUST BE COMMENCED BY SERVICE OF A WRITTEN DEMAND FOR ARBITRATION OR A WRITTEN NOTICE OF INTENTION TO ARBITRATE. THE DECISION AND AWARD OF THE ARBITRATOR (S) SHALL BE CONCLUSIVE AND BINDING UPON ALL PARTIES, AND ANY JUDGMENT UPON ANY AWARD RENDERED MAY BE ENTERED IN A COURT HAVING JURISDICTION THEREOF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; or (ii) the class is de-certified; or (iii) the customer is excluded from the class by the court. Such forfeiture to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein.

7. Other Agreements; Option Transactions; Charges. The Customer agrees to be bound by the terms of Your Customer Account Agreement. If the Customer trades on margin or has short positions, the Customer agrees to be bound by the terms of Your Customer Margin and Short Account Agreement. If the Customer trades any options, the customer agrees to be bound by the terms of Your Customer Option Agreement. If this is a Joint Account, the Customers agree to be bound by Your Joint Account Agreement. The Customer understands that copies of these agreements are available from You and, to the extent applicable, are incorporated by reference herein. The terms of these other agreements are in addition to the provisions of this Agreement and any other written agreements between You and the Customer. You may charge the Customer Account maintenance fees, security transfer fees and account transfer fees, and other charges or fees as agreed between You and the Customer's Introducing Broker or as independently established by You.

8. Option Account. Assignment notices for option contracts are allocated among client short positions pursuant to a manual procedure which randomly selects from all client short option positions, including positions established on the day of assignment, those contracts which are subject to exercise. All American short option positions are subject to assignment at any time. A more detailed description of Your random allocation procedure is available upon request. In accordance with the Customer Option Agreement, if applicable, the Customer must promptly notify the Introducing Broker of any change in the Customer's objectives or financial situation. Individual options commissions and other related charges have been included in the Customer's Confirmation; however, a summary of this information will be made available to the Customer upon request. The customer must promptly advise the introducing broker of any material change in the customer's investment objectives or financial situation.

9. Miscellaneous. Your financial statement is available for personal inspection or will be mailed on the Customer's request. The headings of each section of the Agreement are descriptive only and do not modify or qualify any provision of this Agreement. Except as provided in this Agreement, no provision of this Agreement may be altered, modified or amended unless in writing signed by Your authorized representative. This Agreement and all provisions shall inure to the benefit of You and Your successors. You assigns, the Customer's Introducing Broker, and all other persons specified in Paragraph 6 above. With respect to any free credit balance included on the Account statement, such funds are not segregated and may be used in Your business, subject to the limitations of 17 CFR Section 240.15c3-3 of the Securities Exchange Act of 1934. The Customer may receive these funds in the normal course of business following demand to You. You shall not be liable for losses caused directly or indirectly by any events beyond Your reasonable control. The costs and expenses of collection of the debit balance and any unpaid deficiency in the Account of the Customer with You, including but not limited to reasonable attorneys' fees and expenses, incurred and payable or paid by You shall be payable to You by the Customer. The estimated annual income, estimated current yield, and market value calculations are estimates only, and have been obtained or prepared from sources You consider to be reliable, but You make no assurance as to their accuracy.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971

ALEXIS AMPUDIA

Statement Period

November 1, 2006 to November 30, 2006

Portfolio Positions

Cash & Equivalents	Symbol/ Cusip	Account Type	Quantity	Current Price	Current Value	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash		Cash			3.39	0.01%		
Margin Account Balance		Margin			48,749.55	95.40%		
Total: Cash & Equivalents					48,752.94	95.41%		
Equities								
PEARL ASIAN MINING INDUSTRIES	PAIM	Margin	5,000	.0012	6.00	0.01%		
ISIN US7047551079								
Total: Equities					6.00	0.01%		
Options								
LOCATEPLUS HOLDINGS-CW05_WTS	LPHCW	Margin	130,000	.018	2,340.00	4.58%		
EXP 04/12/07								
STRIKE/SHARE 25.00								
Total: Options					2,340.00	4.58%		
Total: Account Net Assets/Equity					51,098.94	100.00		

Account value and totals are based only on priced securities. We may be unable to price all securities in your account. For municipal securities and some other fixed income securities, prices are approximate (not actual market bids) and are provided only as a general guide. They do not necessarily reflect actual market prices. For current prices, please contact your financial consultant.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Cash Account	Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
	11/24/06	Cash	Journal		OPENING BALANCE		11.39
	11/30/06	Cash	Interest		To Margin		(11.39)
Total: Cash Account Balance							3.39

Margin Account

					OPENING BALANCE		1,235.59
10/30/06	Margin		Bought	10,000	MICROHELIX INC - WTS	.02	(212.95)
					EXPIRE 11/16/06		
					N3759		
10/30/06	Margin		Bought	10,000	MICROHELIX INC - WTS	.03	(312.95)
					EXPIRE 11/16/06		
					N3807		
10/30/06	Margin		Bought	10,000	MICROHELIX INC - WTS	.025	(262.95)
					EXPIRE 11/16/06		
					N3908		
10/30/06	Margin		Bought	12,200	MICROHELIX INC - WTS	.035	(439.95)
					EXPIRE 11/16/06		
					N3760		
11/13/06	Margin		Sold	-125,000	MICROHELIX INC - WTS	.00352	417.60
					EXPIRE 11/16/06		
					NA0L5		
11/13/06	Margin		Sold	-142,200	MICROHELIX INC - WTS	.0005	54.39
					EXPIRE 11/16/06		
					NA0UW		
11/13/06	Margin		Sold	-400,000	QUANTECH ELECTRONICS CORP	.00021	67.29
					N2261		
					US7479082007		
11/17/06	Margin		Bought	108,000	WENDT BRISTOL HEALTH SVCS CORP	.005	(552.95)
					NA07H		

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/17/06	Margin	Sold	-108,000	WENDT BRISTOL HEALTH SVCS CORP NA071	.05	5,329.13
11/20/06	Margin	Bought	125,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.018	(2,262.95)
11/20/06	Margin	Bought	100,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.00975	(987.95)
11/20/06	Margin	Bought	360,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.003	(1,092.95)
11/20/06	Margin	Bought	5,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.01	(62.95)
11/20/06	Margin	Sold	-460,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.018	8,254.78
11/21/06	Margin	Bought	5,000	ATOMIC BURRITO INC N2796	.01	(62.95)
11/21/06	Margin	Bought	5,000	ATOMIC BURRITO INC N3405	.05	(262.95)
11/21/06	Margin	Bought	5,000	ATOMIC BURRITO INC N2363	.03	(162.95)
11/21/06	Margin	Bought	50,000	ATOMIC BURRITO INC N3246	.07	(3,512.95)
11/21/06	Margin	Bought	50,000	ATOMIC BURRITO INC N3042	.088	(4,412.95)
11/21/06	Margin	Sold	-50,000	ATOMIC BURRITO INC N3714	1/4	12,482.91
11/21/06	Margin	Sold	-15,000	ATOMIC BURRITO INC N3715	.12	1,785.87

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/21/06	Margin	Sold	-50,000	ATOMIC BURRITO INC N3371	1/4	12,482.91
11/21/06	Margin	Bought	750	CAREMATRIX CORPORATION N3319	.04	(42.95)
11/21/06	Margin	Bought	17,700	CAREMATRIX CORPORATION N3247	.04	(720.95)
11/21/06	Margin	Sold	-18,450	CAREMATRIX CORPORATION N3370	.1	1,830.61
11/22/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
11/22/06	Margin	Bought	100,000	WENDT BRISTOL HEALTH SVCS CORP N5397	.017	(1,712.95)
11/22/06	Margin	Bought	55,000	WENDT BRISTOL HEALTH SVCS CORP N4673	.01	(562.95)
11/22/06	Margin	Bought	104,034	WENDT BRISTOL HEALTH SVCS CORP N5398	.0271256	(2,834.94)
11/22/06	Margin	Sold	-5,000	WENDT BRISTOL HEALTH SVCS CORP N5682	.035	161.67
11/22/06	Margin	Journal		WIRE TRANSFER		(20,000.00)
11/24/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
11/24/06	Margin	Journal		From Cash		11.39
11/24/06	Margin	Bought	25,000	WENDT BRISTOL HEALTH SVCS CORP N2984	.06	(1,512.95)
11/24/06	Margin	Sold	-50,000	WENDT BRISTOL HEALTH SVCS CORP N5509	.12	5,983.10
11/24/06	Margin	Sold	-50,000	WENDT BRISTOL HEALTH SVCS CORP N4957	.12	5,983.11
11/24/06	Margin	Sold	-50,000	WENDT BRISTOL HEALTH SVCS CORP N5193	.12	5,983.11
11/24/06	Margin	Sold	-50,000	WENDT BRISTOL HEALTH SVCS CORP N5389	.12	5,983.11

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/24/06	Margin	Sold	-50,000	WENDT BRISTOL HEALTH SVCS CORP N4774	.12	5,983.11
11/24/06	Margin	Sold	-29,034	WENDT BRISTOL HEALTH SVCS CORP N5510	.12	3,488.84
11/24/06	Margin	Journal		WIRE TRANSFER		
11/27/06	Margin	Bought	10,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4651	.01	(1,108.00)
11/27/06	Margin	Bought	100,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4652	.02	(2,032.95)
11/27/06	Margin	Bought	100,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4726	.02	(2,032.95)
11/27/06	Margin	Bought	100,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4516	.02	(2,032.95)
11/27/06	Margin	Bought	5,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4653	.02	(113.95)
11/27/06	Margin	Bought	25,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N3857	.04	(1,022.95)
11/27/06	Margin	Bought	40,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4727	.05	(2,032.95)
11/27/06	Margin	Bought	30,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4654	.06	(1,830.95)
11/27/06	Margin	Bought	65,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4655	.09	(5,921.45)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N3876	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4834	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4919	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4540	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4283	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4835	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4080	.12	5,923.11
11/27/06	Margin	Sold	-50,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4920	.12	5,923.11
11/27/06	Margin	Sold	-23,300	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE N4541	.12	2,753.26
11/27/06	Margin	Sold	-32,478	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE NAOQK	.1	3,199.83

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

November 1, 2006 to November 30, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/27/06	Margin	Sold	-4,222	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE NACGS	.04	153.92
11/27/06	Margin	Sold	-15,000	CREDIT DEPOT CORP WORTHLESS/NON-TRANSFERABLE NACKW	.074	1,084.77
11/28/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
11/28/06	Margin	Journal		WIRE TRANSFER		(4,000.00)
11/29/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
11/29/06	Margin	Journal		WIRE TRANSFER		(10,000.00)
11/30/06	Margin	Debit Interest		8.950% 11/01-11/30 \$2224		(16.59)
11/30/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
11/30/06	Margin	Journal		WIRE TRANSFER		(9,000.00)
Total: Margin Account Balance						48,749.35

Trades Pending Settlement

Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
11/29/06	Margin	Bought	250,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.02	(5,012.95)
11/29/06	Margin	Bought	666	STRIKE/SHARE 25.00 TRIANGLE MULTI-MEDIA INC N6314	.0001	(13.02)

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information
 Account Number: 32372971

ALEXIS AMPUDIA

Statement Period

November 1, 2006 to November 30, 2006

Settled Trades Summary:

TOTAL PURCHASED (USD):	39,126.04	TOTAL SHARES PURCHASED:	1,632,684.00
TOTAL SOLD (USD):	130,828.20	TOTAL SHARES SOLD:	2,127,684.00

SIPC does not cover commodity contracts and options on futures.

DPP and REIT securities are generally illiquid and the estimated value of these securities may not be realized upon liquidation of the security. The value of the security will differ from the purchase price. In the event valuations are provided, they will be based upon estimated values obtained from the issuer's annual report. However, in some cases accurate valuation information is not available and will not be provided.

NASD has filed with the SEC a proposed rule change to amend NASD Rule 2340 to require that account statements include a statement that advises each customer to promptly report any inaccuracy or discrepancy in that person's account to his or her brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing. http://www.nasd.com/stellent/groups/rules_regs/documents/rule_filing/nasdw_011958.pdf

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day your payment is received, and you will not receive your check back from your financial institution. Please contact your broker if you have questions about electronic check collection or do not want your payments collected electronically.

Pursuant to SEC Rule 606, Pension Financial Services, Inc. is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance * Division of S3 Matching Technologies * to disclose all required information pertaining to this rule. <ftp://public.s3.com/11ac1-6/pfsi>

THE NASD REQUIRES THAT WE NOTIFY YOU IN WRITING OF THE AVAILABILITY OF AN INVESTOR BROCHURE THAT INCLUDES INFORMATION DESCRIBING THE NASD'S PUBLIC DISCLOSURE PROGRAM ('PROGRAM'). TO OBTAIN A BROCHURE OR MORE INFORMATION ABOUT THE PROGRAM OR NASD, CONTACT THE NASD PROGRAM HOTLINE AT (800) 289-9999 OR ACCESS THE NASD WEB SITE AT www.nasdbrokercheck.com

* End of Statement *

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971
 Financial Advisor #: TQ00

Statement Period

December 1, 2006 to December 31, 2006

ALEXIS AMPUDIA

BROOKLYN NY 11238

Your Financial Advisor

TRACK DATA SECURITIES CORP

Office Serving You

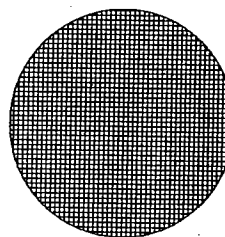
691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Account Value

Asset Category	Current Value 12/31/06	Prior Value 11/30/06	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash Account Balance	15.92	3.39	1.58%		
Margin Account Balance	0.00	48,749.55			
Equities	993.56	6.00	98.42%		
Options, Rights, Warrants	0.00	2,340.00			
Total Portfolio Values	1,009.48	51,098.94	100.00%		
Less: Margin Loan Balance	(1,085.34)	0.00	(107.51%)		
Total Account Value	(75.86)	51,098.94	(7.51%)		

Asset Allocation

EQUITIES
98.42%



Items less than 2% are not reflected on this pie chart.

Income Summary

	This Period	Year to Date
Credit Interest	15.92	115.94
Margin Interest	(6.09)	(42.82)
Total Income	9.83	73.12

The Account of the Customer is subject to the following terms and conditions ("Agreement") which the Customer agrees to, and accepts by use, maintenance and/or continuation of the Account notwithstanding any notice or statement of intention to You to the contrary.

1. Definitions. "Account" shall refer individually and collectively to all accounts which the Customer has previously opened, now has open or may open in the future, in which the Customer has any interest, with You or any introducing Broker, and any and all previous, current and future transactions in such accounts. "Customer" refers to the individual(s) and/or entity(ies) in whose name the Account and future transactions are carried or who may otherwise have any interest in an Account. "Introducing Broker" means any brokerage firm which introduces securities transactions on behalf of the Customer, which transactions are cleared through You, whether one or more. "Obligations" means all indebtedness, debit balances, liabilities or other obligations of any kind of the Customer to You, whether now existing or hereafter arising. "Securities or other Property" shall mean all money, securities, commodities or other property of every kind and nature and all contracts and options relating thereto, whether for present or future delivery. "You" or "Your" refers to Pension Financial Services, Inc.

2. Payment of Indebtedness Upon Demand. The Customer shall at all times be liable for the payment upon demand of any Obligations owing from the Customer to You, and Customer shall be liable to You for any deficiency remaining in any Account in the event of the liquidation thereof, in whole or in part, by You, the Introducing Broker, or by the Customer, and the Customer shall pay such Obligations upon demand.

3. Security Interest: Liquidation. All Securities or other property now or hereafter held, carried or maintained by You in or for the Account shall be subject to a first and prior lien and security interest for the discharge of all of the Obligations of the Customer and are to be held by You as security for the payment of the Obligations of the Customer to You, to the fullest extent allowed by law. You shall have the right to transfer Securities or other Property so held by You from or to any Account of the Customer whenever You so determine. Whenever the Customer does not, on or before the settlement date, pay in full for any Securities or other Property purchased for the Account of the Customer, or deliver any Securities or other Property sold for such Account, or if any other Obligations of the Customer to You are unpaid, You are authorized (a) until payment or delivery is made, to pledge, repledge, hypothecate or rehypothecate, without notice, any and all Securities or other Property which You may hold for the Customer (either individually or jointly with others), separately or in common with other Securities or other Property, for the sum then due for a greater or lesser sum and without retaining in your possession and control for delivery a like amount of similar Securities or other Property; (b) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (c) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (d) to buy any or all Securities or other Property which may be short in such Account; and/or (e) to cancel any open orders and to close any or all all outstanding contracts; all without notice of sale or purchase, or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account. You are authorized, in Your discretion, should You for any reason whatsoever deem it necessary for Your protection, without notice, to cancel any outstanding order, to close out the Account of the Customer, in whole or in part, or to close out any commitment made on behalf of the Customer.

4. Lending Agreement; Margin or Short Accounts. With respect to any margin or short Account maintained by the Customer, You are authorized to lend to yourself, as principal or otherwise, or to others, any Securities or other Property held by You on margin for any Securities or other Property in the Account of the Customer for margin and/or maintenance purposes as required by You in Your sole discretion, on demand, in accordance with Your general policies regarding margin and maintenance requirements, as such may be modified from time to time, or at other times as You may require, and will be charged, in accordance with Your usual custom or as otherwise determined by You with interest at rates permitted by the laws of the State of Texas. With respect to any margin or short Account of the Customer, You shall have the right at any time and from time to time, in accordance with Your general policies regarding Your margin or maintenance requirements, short Accounts or Accounts in general, as such may be modified from time to time, or at an earlier point in time than called for by said general policies, in the event that You feel insecure with respect to the Customer's account for any reason in Your sole discretion; (a) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (b) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (c) to buy any or all Securities or other Property which may be short in such Account; and/or (d) to cancel any open orders and to close any or all outstanding contracts; all without demand for margin or additional margin, notice of sale or purchase or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account.

5. Account Carried as Clearing Broker. The Customer understands that You are carrying the Account of the Customer as clearing broker by arrangement with the Customer's Introducing Broker through whose courtesy the Account of the Customer has been introduced to You. The Customer understands that You act as custodian for funds and securities deposited with You, through the Customer's Introducing Broker, or as a result of transactions You may process for the Customer's Account. Inquiries regarding the Customer's Account or the activity therein should be directed to the Introducing Broker. If Customer is unable to reach the Introducing Broker concerning account activity, or a complaint, Customer may contact Person at 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201, (214) 765-1100, and speak with the Compliance Department. The Customer understands that the Introducing Broker and not You, is responsible for compliance with all applicable laws and regulations in connection with the Customer's Account. Until receipt by You from the Customer of written notice to the contrary, you may accept and rely upon such information from the Customer's representatives, employees and other agents, without any inquiry or investigation by You; (a) orders for the purchase or sale in the Customer's Account of Securities or other Property, and (b) any other instructions concerning the Customer's Account. The

5. (Continued)

Customer understands that You act only to clear trades introduced by the Customer's Introducing Broker and to effect other back office functions for the Customer's Introducing Broker. The Customer confirms to You that the Customer is relying for any advice concerning the Customer's Account solely on the Customer's Introducing Broker. The Customer understands that You are not a principal of or partner with and do not control in any way, the Introducing Broker or its representatives, employees or other agents. The Customer understands that You will not review the Customer's account and will have no responsibility for trades made in the Customer's Account. The Customer agrees that You shall not be responsible or liable for any acts or omissions of the Introducing Broker or its representatives, employees or other agents.

THE FOLLOWING ARBITRATION AGREEMENT SHOULD BE READ IN CONJUNCTION WITH THESE DISCLOSURES:

a. ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORM IN WHICH A CLAIM IS FILED;

b. ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED;

c. THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS;

d. THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASONS FOR THEIR AWARD;

e. THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY;

f. THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT;

g. THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

6. ARBITRATION AGREEMENT ANY AND ALL CONTROVERSIES, DISPUTES OR CLAIMS BETWEEN THE UNDERSIGNED AND YOU OR THE INTRODUCING BROKER, OR THE AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OF YOU OR THE INTRODUCING BROKER, ARISING OUT OF, IN CONNECTION WITH, FROM OR WITH RESPECT TO (a) ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT OR ANY RELATED AGREEMENTS, (b) THE RELATIONSHIP OF THE PARTIES HERETO, OR (c) ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, (d) INTRODUCING BROKER'S BUSINESS OR THE UNDERSIGNED'S ACCOUNTS, SHALL BE CONDUCTED PURSUANT TO THE CODE OF ARBITRATION PROCEDURE OF THE NASD. ARBITRATION MUST BE COMMENCED BY SERVICE OF A WRITTEN DEMAND FOR ARBITRATION OR A WRITTEN NOTICE OF INTENTION TO ARBITRATE. THE DECISION AND AWARD OF THE ARBITRATOR (S) SHALL BE CONCLUSIVE AND BINDING UPON ALL PARTIES, AND ANY JUDGMENT UPON AN AWARD RENDERED MAY BE ENTERED IN A COURT HAVING JURISDICTION THEREOF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action unit; (i) the class certification is denied; or (ii) the class is de-certified; or (iii) the customer is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein.

7. Other Agreements: Option Transactions, Charges. The Customer agrees to be bound by the terms of Your Customer Account Agreement. If the Customer trades on margin or has short positions, the Customer agrees to be bound by the terms of Your Customer Margin and Short Account Agreement. If the Customer trades any options, the customer agrees to be bound by the terms of Your Customer Option Agreement. If this is a joint Account, the Customer agrees to be bound by Your Joint Account Agreement. The Customer understands that copies of these agreements are available from You and, to the extent applicable, are incorporated by reference herein. The terms of these other agreements are in addition to the provisions of this Agreement and any other written agreements between You and the Customer. You may charge the Customer Account maintenance fees, security transfer fees and account transfer fees, and other charges or fees as agreed between You and the Customer's Introducing Broker or as independently established by You.

8. Option Account. Assignment notices for option contracts are allocated among client short positions pursuant to a manual procedure which randomly selects from all client short option positions, including positions established on the day of assignment, those contracts which are subject to exercise. All American short option positions are subject to assignment at any time. A more detailed description of Your random allocation procedure is available upon request. In accordance with the Customer Option Agreement, if applicable, the Customer must promptly notify the Introducing Broker of any change in the Customer's objectives or financial situation. Individual options commissions and other related charges have been included in the Customer's Confirmation; however, a summary of this information will be made available to the Customer upon request. The customer must promptly advise the introducing broker of any material change in the customer's investment objectives or financial situation.

9. Miscellaneous. Your financial statement is available for personal inspection or will be mailed on the Customer's request. The headings of each section of the Agreement are descriptive only and do not modify or qualify any provision of this Agreement. Except as provided in this Agreement, no provision of this Agreement may be altered, modified or amended unless in writing signed by Your authorized representative. This Agreement and all provisions shall inure to the benefit of You and Your successors, Your assigns, the Customer's Introducing Broker, and all other persons specified in Paragraph 6 above. With respect to any free credit balance included on the Account statement, such funds are not segregated and may be used in Your business, subject to the limitations of 17 CFR Section 240.15c3-3 of the Securities Exchange Act of 1934. The Customer may receive these funds in the normal course of business following demand to You. You shall not be liable for losses caused directly or indirectly by any events beyond Your reasonable control. The costs and expenses of collection of the debit balance and any unpaid deficiency in the Account of the Customer with You, including but not limited to reasonable attorneys' fees and expenses, incurred and payable or paid by You shall be payable to You by the Customer. The estimated annual income, estimated current yield, and market value calculations are estimates only, and have been obtained or prepared from sources You consider to be reliable, but You make no assurance as to their accuracy.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971

ALEXIS AMPUDIA

Statement Period

December 1, 2006 to December 31, 2006

Portfolio Positions

Cash & Equivalents	Symbol/ Cusip	Account Type	Quantity	Current Price	Current Value	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash		Cash			15.92	1.58%		
Total: Cash & Equivalents					15.92	1.58%		
Equities								
LEXINGTON HEALTHCARE GROUP	LEXI	Margin	11,000	.09	990.00	98.07%		
PEARL ASIAN MINING INDUSTRIES	PAIM	Margin	5,000	.0007	3.50	0.35%		
ISIN US7047551079								
TRIANGLE MULTI-MEDIA INC	QBID	Margin	666	.0001	.06	0.01%		
Total: Equities					993.56	98.42%		
Total: Portfolio Values					1,009.48	100.00		
Less: Margin Loan Balance		Margin			(1,085.34)	-107.51%		
Total: Account Net Assets/Equity					(75.86)	-7.51%		

Account value and totals are based only on priced securities. We may be unable to price all securities in your account. For municipal securities and some other fixed income securities, prices are approximate (not actual market bids) and are provided only as a general guide. They do not necessarily reflect actual market prices. For current prices, please contact your financial consultant.

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Account Activity

Cash Account	Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
	12/27/06	Cash	Journal		OPENING BALANCE		3.39
	12/29/06	Cash	Interest		To Margin		(3.39)
		Cash			1.500% 12/01-12/29 \$13199		15.92
Total Cash Account Balance							15.92

Margin Account

	11/29/06	Margin	Bought	250,000	OPENING BALANCE		48,749.55
		Margin			LOCATEPLUS HOLDINGS-CW05_WTS	.02	(5,012.95)
					EXP 04/12/07		
	11/29/06	Margin	Bought	666	STRIKE/SHARE 25.00		
					TRIANGLE MULTI-MEDIA INC	.0001	(13.02)
					N6314		
	12/01/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
	12/01/06	Margin	Journal		WIRE TRANSFER		(5,000.00)
	12/04/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
	12/04/06	Margin	Journal		WIRE TRANSFER		(8,725.00)
	12/05/06	Margin	Bought	100,000	LOCATEPLUS HOLDINGS-CW05_WTS	.0181	(1,822.95)
					EXP 04/12/07		
	12/05/06	Margin	Bought	50,000	STRIKE/SHARE 25.00		
					LOCATEPLUS HOLDINGS-CW05_WTS	.02	(1,012.95)
					EXP 04/12/07		
	12/05/06	Margin	Bought	20,000	STRIKE/SHARE 25.00		
					LOCATEPLUS HOLDINGS-CW05_WTS	.02	(412.95)
					EXP 04/12/07		
	12/05/06	Margin	Bought	10,000	STRIKE/SHARE 25.00		
					LOCATEPLUS HOLDINGS-CW05_WTS	.018	(192.95)
					EXP 04/12/07		
	12/05/06	Margin	Bought	95,000	STRIKE/SHARE 25.00		
					LOCATEPLUS HOLDINGS-CW05_WTS	.016	(1,532.95)
					EXP 04/12/07		
					STRIKE/SHARE 25.00		

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/06/06	Margin	Bought	100,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.012	(1,212.95)
12/06/06	Margin	Bought	20,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.012	(252.95)
12/06/06	Margin	Bought	51,667	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.012	(632.95)
12/07/06	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
12/07/06	Margin	Journal		WIRE TRANSFER		(9,500.00)
12/12/06	Margin	Bought	55,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.02	(1,112.95)
12/12/06	Margin	Bought	10,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.019	(202.95)
12/12/06	Margin	Sold	-75,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.019	1,407.86
12/13/06	Margin	Bought	10,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.025	(262.95)
12/13/06	Margin	Bought	50,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.03	(1,512.95)
12/13/06	Margin	Bought	5,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.018	(102.95)
12/13/06	Margin	Bought	5,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.024	(132.95)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/13/06	Margin	Bought	5,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.025	(137.95)
12/13/06	Margin	Bought	777	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.029	(35.48)
12/14/06	Margin	Journal		DOMESTIC WIRE FEE		
12/14/06	Margin	Bought	50,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.03	(1,512.95)
12/14/06	Margin	Journal		STRIKE/SHARE 25.00		
12/15/06	Margin	Journal		WIRE TRANSFER		
12/15/06	Margin	Journal		DOMESTIC WIRE FEE		(2,290.00)
12/15/06	Margin	Journal		WIRE TRANSFER		(15.00)
12/18/06	Margin	Bought	100,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.02	(2,472.00)
12/18/06	Margin	Bought	100,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.02	(2,012.95)
12/19/06	Margin	Bought	52,700	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.018	(961.55)
12/22/06	Margin	Sold	-200,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.025	4,979.39
12/22/06	Margin	Sold	-200,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.023	4,579.40
12/22/06	Margin	Sold	-200,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.025	4,979.39

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/22/06	Margin	Sold	-200,000	LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.0225	4,479.40
12/22/06	Margin	Sold	-200,000	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.025	4,979.38
12/22/06	Margin	Sold	-195,144	STRIKE/SHARE 25.00 LOCATEPLUS HOLDINGS-CW05_WTS EXP 04/12/07	.0217679	4,223.53
12/26/06	Margin	Journal		STRIKE/SHARE 25.00		
12/26/06	Margin	Bought	10,000	DOMESTIC WIRE FEE LEXINGTON HEALTHCARE GROUP N3744	.04	(15.00) (412.95)
12/26/06	Margin	Bought	5,000	LEXINGTON HEALTHCARE GROUP N3745	.1	(512.95)
12/26/06	Margin	Bought	50,000	LEXINGTON HEALTHCARE GROUP N3808	.185	(9,262.95)
12/26/06	Margin	Bought	36,000	LEXINGTON HEALTHCARE GROUP N3746	.244444	(8,812.95)
12/26/06	Margin	Sold	-40,000	LEXINGTON HEALTHCARE GROUP N3624	.35	13,983.61
12/26/06	Margin	Sold	-50,000	LEXINGTON HEALTHCARE GROUP N2398	.32	15,982.80
12/26/06	Margin	Journal		WIRE TRANSFER		
12/27/06	Margin	Journal		From Cash		(8,230.00)
12/28/06	Margin	Journal		DOMESTIC WIRE FEE		3.39
12/28/06	Margin	Journal		WIRE TRANSFER		(15.00)
12/29/06	Margin	Debit Interest		8.950% 12/01-12/29 \$847		(27,000.00)
12/29/06	Margin	Journal		DOMESTIC WIRE FEE		(6.09)
12/29/06	Margin	Journal		WIRE TRANSFER		(15.00)
Total Margin Account Balance						(5,000.00)
						(1,085.34)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Trades Pending Settlement

Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/27/06	Margin	Sold	-11,000	LEXINGTON HEALTHCARE GROUP N6975	.1090909	1,174.19
12/27/06	Margin	Bought	65,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0U2	.065	(4,237.95)
12/27/06	Margin	Bought	10,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0QN	.135	(1,362.95)
12/27/06	Margin	Bought	50,000	LODGIAN INC - WT EXPIRE 11/25/07 N6882	.194	(9,712.95)
12/27/06	Margin	Bought	25,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0UZ	.1	(2,512.95)
12/27/06	Margin	Sold	-50,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0RJ	.22	10,982.94
12/27/06	Margin	Sold	-50,000	LODGIAN INC - WT EXPIRE 11/25/07 NA10H	.22	10,982.96
12/27/06	Margin	Sold	-40,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0RK	.1125	4,483.90
12/27/06	Margin	Sold	-10,000	LODGIAN INC - WT EXPIRE 11/25/07 NA0VE	.2	1,986.23
12/27/06	Margin	Bought	5,000	MEDJET INC N5868	.05	(262.95)
12/27/06	Margin	Bought	5,000	MEDJET INC N5391	.06	(312.95)

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

December 1, 2006 to December 31, 2006

Trades Pending Settlement

Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/27/06	Margin	Bought	15,000	MEDJET INC N5869	.0966666	(1,462.95)
12/27/06	Margin	Bought	50,000	MEDJET INC N5870	.133	(6,662.95)
12/27/06	Margin	Bought	5,000	MEDJET INC N5871	.17	(871.45)
12/27/06	Margin	Bought	5,000	MEDJET INC N6021	.15	(762.95)
12/27/06	Margin	Sold	-5,000	MEDJET INC N6406	.35	1,719.12
12/27/06	Margin	Sold	-5,000	MEDJET INC N6205	.35	1,719.12
12/27/06	Margin	Sold	-40,000	MEDJET INC N6761	.252375	10,078.73
12/27/06	Margin	Sold	-35,000	MEDJET INC NA0RL	.35	12,124.49

Track Data Securities Corporation

691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account**ALEXIS AMPUDIA****Account Information****Account Number: 32372971****Statement Period****December 1, 2006 to December 31, 2006****Settled Trades Summary:**

TOTAL PURCHASED (USD):	41,089.95	TOTAL SHARES PURCHASED:	1,241,810.00
TOTAL SOLD (USD):	59,594.76	TOTAL SHARES SOLD:	1,360,144.00

Penson will be charging the 2007 annual fee for Retirement Accounts on Thursday, February 1st, 2006. If you prefer, you may send a check to cover this fee without affecting your contribution limit. Please include the Penson Deposit slip with the option "Fee Payment" clearly marked. Please forward your deposit to Penson at the following address:

Penson Financial Services, Inc.
 1700 Pacific Avenue, Suite 1400
 Dallas, TX 75201
 ATTN: IRA Department

SIPC does not cover commodity contracts and options on futures.

DPP and REIT securities are generally illiquid and the estimated value of these securities may not be realized upon liquidation of the security. The value of the security will differ from the purchase price. In the event valuations are provided, they will be based upon estimated values obtained from the issuer's annual report. However, in some cases accurate valuation information is not available and will not be provided.

NASD has filed with the SEC a proposed rule change to amend NASD Rule 2340 to require that account statements include a statement that advises each customer to promptly report any inaccuracy or discrepancy in that person's account to his or her brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing. http://www.nasd.com/stellent/groups/rules_regs/documents/rule_filing/nasdw_011958.pdf

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day your payment is received, and you will not receive your check back from your financial institution. Please contact your broker if you have questions about electronic check collection or do not want your payments collected electronically.

Pursuant to SEC Rule 606, Penson Financial Services, Inc. is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance * Division of S3 Matching Technologies * to disclose all required information pertaining to this rule. <ftp://public.s3.com/11ac1-6/pfs>

THE NASD REQUIRES THAT WE NOTIFY YOU IN WRITING OF THE AVAILABILITY OF AN INVESTOR BROCHURE THAT INCLUDES INFORMATION DESCRIBING THE NASD'S PUBLIC DISCLOSURE PROGRAM ("PROGRAM"). TO OBTAIN A BROCHURE OR MORE INFORMATION ABOUT THE PROGRAM OR NASD, CONTACT THE NASD PROGRAM HOTLINE AT (800) 289-9999 OR ACCESS THE NASD WEB SITE AT www.nasdbrokercheck.com

* End of Statement *

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971
 Financial Advisor #: TQ00

Statement Period

January 1, 2007 to January 31, 2007

ALEXIS AMPUDIA

BROOKLYN NY 11238

Your Financial Advisor

TRACK DATA SECURITIES CORP

Office Serving You

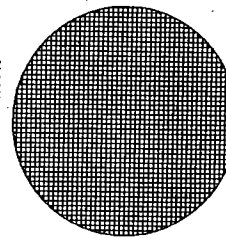
691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Account Value

Asset Category	Current Value 01/31/07	Prior Value 12/31/06	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash Account Balance	8.61	15.92	0.01%		
Margin Account Balance	38.91	0.00	0.06%		
Equities	65,565.06	993.56	99.93%		
Options, Rights, Warrants	0.00	0.00			
Total Portfolio Values	65,612.58	1,009.48	100.00%		
Less: Margin Loan Balance	0.00	(1,085.34)			
Total Account Value	65,612.58	(75.86)	100.00%		

Asset Allocation

EQUITIES
99.93%



Items less than 2% are not reflected on this pie chart.

Income Summary

	This Period	Year to Date
Credit Interest	8.61	8.61
Margin Interest	(9.43)	(9.43)
Total Income	(0.82)	(0.82)

1. Definitions. "Account" shall refer individually and collectively to all accounts which the Customer has previously opened, now has open or may open in the future, in which the Customer has any interest, with You or any introducing Broker, and any and all previous, current and future transactions in such accounts. "Customer" refers to the individual(s) or entity(ies) in whose name the Account referenced on the face of this Account statement is carried or who may otherwise have any interest in an Account. "Introducing Broker" means any brokerage firm which introduces securities transactions on behalf of the Customer, which transactions are cleared through You, whether one or more. "Obligations" means all indebtedness, debit balances, liabilities or other obligations of any kind of the Customer to You, whether now existing or hereafter arising. "Securities or other Property" shall mean all money, securities, commodities or other property of every kind and nature and all contracts and options relating thereto, whether for present or future delivery. "Your" refers to Pension Financial Services, Inc.

2. **Payment of Indebtedness Upon Demand.** The Customer shall at all times be liable for the payment upon demand of any Obligations owing from the Customer to You, and Customer shall be liable to You for any deficiency remaining in any account in the event of the liquidation thereof, in whole or in part, by You, the Introducing Broker, or by the Customer, and the Customer shall pay such Obligations upon demand.

1. **Security Interest; Liquidation.** All Securities or other property now or hereafter held, carried or maintained by You in or for the Account shall be subject to a first and prior lien and security interest for the discharge of all of the Obligations of the Customer and are to be held by You as security for the payment of the Obligations of the Customer to You, to the fullest extent allowed by law. You shall have the right to transfer Securities or other Property so held by You, from or to any account of the Customer whenever You so determine. Whenever the Customer does not, on or before the settlement date, pay in full for any Securities or other Property purchased for the Account of the Customer, or deliver any Securities or other Property sold for such Account, or if any other Obligations of the Customer to You are unpaid, You are authorized (a) until payment or delivery is made, to pledge, repledge, hypothecate or rehypothecate, without notice, any and all Securities or other Property which You may hold for the Customer (either individually or jointly with others), separately or in common with other Securities or other Property, for the sum then due or for a greater or lesser sum and without retaining in your possession and control for delivery a like amount of similar Securities or other Property; (b) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (c) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (d) to buy any or all outstanding contracts; all without notice of sale or purchase, or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property shall not be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such purchases are usually transacted, or by public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account. You are authorized, in Your discretion, should You for any reason whatsoever find it necessary for Your protection, without notice, to cancel any outstanding order, to close out the Account of the Customer, in whole or in part, or to close out any commitment made on behalf of the Customer.

Lending Agreement; Margin or Short Accounts. With respect to any margin or short Account maintained by the Customer, You are authorized to lend to yourself, as principal or otherwise, or to others, any Securities or other Property held by You on margin for any Account or as collateral therefor either separately or without other Securities. The Customer shall at all times maintain such Securities or other Property in the Account of the Customer for margin and/or maintenance purposes as required by You. In Your sole discretion, on demand, in accordance with Your general policies regarding margin and maintenance requirements, as such may be modified from time to time, or at other times as You may require, You will be charged, in accordance with Your usual custom or as otherwise determined by You with interest at rates permitted by the laws of the State of Texas. With respect to any margin or short Account of the Customer, You shall have the right at any time and from time to time, in accordance with Your general policies regarding Your margin or maintenance requirements, Short Accounts or Accounts in general, as such may be modified from time to time, or at an earlier Point in time than called for by said general policies, in the event that You feel insecure with respect to the Customer's account for any reason in Your sole discretion: (a) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (b) to sell any or all Securities or other Property in the Account of the Customer (either individually or jointly with others); (c) to buy any or all Securities or other Property which may be short in Your account or in such Account, and/or (d) to cancel any open orders to purchase or sell Securities or other Property. Your exercise shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted or on a public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for such person's own Account.

Account Carried as Clearing Broker. The Customer understands that You are carrying the Account of the Customer as clearing broker by arrangement with the Customer's Introducing Broker through whose courtesy the Account of the Customer has been introduced to You. The Customer understands that You act as custodian for funds and securities deposited with You, through the Customer's Introducing Broker, or as a result of transactions You may process for the Customer's Account. Inquiries regarding the Customer's Account or the activity therein should be directed to the Introducing Broker. If Customer is unable to reach the Introducing Broker concerning account activity, or a complaint, compliance Department. The Customer understands that the Introducing Broker and not You, is responsible for compliance with all applicable laws and regulations in connection with the Customer's Account. Until receipt by You from the Customer notice to the contrary, you may accept and rely upon from such Introducing broker and its representatives employees and other agents, without any inquiry or investigation by You; (a) orders for the purchase or sale in the Customer's Account of Securities or other Property, and (b) any other instructions concerning the Customer's Account. The

5. (continued).

Customer understands that You act only to clear trades introduced by the Customer's Introducing Broker and to effect other back office functions for the Customer's Introducing Broker. The Customer confirms to You that the Customer is relying for any advice concerning the Customer's Account solely on the Customer's Introducing Broker. The Customer understands that You are not a principal or partner with and do not control in any way, the Introducing Broker or its representatives, employees or other agents. The Customer understands that You will not review the Customer's account and will have no responsibility for trades made in the Customer's Account. The Customer agrees that You shall not be responsible or liable for any acts or omissions of the Introducing Broker or its representatives, employees or other agents.

THE FOLLOWING ARBITRATION AGREEMENT SHOULD BE READ IN CONJUNCTION WITH THESE DISCLOSURES:

- a. ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORM IN WHICH A CLAIM IS FILED.
- b. ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED.
- c. THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS.
1. THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASON(S) FOR THEIR AWARD.
2. THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.
3. THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT.
4. THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

3. **ARBITRATION AGREEMENT.** ANY AND ALL CONTROVERSIES, DISPUTES OR CLAIMS BETWEEN THE UNDERSIGNED AND YOU, OR THE INTRODUCING BROKER, OR THE AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OF YOU OR THE INTRODUCING BROKER, ARISING OUT OF, IN CONNECTION WITH, FROM OR WITH RESPECT TO (a) ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT OR ANY RELATED AGREEMENTS, (b) THE RELATIONSHIP OF THE PARTIES HERETO, OR (c) ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, THE INTRODUCING BROKER'S BUSINESS OR THE UNDERSIGNED'S ACCOUNTS, SHALL BE CONDUCTED PURSUANT TO THE MODE OF ARBITRATION PROCEDURE OF THE NASD. ARBITRATION MUST BE COMMENCED BY SERVICE OF A WRITTEN DEMAND FOR ARBITRATION OR A WRITTEN NOTICE OF INTENTION TO ARBITRATE. THE DECISION AND AWARD OF THE ARBITRATOR (S) SHALL BE CONCLUSIVE AND BINDING UPON ALL PARTIES, AND ANY JUDGMENT UPON ANY AWARD RENDERED MAY BE ENTERED IN A COURT HAVING JURISDICTION THEREOF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

no person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who was not opted out of the class with respect to any claims encompassed by the putative class action unit; (f) the class certification is denied; or (ii) the class is de-certified; or (iii) the customer is excluded from the class by the court. Such non-enforcement to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein.

Other Agreements; Option Transactions, Charges. The Customer agrees to be bound by the terms of Your Customer Account Agreement. If the Customer trades on margin or has short positions, the Customer agrees to be bound by the terms of Your Customer Margin and Short Account Agreement. If the Customer trades any options, the Customer agrees to be bound by the terms of your Customer Option Agreement. If this is a joint Account, the Customers agree to be bound by the terms of the joint Account Agreement. The Customer understands that copies of these agreements are available from You, and, if applicable, are incorporated by reference herein. The terms of these other agreements are in addition to the terms of this Agreement and any other written agreements between You and the Customer. You may charge the Customer's Account for maintenance fees, security transfer fees and account transfer fees, and other charges or fees as agreed between You and the Customer's Introducing Broker or as independently established by You.

Option Account. Assignment notices for option contracts are allocated among client short positions pursuant to a random procedure which randomly selects from all client short option positions, including positions established on the day assignment, those contracts which are subject to exercise. All American short option positions are subject to assignment at any time. A more detailed description of Your random allocation procedure is available upon request. In accordance with the Customer Option Agreement, if applicable, the Customer must promptly notify the Introducing Broker of any change in the Customer's objectives or financial situation. Individual options commissions and other related charges have been included in the Customer's Confirmation; however, a summary of this information will be made available to the Customer upon request. The customer must promptly advise the introducing broker of any material change in the customer's investment objectives or financial situation.

Miscellaneous. Your financial statement is available for personal inspection or will be mailed on the Customer's request. The headings of each section of the Agreement are descriptive only and do not modify or qualify any provision of this agreement. Except as provided in this Agreement, no provision of this Agreement may be altered, modified or amended in any way by oral agreement or by any correspondence between you and your authorized representative. This Agreement and all provisions shall inure to the benefit of you and your successors, your assigns, the Customer's introducing Broker, and all other persons specified in Paragraph 6 of this Agreement. With respect to any free credit balance included in the Account statement, such funds are not segregated and may be used in your business, subject to the limitations of 17 CFR Section 240.15c3-3 of the Securities Exchange Act of 1934. The Customer may receive these funds in the normal course of business following demand to You. You shall not be liable for losses caused directly or indirectly by any events beyond Your reasonable control. The costs and expenses of collection of the debit balance and any unpaid deficiency in the Account of the Customer with You, including but not limited to the reasonable attorneys' fees and expenses, incurred and payable or paid by You shall be payable to You by the Customer. The estimated annual income, estimated current yield, and market value calculations are estimates only, and have been obtained or prepared from sources You consider to be reliable, but You make no assurance as to their accuracy.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

January 1, 2007 to January 31, 2007

Portfolio Positions

Cash & Equivalents	Symbol/ Cusip	Account Type	Quantity	Current Price	Current Value	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash		Cash			8.61	0.01%		
Margin Account Balance		Margin			38.91	0.06%		
Total: Cash & Equivalents					47.52	0.07%		
Equities								
MICRO-INTEGRATION CORP	MINT	Margin	133,800	.49	65,562.00	99.92%		
PEARL ASIAN MINING INDUSTRIES	PAIM	Margin	5,000	.0006	3.00			
ISIN US7047551079								
TRIANGLE MULTI-MEDIA INC	QBID	Margin	666	.0001	.06			
Total: Equities					65,565.06	99.93%		
Total: Account Net Assets/Equity					65,612.58	100.00		

Account value and totals are based only on priced securities. We may be unable to price all securities in your account. For municipal securities and some other fixed income securities, prices are approximate (not actual market bids) and are provided only as a general guide. They do not necessarily reflect actual market prices. For current prices, please contact your financial consultant.

Account Activity

Cash Account	Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
	01/29/07	Cash	Journal		OPENING BALANCE		15.92
	01/31/07	Cash	Interest		To Margin		(15.92)
Total: Cash Account Balance					1.500% 12/30-01/31	\$6272	8.61
							8.61

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information				Statement Period			
Account Number: 32372971				January 1, 2007 to January 31, 2007			
Account Activity							
Margin Account	Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
	12/27/06	Margin	Sold	-11,000	OPENING BALANCE		(1,085.34)
					LEXINGTON HEALTHCARE GROUP	.1090909	1,174.19
					N6975		
	12/27/06	Margin	Bought	65,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.065	(4,237.95)
					NA0U2		
	12/27/06	Margin	Bought	10,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.135	(1,362.95)
					NA0QN		
	12/27/06	Margin	Bought	50,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.194	(9,712.95)
					N6882		
	12/27/06	Margin	Bought	25,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.1	(2,512.95)
					NA0UZ		
	12/27/06	Margin	Sold	-50,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.22	10,982.94
					NA0RJ		
	12/27/06	Margin	Sold	-50,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.22	10,982.96
					NA10H		
	12/27/06	Margin	Sold	-40,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.1125	4,483.90
					NA0RK		
	12/27/06	Margin	Sold	-10,000	LODGIAN INC - WT		
					EXPIRE 11/25/07	.2	1,986.23
					NA0VE		
	12/27/06	Margin	Bought	5,000	MEDJET INC		
					N5868	.05	(262.95)
	12/27/06	Margin	Bought	5,000	MEDJET INC		
					N5391	.06	(312.95)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

January 1, 2007 to January 31, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/27/06	Margin	Bought	15,000	MEDJET INC N5869	.0966666	(1,462.95)
12/27/06	Margin	Bought	50,000	MEDJET INC N5870	.133	(6,662.95)
12/27/06	Margin	Bought	5,000	MEDJET INC N5871	.17	(871.45)
12/27/06	Margin	Bought	5,000	MEDJET INC N6021	.15	(762.95)
12/27/06	Margin	Sold	-5,000	MEDJET INC N6406	.35	1,719.12
12/27/06	Margin	Sold	-5,000	MEDJET INC N6205	.35	1,719.12
12/27/06	Margin	Sold	-40,000	MEDJET INC N6761	.252375	10,078.73
12/27/06	Margin	Sold	-35,000	MEDJET INC NA0RL	.35	12,124.49
01/03/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
01/03/07	Margin	Journal		WIRE TRANSFER		(3,500.00)
01/04/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
01/04/07	Margin	Journal		WIRE TRANSFER		(2,400.00)
01/05/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
01/05/07	Margin	Journal		WIRE TRANSFER		(9,000.00)
01/11/07	Margin	Bought	25,000	SYSTEMONE TECHNOLOGIES INC N4184	.014	(362.95)
01/11/07	Margin	Bought	35,000	SYSTEMONE TECHNOLOGIES INC N4079	.05	(1,762.95)
01/11/07	Margin	Bought	5,000	SYSTEMONE TECHNOLOGIES INC N4435	.04	(212.95)
01/11/07	Margin	Bought	20,000	SYSTEMONE TECHNOLOGIES INC N4185	.09	(1,812.95)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

January 1, 2007 to January 31, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/11/07	Margin	Bought	50,000	SYSTEMONE TECHNOLOGIES INC NA315	.12	(6,012.95)
01/11/07	Margin	Bought	12,500	SYSTEMONE TECHNOLOGIES INC NA1ZA	.07	(887.95)
01/19/07	Margin	Sold	-50,000	SYSTEMONE TECHNOLOGIES INC NA25N	.2	9,982.95
01/19/07	Margin	Sold	-50,000	SYSTEMONE TECHNOLOGIES INC NA273	.2	9,982.98
01/22/07	Margin	Bought	20,000	METRO GLOBAL MEDIA INC NA0J5	.12	(2,412.95)
01/22/07	Margin	Bought	1,400	METRO GLOBAL MEDIA INC NA10V	.55	(782.95)
01/22/07	Margin	Sold	-21,400	METRO GLOBAL MEDIA INC NA1HF	1/2	10,685.11
01/22/07	Margin	Bought	15,000	MICRO-INTEGRATION CORP NA02K	.12	(1,812.95)
01/22/07	Margin	Bought	10,000	MICRO-INTEGRATION CORP NA14B	.01	(112.95)
01/22/07	Margin	Bought	99,900	MICRO-INTEGRATION CORP NA1EV	.05	(5,007.95)
01/22/07	Margin	Bought	9,000	MICRO-INTEGRATION CORP NA0TO	.03	(282.95)
01/22/07	Margin	Bought	10,000	MICRO-INTEGRATION CORP NA1BH	.13	(1,312.95)
01/22/07	Margin	Bought	24,900	MICRO-INTEGRATION CORP NA0P7	.1939759	(4,891.25)
01/22/07	Margin	Bought	15,000	MICRO-INTEGRATION CORP NA0O3	.2	(3,042.95)
01/22/07	Margin	Sold	-25,000	SYSTEMONE TECHNOLOGIES INC NA1G6	.1	2,485.10

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

January 1, 2007 to January 31, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
01/22/07	Margin	Sold	-2,500	SYSTEMONE TECHNOLOGIES INC NA1HG	.07	161.86
01/22/07	Margin	Sold	-20,000	SYSTEMONE TECHNOLOGIES INC NA155	.0825	1,635.49
01/23/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
01/23/07	Margin	Journal		WIRE TRANSFER		(15,000.00)
01/25/07	Margin	Sold	-50,000	MICRO-INTEGRATION CORP NA2IO	.1	4,983.14
01/26/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
01/26/07	Margin	Journal		WIRE TRANSFER		(5,200.00)
01/29/07	Margin	Journal		From Cash		15.92
01/31/07	Margin	Debit Interest		8.950% 12/30-01/31 \$1151		(9.43)
Total: Margin Account Balance						36.97

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information
Account Number: 32372971

ALEXIS AMPUDIA

Statement Period

January 1, 2007 to January 31, 2007

Settled Trades Summary:

TOTAL PURCHASED (USD):	58,875.55	TOTAL SHARES PURCHASED:	587,700.00
TOTAL SOLD (USD):	95,168.31	TOTAL SHARES SOLD:	464,900.00

SIPC does not cover commodity contracts and options on futures.

DPP and REIT securities are generally illiquid and the estimated value of these securities may not be realized upon liquidation of the security. The value of the security will differ from the purchase price. In the event valuations are provided, they will be based upon estimated values obtained from the issuer's annual report. However, in some cases accurate valuation information is not available and will not be provided.

NASD has filed with the SEC a proposed rule change to amend NASD Rule 2340 to require that account statements include a statement that advises each customer to promptly report any inaccuracy or discrepancy in that person's account to his or her brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing. http://www.nasd.com/stellent/groups/rules_regs/documents/rule_filing/hasdw_011958.pdf

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day your payment is received, and you will not receive your check back from your financial institution. Please contact your broker if you have questions about electronic check collection or do not want your payments collected electronically.

Pursuant to SEC Rule 606, Pension Financial Services, Inc. is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance * Division of S3 Matching Technologies * to disclose all required information pertaining to this rule. <ftp://public.s3.com/11ac1-6/ptsi>

THE NASD REQUIRES THAT WE NOTIFY YOU IN WRITING OF THE AVAILABILITY OF AN INVESTOR BROCHURE THAT INCLUDES INFORMATION DESCRIBING THE NASD'S PUBLIC DISCLOSURE PROGRAM ("PROGRAM"). TO OBTAIN A BROCHURE OR MORE INFORMATION ABOUT THE PROGRAM OR NASD, CONTACT THE NASD PROGRAM HOTLINE AT (800) 289-9999 OR ACCESS THE NASD WEB SITE AT www.nasdbrokercheck.com

* End of Statement *

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information

Account Number: 32372971
 Financial Advisor #: TQ00

Your Financial Advisor

TRACK DATA SECURITIES CORP

Statement Period

February 1, 2007 to February 28, 2007

Office Serving You

691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

ALEXIS AMPUDIA

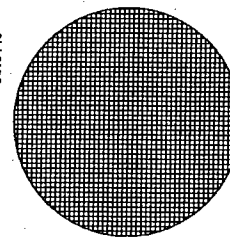
BROOKLYN NY 11238

Account Value

Asset Category	Current Value 02/28/07	Prior Value 01/31/07	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash Account Balance	15.14	8.61	0.02%		
Margin Account Balance	89,154.38	38.91	99.25%		
Equities	658.56	65,565.06	0.73%		
Total Portfolio Values	89,828.08	65,612.58	100.00%		
Less: Margin Loan Balance	0.00	0.00			
Total Account Value	89,828.08	65,612.58	100.00%		
Short Account Balance	(43,965.20)	0.00	(48.94%)		

Asset Allocation

CASH + MNYMKT
 99.51%



Items less than 2% are not reflected on this pie chart.

Income Summary

	This Period	Year to Date
Credit Interest	15.14	23.75
Margin Interest	(7.25)	(16.68)
Total Income	7.89	7.07

The Account of the Customer is subject to the following terms and conditions ("Agreement") which the Customer agrees to, and accepts by use, maintenance and/or continuation of the Account notwithstanding any notice or statement of intention to You to the contrary.

1. Definitions. "Account" shall refer individually and collectively to all accounts which the Customer has previously opened, now has open or may open in the future, in which the Customer has any interest, with You or any introducing broker, and any and all previous, current and future transactions in such accounts. "Customer" refers to the individual(s) and/or entity(ies) in whose name the Account referenced on the face of this Account statement is carried or who may otherwise have any interest in an Account. "Introducing Broker" means any brokerage firm which introduces securities transactions on behalf of the Customer, which transactions are cleared through You, whether one or more. "Obligations" means all indebtedness, debit balances, liabilities or other obligations of any kind of the Customer to You, whether now existing or hereafter arising. "Securities or other Property" shall mean all money, securities, commodities or other property of every kind and nature and all contracts and options relating thereto, whether for present or future delivery. "You" refers to Person Financial Services, Inc.

2. Payment of Indebtedness Upon Demand. The Customer shall at all times be liable for the payment upon demand of any Obligations owing from the Customer to You, and Customer shall be liable to You for any deficiency remaining in any Account in the event of the liquidation thereof, in whole or in part, by You, the Introducing Broker, or by the Customer, and the Customer shall pay such Obligations upon demand.

3. Security Interest: Liquidation. All Securities or other property now or hereafter held, carried or maintained by You in or for the Account shall be subject to a first and prior lien and security interest for the discharge of all of the Obligations of the Customer and are to be held by You as security for the payment of the Obligations of the Customer to You, to the fullest extent allowed by law. You shall have the right to transfer Securities or other Property so held by You from or to any Account of the Customer whenever You so determine. Whenever the Customer does not, on or before the settlement date, pay in full for any Securities or other Property purchased for the Account of the Customer, or deliver any Securities or other Property sold for such Account, or if any other Obligations of the Customer to You are unpaid, You are authorized (a) until payment or delivery is made, to pledge, repledge, hypothecate or rehypothecate, without notice, any and all Securities or other Property which You may hold for the Customer (either individually or jointly with others), separately or in common with other Securities or other Property, for the sum then due or for a greater or lesser sum and without retaining in your possession and control for delivery a like amount of similar Securities or other Property; (b) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (c) to sell any or all Securities or other Property in the Account of the Customer with You (either individually or jointly with others); (d) to buy any or all Securities or other Property which may be short in such Account; and/or (e) to cancel any open orders and to close any or all outstanding contracts; all without notice of sale or purchase, or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account. You are authorized, in Your discretion, should You for any reason whatsoever deem it necessary for Your protection, without notice, to cancel any outstanding order, to close out the Account of the Customer, in whole or in part, or to close out any commitment made on behalf of the Customer.

4. Lending Agreement: Margin or Short Accounts. With respect to any margin or short Account maintained by the Customer, You are authorized to lend to yourself, as principal or otherwise, or to others, any Securities or other Property held by You on margin for any Account or as collateral therefor either separately or without other Securities. The Customer will at all times maintain such Securities or other Property in the Account of the Customer for margin and/or maintenance purposes as required by You in Your sole discretion, on demand, in accordance with Your general policies regarding margin and maintenance requirements, as such may be modified from time to time, or at other times as You may require, and will be charged, in accordance with Your usual custom or as otherwise determined by You with interest at rates permitted by the laws of the State of Texas. With respect to any margin or short Account of the Customer, You shall have the right at any time and from time to time, in accordance with Your general policies regarding Your margin or maintenance requirements, short Accounts or Accounts in general, as such may be modified from time to time, or at an earlier point in time than called for by said general policies, in the event that You feel insecure with respect to the Customer's account for any reason in Your sole discretion; (a) to sell any or all Securities or other Property which You may hold for the Customer (either individually or jointly with others); (b) to sell any or all Securities or other Property in the Account of the Customer such Account, and/or (d) to cancel any open orders and to close any or all outstanding contracts; all without demand for margin or additional margin, notice of sale or purchase or other notice or advertisement, and that any prior demand or notice shall not be a waiver of Your rights provided herein. You shall have the discretion to determine which Securities or other Property are to be sold and which contracts are to be closed. Any such sales or purchases may be made at Your discretion on any exchange, the over-the-counter market or any other market where such business is usually transacted, or at public auction or private sale, and You, Your affiliates or the Introducing Broker or its affiliates may be the purchaser or seller for any such person's own Account.

5. Account Carried as Clearing Broker. The Customer understands that You are carrying the Account of the Customer as clearing broker by arrangement with the Customer's Introducing Broker through whose courtesy the Account of the Customer has been introduced to You. The Customer understands that You act as custodian for funds and securities deposited with You, through the Customer's Introducing Broker, or as a result of transactions You may process for the Customer's Account. Inquiries regarding the Customer's Account or the activity therein should be directed to the Introducing Broker. If Customer is unable to reach the Introducing Broker concerning account activity, or a complaint, Customer may contact Person at 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201, (214) 765-1100, and speak with the Compliance Department. The Customer understands that the Introducing Broker and not You, is responsible for compliance with all applicable laws and regulations in connection with the Customer's Account. Until receipt by You from the Customer of written notice to the contrary, You may accept and rely upon from such introducing broker and its representatives employees and other agents, without any inquiry or investigation by You: (a) orders for the purchase or sale in the Customer's Account of Securities or other Property, and (b) any other instructions concerning the Customer's Account. The

5. (continued)

Customer understands that You act only to clear trades introduced by the Customer's Introducing Broker and to effect other back office functions for the Customer's Introducing Broker. The Customer confirms to You that the Customer is relying for any advice concerning the Customer's Account solely on the Customer's Introducing Broker. The Customer understands that You are not a principal or partner with and do not control in any way, the Introducing Broker or its representatives, employees or other agents. The Customer understands that You will not review the Customer's account and will have no responsibility for trades made in the Customer's Account. The Customer agrees that You shall not be responsible or liable for any acts or omissions of the Introducing Broker or its representatives, employees or other agents.

THE FOLLOWING ARBITRATION AGREEMENT SHOULD BE READ IN CONJUNCTION WITH THESE DISCLOSURES:

- ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORM IN WHICH A CLAIM IS FILED;
- ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED;
- THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS;
- THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASONS(S) FOR THEIR AWARD;
- THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY;
- THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT;
- THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, AND ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

6. ARBITRATION AGREEMENT. ANY AND ALL CONTROVERSIES, DISPUTES OR CLAIMS BETWEEN THE UNDERSIGNED AND YOU, OR THE INTRODUCING BROKER, OR THE AGENTS, REPRESENTATIVES, EMPLOYEES, DIRECTORS, OFFICERS OR CONTROL PERSONS OF YOU OR THE INTRODUCING BROKER, ARISING OUT OF, IN CONNECTION WITH, FROM OR WITH RESPECT TO (a) ANY PROVISIONS OF OR THE VALIDITY OF THIS AGREEMENT OR ANY RELATED AGREEMENTS, (b) THE RELATIONSHIP OF THE PARTIES HERETO, OR (c) ANY CONTROVERSY ARISING OUT OF YOUR BUSINESS, THE INTRODUCING BROKER'S BUSINESS OR THE UNDERSIGNED'S ACCOUNTS, SHALL BE CONDUCTED PURSUANT TO THE CODE OF ARBITRATION PROCEDURE OF THE NASD. ARBITRATION MUST BE COMMENCED BY SERVICE OF A WRITTEN DEMAND FOR ARBITRATION PROCEDURE OF THE NASD. INTENTION TO ARBITRATE. THE DECISION AND AWARD OF THE ARBITRATOR (S) SHALL BE CONCLUSIVE AND BINDING UPON ALL PARTIES, AND ANY JUDGMENT UPON ANY AWARD RENDERED MAY BE ENTERED IN A COURT HAVING JURISDICTION THEREOF, AND NEITHER PARTY SHALL OPPOSE SUCH ENTRY.

No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action unit; (f) the class certification is denied; or (g) the class is de-certified; or (h) the customer is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein.

7. Other Agreements: Option Transactions, Charges. The Customer agrees to be bound by the terms of Your Customer Account Agreement. If the Customer trades on margin or has short positions, the Customer agrees to be bound by the terms of Your Customer Margin and Short Account Agreement. If the Customer trades any options, the customer agrees to be bound by the terms of Your Customer Option Agreement. If this is a joint Account, the Customers agree to be bound by Your Joint Account Agreement. The Customer understands that copies of these agreements are available from You and, to the extent applicable, are incorporated by reference herein. The terms of these other agreements are in addition to the provisions of this Agreement and any other written agreements between You and the Customer. You may charge the Customer Account maintenance fees, security transfer fees and account transfer fees, and other charges or fees as agreed between You and the Customer's Introducing Broker or as independently established by You.

8. Option Account. Assignment notices for option contracts are allocated among client short positions pursuant to a manual procedure which randomly selects from all client short option positions, including positions established on the day of assignment, those contracts which are subject to exercise. All American short option positions are subject to assignment at any time. A more detailed description of Your random allocation procedure is available upon request. In accordance with the Customer Option Agreement, if applicable, the Customer must promptly notify the Introducing Broker of any change in the Customer's objectives or financial situation. Individual options communications and other related charges have been included in the Customer's Confirmation; however, a summary of this information will be made available to the Customer upon request. The customer must promptly advise the introducing broker of any material change in the customer's investment objectives or financial situation.

9. Miscellaneous. Your financial statement is available for personal inspection or will be mailed on the Customer's request. The headings of each section of the Agreement are descriptive only and do not modify or qualify any provision of this Agreement. Except as provided in this Agreement, no provision of this Agreement may be altered, modified or amended unless in writing signed by Your authorized representative. This Agreement and all provisions shall inure to the benefit of You and Your successors. Your assigns, the Customer's Introducing Broker, and all other persons specified in Paragraph 6 above. With respect to any free credit balance included on the Account statement, such funds are not segregated and may be used in Your business, subject to the limitations of 17 CFR Section 240.15c3-3 of the Securities Exchange Act of 1934. The Customer may receive these funds in the normal course of business following demand to You. You shall not be liable for losses caused directly or indirectly by any events beyond Your reasonable control. The costs and expenses of collection of the debit balance and any unpaid deficiency in the Account of the Customer with You, including but not limited to reasonable attorneys' fees and expenses, incurred and payable or paid by You shall be payable to You by the Customer. The estimated annual income, estimated current yield, and market value calculations are estimates only, and have been obtained or prepared from sources You consider to be reliable, but You make no assurance as to their accuracy.

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information			Statement Period					
Account Number: 32372971			February 1, 2007 to February 28, 2007					
Portfolio Positions								
Cash & Equivalents	Symbol/ Cusip	Account Type	Quantity	Current Price	Current Value	Percent of Acct Assets	Estimated Annual Income	Estimated Current Yield
Cash		Cash			15.14	0.02%		
Margin Account Balance		Margin			89,154.38	99.25%		
Total: Cash & Equivalents					89,169.52	99.27%		
Equities								
B FAST CORP	BFTC	Margin	10,000	.05	500.00	0.56%		
MICRO-INTEGRATION CORP	MINT	Margin	10,400	.015	156.00	0.17%		
PEARL ASIAN MINING INDUSTRIES	PAIM	Margin	5,000	.0005	2.50			
ISIN US7047551079								
TRIANGLE MULTI-MEDIA INC	QBID	Margin	666	.0001	.06			
Total: Equities					658.56	0.73%		
Total: Account Net Assets/Equity					89,828.08	100.00		
Short Account Balance		Short			(43,965.20)	-48.94%		
Short Account Equity					(43,965.20)	-48.94%		

Account value and totals are based only on priced securities. We may be unable to price all securities in your account. For municipal securities and some other fixed income securities, prices are approximate (not actual market bids) and are provided only as a general guide. They do not necessarily reflect actual market prices. For current prices, please contact your financial consultant.

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

February 1, 2007 to February 28, 2007

Account Activity

Cash Account	Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
	02/02/07	Cash	Journal		OPENING BALANCE		8.61
	02/28/07	Cash	Interest		TO2		(8.61)
					1.500% 02/01-02/28 \$12999		15.14
							15.14
Margin Account							
		Margin			OPENING BALANCE		38.91
	02/01/07	Margin	Bought	1,000	MICRO-INTEGRATION CORP N4698	.52	(532.95)
	02/01/07	Margin	Sold	-23,000	MICRO-INTEGRATION CORP N6516	.3	6,885.11
	02/02/07	Margin	Journal		DOMESTIC WIRE FEE FROM1		(15.00)
	02/02/07	Margin	Journal		WIRE TRANSFER		8.61
	02/09/07	Margin	Sold	-5,000	MICRO-INTEGRATION CORP NA0AM	.39	(6,384.68)
	02/09/07	Margin	Sold	-35,000	MICRO-INTEGRATION CORP NA22R	.49	1,936.62
	02/09/07	Margin	Sold	-45,000	MICRO-INTEGRATION CORP NA28L	.4	17,133.90
	02/13/07	Margin	Bought	2,395	TRANSAXIS INC NA1G3	.45	17,983.12
	02/14/07	Margin	Journal		DOMESTIC WIRE FEE		(1,090.70)
	02/14/07	Margin	Journal		WIRE TRANSFER		(15.00)
	02/15/07	Margin	Bought	30,000	MICRO-INTEGRATION CORP NA1T9	.1	(7,000.00)
	02/16/07	Margin	Journal		DOMESTIC WIRE FEE		(3,012.95)
	02/16/07	Margin	Journal		WIRE TRANSFER		(15.00)
	02/20/07	Margin	Journal		DOMESTIC WIRE FEE		(1,200.00)
							(15.00)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

February 1, 2007 to February 28, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/20/07	Margin	Sold	-50,000	MICRO-INTEGRATION CORP NA0FM	.4	19,982.68
02/20/07	Margin	Sold	-2,395	TRANSAXIS INC NA0KJ	.55	1,304.08
02/20/07	Margin	Journal		WIRE TRANSFER		
02/21/07	Margin	Bought	10,000	B FAST CORP N4289	.03	(6,500.00) (312.95)
02/21/07	Margin	Bought	10,000	B FAST CORP NA01K	.15	(1,512.95)
02/21/07	Margin	Bought	40,000	B FAST CORP NA0JI	.2	(8,012.95)
02/21/07	Margin	Bought	24,200	B FAST CORP NA0JJ	.2	(4,852.95)
02/21/07	Margin	Bought	30,000	B FAST CORP NA0JK	.29	(8,712.95)
02/21/07	Margin	Sold	-5,000	B FAST CORP NA090	.2	986.64
02/21/07	Margin	Sold	-25,000	B FAST CORP NA0SP	.2	4,985.02
02/21/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
02/21/07	Margin	Bought	50,000	MICRO-INTEGRATION CORP NA09E	.27	(13,512.95)
02/21/07	Margin	Bought	14,800	MICRO-INTEGRATION CORP NA0JL	.27	(4,008.95)
02/21/07	Margin	Bought	17,200	MICRO-INTEGRATION CORP NA0K4	.29	(5,000.95)
02/21/07	Margin	Bought	17,200	MICRO-INTEGRATION CORP NA0K5	.29	(5,000.95)
02/21/07	Margin	Bought	11,500	MICRO-INTEGRATION CORP NA0K6	.29	(3,347.95)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

February 1, 2007 to February 28, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/21/07	Margin	Bought	16,100	MICRO-INTEGRATION CORP NA0K7	.31	(5,003.95)
02/21/07	Margin	Sold	-17,200	MICRO-INTEGRATION CORP NA0SF	1/2	8,585.49
02/21/07	Margin	Sold	-34,400	MICRO-INTEGRATION CORP NA0SG	1/2	17,183.94
02/21/07	Margin	Sold	-14,800	MICRO-INTEGRATION CORP NA0NX	1/2	7,385.70
02/21/07	Margin	Sold	-50,000	MICRO-INTEGRATION CORP NA0SO	1/2	24,982.53
02/21/07	Margin	Sold	-6,800	MICRO-INTEGRATION CORP NA0NZ	.4	2,706.45
02/21/07	Margin	Journal		WIRE TRANSFER		
02/22/07	Margin	Journal		DOMESTIC WIRE FEE		(7,000.00)
02/22/07	Margin	Journal		WIRE TRANSFER		(15.00)
02/23/07	Margin	Bought	50,000	B FAST CORP NA2Q9	.275	(13,900.45)
02/23/07	Margin	Bought	20,000	B FAST CORP NA2C9	.15	(3,012.95)
02/23/07	Margin	Sold	-30,000	B FAST CORP NA33I	.22	6,518.59
02/23/07	Margin	Sold	-50,000	B FAST CORP NA2NL	.45	22,257.60
02/23/07	Margin	Sold	-14,200	B FAST CORP NA2IB	.4	5,609.01
02/23/07	Margin	Sold	-50,000	B FAST CORP NA2R0	.48	23,742.56
02/27/07	Margin	Journal		DOMESTIC WIRE FEE		(15.00)
02/27/07	Margin	Mark to Market		SHORT ACCT. MARK TO MARKET		33,965.20
02/27/07	Margin	Journal		WIRE TRANSFER		(21,000.00)

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

February 1, 2007 to February 28, 2007

Account Activity

Entry/Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/28/07	Margin	Debit Interest		8.950% 02/01-02/28	\$1044	(7.25)
02/28/07	Margin	Mark to Market		SHORT ACCT. MARK TO MARKET		10,000.00
Total Margin Account Balance						89,154.38

Short Account

Short						
OPENING BALANCE						
02/27/07	Short	Mark to Market		SHORT ACCT. MARK TO MARKET		0.00
02/28/07	Short	Mark to Market		SHORT ACCT. MARK TO MARKET		(33,965.20)
Total Short Account Balance						(10,000.00)
						(43,965.20)

Trades Pending Settlement

Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/26/07	Margin	Bought	50,000	ZEGARELLI GROUP INTL INC	.1	(5,012.95)
WORTHLESS/NON-TRANSFERABLE						
NA2WC						
02/26/07	Margin	Bought	50,000	ZEGARELLI GROUP INTL INC	.1	(5,012.95)
WORTHLESS/NON-TRANSFERABLE						
NA5HC						
02/26/07	Margin	Bought	50,000	ZEGARELLI GROUP INTL INC	.1	(5,012.95)
WORTHLESS/NON-TRANSFERABLE						
NA56I						
02/26/07	Margin	Bought	5,000	ZEGARELLI GROUP INTL INC	.03	(150.00)
WORTHLESS/NON-TRANSFERABLE						
NA4YX						
02/26/07	Margin	Bought	5,000	ZEGARELLI GROUP INTL INC	.05	(262.95)
WORTHLESS/NON-TRANSFERABLE						
NA51A						

Track Data Securities Corporation
691 Fulton Street
Brooklyn, NY 11217
(800) MY-TRACK

Statement of Account

ALEXIS AMPUDIA

Account Information

Account Number: 32372971

Statement Period

February 1, 2007 to February 28, 2007

Trades Pending Settlement

Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/26/07	Margin	Bought	50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE NA5EK	.1	(5,012.95)
02/27/07	Margin	Sold	-10,000	B FAST CORP N5667	.15	1,471.25
02/27/07	Margin	Sold	-5,000	MICRO-INTEGRATION CORP N5330	.26	1,273.64
02/27/07	Margin	Sold	-5,000	MICRO-INTEGRATION CORP N5731	.21	1,026.14
02/27/07	Margin	Bought	25,000	VIDIKRON TECHNOLOGIES GROUP WORTHLESS/NON-TRANSFERABLE N5619	.01	(262.95)
02/27/07	Margin	Bought	58,523	VIDIKRON TECHNOLOGIES GROUP WORTHLESS/NON-TRANSFERABLE N5584	.0334174	(1,968.64)
02/27/07	Margin	Bought	10,600	VIDIKRON TECHNOLOGIES GROUP WORTHLESS/NON-TRANSFERABLE N5223	.05	(542.95)
02/27/07	Margin	Sold	-5,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N5730	.45	2,236.61
02/27/07	Margin	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N5732	.3	14,982.83
02/27/07	Margin	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N4554	.3	14,982.83
02/27/07	Margin	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N5733	.35	17,482.75

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information				Statement Period		
Account Number: 32372971				February 1, 2007 to February 28, 2007		
Trades Pending Settlement						
Trade Date	Account Type	Transaction	Quantity	Description	Price	Amount
02/27/07	Margin	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N5734	.45	22,482.60
02/27/07	Short	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N0995	.45	22,482.60
02/27/07	Short	Sold	-50,000	ZEGARELLI GROUP INTL INC WORTHLESS/NON-TRANSFERABLE N5620	.45	22,482.60

Track Data Securities Corporation
 691 Fulton Street
 Brooklyn, NY 11217
 (800) MY-TRACK

Statement of Account

Account Information
 Account Number: 32372971

ALEXIS AMPUDIA

Statement Period

February 1, 2007 to February 28, 2007

Settled Trades Summary:

TOTAL PURCHASED (USD):	80,830.45	TOTAL SHARES PURCHASED:	344,395.00
TOTAL SOLD (USD):	190,169.04	TOTAL SHARES SOLD:	457,795.00

Effective April 2007, a \$15.00 first quarter custody fee will be charged to all non-IRA accounts holding physical securities at Penson. If you would like to avoid the fee, please notify your broker to mail the security to you.

SIPC does not cover commodity contracts and options on futures.

DPP and REIT securities are generally illiquid and the estimated value of these securities may not be realized upon liquidation of the security. The value of the security will differ from the purchase price. In the event valuations are provided, they will be based upon estimated values obtained from the issuer's annual report. However, in some cases accurate valuation information is not available and will not be provided.

NASD has filed with the SEC a proposed rule change to amend NASD Rule 2340 to require that account statements include a statement that advises each customer to promptly report any inaccuracy or discrepancy in that person's account to his or her brokerage firm and clearing firm (where these are different firms) and to re-confirm any oral communications in writing. http://www.nasd.com/stellent/groups/rules_regs/documents/rule_filing/nasdw_011958.pdf

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction. When we use information from your check to make an electronic fund transfer, funds may be withdrawn from your account as soon as the same day your payment is received, and you will not receive your check back from your financial institution. Please contact your broker if you have questions about electronic check collection or do not want your payments collected electronically.

Pursuant to SEC Rule 606, Penson Financial Services, Inc. is required to make publicly available a quarterly report with regard to its routing of non-directed orders. For the purpose of this Rule, we have entered into an agreement with Quantum5 Market Surveillance * Division of S3 Matching Technologies * to disclose all required information pertaining to this rule. <ftp://public.s3.com/11ac1-6/psf>

THE NASD REQUIRES THAT WE NOTIFY YOU IN WRITING OF THE AVAILABILITY OF AN INVESTOR BROCHURE THAT INCLUDES INFORMATION DESCRIBING THE NASD'S PUBLIC DISCLOSURE PROGRAM ("PROGRAM"). TO OBTAIN A BROCHURE OR MORE INFORMATION ABOUT THE PROGRAM OR NASD, CONTACT THE NASD PROGRAM HOTLINE AT (800) 289-9999 OR ACCESS THE NASD WEB SITE AT www.nasdbrokercheck.com

* End of Statement *

	A	B	C	D	E	F
514	lexkartel03	68.246.54.158 2006-10-19 11:24:41.323			Oct 19 2006 11:24AM	
515	lexkartel03	24.90.135.144 2006-10-23 09:11:25.853			Oct 23 2006 9:11AM	
516	lexkartel03	200.46.232.26 2006-10-24 09:14:26.253			Oct 24 2006 9:14AM	
517	lexkartel03	200.46.232.26 2006-10-24 13:27:22.510			Oct 24 2006 1:27PM	
518	lexkartel03	200.46.232.26 2006-10-24 15:36:24.797			Oct 24 2006 3:36PM	
519	lexkartel03	200.46.232.26 2006-10-24 15:56:22.290			Oct 24 2006 3:56PM	
520	lexkartel03	200.46.232.26 2006-10-24 16:09:56.807			Oct 24 2006 4:09PM	
521	lexkartel03	200.46.232.26 2006-10-24 17:35:50.270			Oct 24 2006 5:35PM	
522	lexkartel03	200.46.232.26 2006-10-24 19:03:08.730			Oct 24 2006 7:03PM	
523	lexkartel03	200.46.232.26 2006-10-24 19:51:07.457			Oct 24 2006 7:51PM	
524	lexkartel03	200.46.232.26 2006-10-24 19:51:08.753			Oct 24 2006 7:51PM	
525	lexkartel03	200.46.232.26 2006-10-24 20:25:03.497			Oct 24 2006 8:25PM	
526	lexkartel03	200.46.232.26 2006-10-24 20:50:40.357			Oct 24 2006 8:50PM	
527	lexkartel03	200.46.232.26 2006-10-24 20:55:47.367			Oct 24 2006 8:55PM	
528	lexkartel03	200.46.232.26 2006-10-25 12:29:29.973			Oct 25 2006 12:29PM	
529	lexkartel03	200.46.232.26 2006-10-25 12:38:08.340			Oct 25 2006 12:38PM	
530	lexkartel03	200.46.232.26 2006-10-25 13:50:10.600			Oct 25 2006 1:50PM	
531	lexkartel03	200.46.232.26 2006-10-27 14:39:46.577			Oct 27 2006 2:39PM	
532	lexkartel03	200.46.232.26 2006-10-27 16:53:18.610			Oct 27 2006 4:53PM	
533	lexkartel03	200.46.232.26 2006-10-27 17:24:25.997			Oct 27 2006 5:24PM	
534	lexkartel03	200.46.232.26 2006-10-27 17:54:10.883			Oct 27 2006 5:54PM	
535	lexkartel03	200.46.232.26 2006-10-27 17:55:41.803			Oct 27 2006 5:55PM	
536	lexkartel03	200.46.232.26 2006-10-27 18:55:08.330			Oct 27 2006 6:55PM	
537	lexkartel03	200.46.232.26 2006-10-27 23:45:59.433			Oct 27 2006 11:45PM	
538	lexkartel03	68.246.1.82 2006-10-30 09:35:33.267			Oct 30 2006 9:35AM	
539	lexkartel03	24.90.135.144 2006-11-01 16:34:07.157			Nov 1 2006 4:34PM	
540	lexkartel03	24.90.135.144 2006-11-01 16:34:37.437			Nov 1 2006 4:34PM	
541	lexkartel03	24.90.135.144 2006-11-01 18:02:05.930			Nov 1 2006 6:02PM	
542	lexkartel03	24.90.135.144 2006-11-01 19:10:04.310			Nov 1 2006 7:10PM	
543	lexkartel03	24.90.135.144 2006-11-01 22:04:12.917			Nov 1 2006 10:04PM	
544	lexkartel03	24.90.135.144 2006-11-02 02:03:02.937			Nov 2 2006 2:03AM	
545	lexkartel03	24.188.12.72 2006-11-03 18:32:36.500			Nov 3 2006 6:32PM	
546	lexkartel03	70.23.46.27 2006-11-03 18:41:46.833			Nov 3 2006 6:41PM	
547	lexkartel03	70.107.232.90 2006-11-03 20:29:41.257			Nov 3 2006 8:29PM	
548	lexkartel03	66.108.15.211 2006-11-03 20:34:57.280			Nov 3 2006 8:34PM	
549	lexkartel03	66.108.15.67 2006-11-03 20:37:51.200			Nov 3 2006 8:37PM	
550	lexkartel03	67.102.52.250 2006-11-04 00:29:50.130			Nov 4 2006 12:29AM	
551	lexkartel03	67.102.52.250 2006-11-04 00:40:02.570			Nov 4 2006 12:40AM	
552	lexkartel03	24.90.135.144 2006-11-13 14:57:22.050			Nov 13 2006 2:57PM	
553	lexkartel03	69.122.128.66 2006-11-16 13:56:33.540			Nov 16 2006 1:56PM	
554	lexkartel03	24.90.135.144 2006-11-17 09:30:34.843			Nov 17 2006 9:30AM	
555	lexkartel03	24.90.135.144 2006-11-20 01:11:52.557			Nov 20 2006 1:11AM	
556	lexkartel03	24.90.135.144 2006-11-20 08:41:58.523			Nov 20 2006 8:41AM	
557	lexkartel03	24.90.135.144 2006-11-20 13:52:51.720			Nov 20 2006 1:52PM	
558	lexkartel03	24.90.135.144 2006-11-20 19:10:44.323			Nov 20 2006 7:10PM	
559	lexkartel03	24.90.135.144 2006-11-20 20:08:27.367			Nov 20 2006 8:08PM	
560	lexkartel03	24.90.135.144 2006-11-21 03:30:55.793			Nov 21 2006 3:30AM	
561	lexkartel03	24.90.135.144 2006-11-21 15:44:31.260			Nov 21 2006 3:44PM	
562	lexkartel03	24.90.135.144 2006-11-22 12:32:23.910			Nov 22 2006 12:32PM	
563	lexkartel03	24.90.135.144 2006-11-22 12:48:54.080			Nov 22 2006 12:48PM	
564	lexkartel03	24.90.135.144 2006-11-23 18:16:39.153			Nov 23 2006 6:16PM	
565	lexkartel03	24.90.135.144 2006-11-23 18:30:38.170			Nov 23 2006 6:30PM	
566	lexkartel03	24.90.135.144 2006-11-23 18:42:01.420			Nov 23 2006 6:42PM	
567	lexkartel03	24.90.135.144 2006-11-23 18:58:12.340			Nov 23 2006 6:58PM	
568	lexkartel03	24.90.135.144 2006-11-23 19:19:57.707			Nov 23 2006 7:19PM	
569	lexkartel03	24.90.135.144 2006-11-24 09:44:07.870			Nov 24 2006 9:44AM	
570	lexkartel03	24.90.135.144 2006-11-25 14:09:23.170			Nov 25 2006 2:09PM	

	A	B	C	D	E	F
571	lexkartel03	67.102.52.250 2006-11-27 09:43:02.987			Nov 27 2006 9:43AM	
572	lexkartel03	24.90.135.144 2006-11-28 23:50:12.150			Nov 28 2006 11:50PM	
573	lexkartel03	24.90.135.144 2006-11-29 09:37:03.920			Nov 29 2006 9:37AM	
574	lexkartel03	24.90.135.144 2006-11-29 12:03:49.810			Nov 29 2006 12:03PM	
575	lexkartel03	24.90.135.144 2006-11-30 00:57:31.147			Nov 30 2006 12:57AM	
576	lexkartel03	24.90.135.144 2006-11-30 09:14:32.310			Nov 30 2006 9:14AM	
577	lexkartel03	138.89.254.129 2006-12-01 02:15:12.167			Dec 1 2006 2:15AM	
578	lexkartel03	24.90.135.144 2006-12-04 09:40:47.130			Dec 4 2006 9:40AM	
579	lexkartel03	71.254.50.218 2006-12-06 11:13:04.777			Dec 6 2006 11:13AM	
580	lexkartel03	71.254.50.218 2006-12-06 11:40:37.227			Dec 6 2006 11:40AM	
581	lexkartel03	71.254.50.218 2006-12-06 15:52:48.330			Dec 6 2006 3:52PM	
582	lexkartel03	71.254.50.218 2006-12-06 17:50:28.443			Dec 6 2006 5:50PM	
583	lexkartel03	71.254.50.218 2006-12-06 19:00:16.380			Dec 6 2006 7:00PM	
584	lexkartel03	71.254.50.218 2006-12-06 22:49:25.333			Dec 6 2006 10:49PM	
585	lexkartel03	71.254.50.218 2006-12-06 23:14:02.493			Dec 6 2006 11:14PM	
586	lexkartel03	71.254.50.218 2006-12-07 08:12:45.823			Dec 7 2006 8:12AM	
587	lexkartel03	71.254.50.218 2006-12-07 10:02:56.010			Dec 7 2006 10:02AM	
588	lexkartel03	71.254.50.218 2006-12-07 10:04:58.570			Dec 7 2006 10:04AM	
589	lexkartel03	71.254.50.218 2006-12-07 13:17:37.973			Dec 7 2006 1:17PM	
590	lexkartel03	67.102.52.250 2006-12-10 22:26:08.700			Dec 10 2006 10:26PM	
591	lexkartel03	70.5.126.1 2006-12-12 15:28:15.457			Dec 12 2006 3:28PM	
592	lexkartel03	24.90.135.144 2006-12-13 09:56:25.600			Dec 13 2006 9:56AM	
593	lexkartel03	24.45.10.190 2006-12-13 13:29:45.217			Dec 13 2006 1:29PM	
594	lexkartel03	24.45.10.190 2006-12-13 14:19:03.887			Dec 13 2006 2:19PM	
595	lexkartel03	70.5.15.46 2006-12-14 13:30:52.050			Dec 14 2006 1:30PM	
596	lexkartel03	70.5.126.196 2006-12-15 00:27:59.190			Dec 15 2006 12:27AM	
597	lexkartel03	70.5.44.243 2006-12-15 00:40:29.690			Dec 15 2006 12:40AM	
598	lexkartel03	70.5.118.15 2006-12-15 07:23:15.337			Dec 15 2006 7:23AM	
599	lexkartel03	70.5.132.19 2006-12-15 17:45:06.573			Dec 15 2006 5:45PM	
600	lexkartel03	24.90.135.144 2006-12-15 22:49:03.257			Dec 15 2006 10:49PM	
601	lexkartel03	24.90.135.144 2006-12-16 01:24:59.217			Dec 16 2006 1:24AM	
602	lexkartel03	24.90.135.144 2006-12-16 05:23:58.520			Dec 16 2006 5:23AM	
603	lexkartel03	74.72.125.42 2006-12-16 14:32:49.327			Dec 16 2006 2:32PM	
604	lexkartel03	70.5.0.238 2006-12-16 14:34:18.623			Dec 16 2006 2:34PM	
605	lexkartel03	70.116.107.167 2006-12-18 12:26:03.920			Dec 18 2006 12:26PM	
606	lexkartel03	70.116.107.167 2006-12-18 15:30:21.710			Dec 18 2006 3:30PM	
607	lexkartel03	70.5.242.190 2006-12-19 15:33:30.157			Dec 19 2006 3:33PM	
608	lexkartel03	70.5.119.247 2006-12-22 12:00:00.283			Dec 22 2006 12:00PM	
609	lexkartel03	68.246.67.24 2006-12-22 21:05:15.187			Dec 22 2006 9:05PM	
610	lexkartel03	68.246.67.24 2006-12-22 21:07:08.920			Dec 22 2006 9:07PM	
611	lexkartel03	70.5.244.173 2006-12-26 09:46:39.050			Dec 26 2006 9:46AM	
612	lexkartel03	70.5.159.171 2006-12-27 12:33:40.670			Dec 27 2006 12:33PM	
613	lexkartel03	24.90.135.144 2006-12-28 13:58:41.397			Dec 28 2006 1:58PM	
614	lexkartel03	70.5.231.78 2006-12-30 20:53:02.790			Dec 30 2006 8:53PM	
615	lexkartel03	24.90.135.144 2007-01-03 12:40:41.330			Jan 3 2007 12:40PM	
616	lexkartel03	68.246.30.140 2007-01-04 14:30:46.687			Jan 4 2007 2:30PM	
617	lexkartel03	68.246.30.140 2007-01-04 14:32:42.277			Jan 4 2007 2:32PM	
618	lexkartel03	24.90.135.144 2007-01-05 13:36:07.810			Jan 5 2007 1:36PM	
619	lexkartel03	24.90.135.144 2007-01-05 15:11:45.307			Jan 5 2007 3:11PM	
620	lexkartel03	24.90.135.144 2007-01-11 09:55:47.750			Jan 11 2007 9:55AM	
621	lexkartel03	70.5.56.84 2007-01-11 15:14:38.880			Jan 11 2007 3:14PM	
622	lexkartel03	69.114.217.133 2007-01-13 18:03:47.963			Jan 13 2007 6:03PM	
623	lexkartel03	69.114.217.133 2007-01-13 20:50:52.270			Jan 13 2007 8:50PM	
624	lexkartel03	67.102.52.250 2007-01-19 12:38:17.087			Jan 19 2007 12:38PM	
625	lexkartel03	38.118.57.128 2007-01-19 23:03:48.867			Jan 19 2007 11:03PM	
626	lexkartel03	68.246.109.83 2007-01-20 17:56:30.733			Jan 20 2007 5:56PM	
627	lexkartel03	69.114.217.133 2007-01-21 21:53:41.770			Jan 21 2007 9:53PM	

	A	B	C	D	E	F
628	lexkartel03	24.90.135.144 2007-01-22 09:34:08.760			Jan 22 2007 9:34AM	
629	lexkartel03	24.90.135.144 2007-01-22 12:03:24.743			Jan 22 2007 12:03PM	
630	lexkartel03	24.90.135.144 2007-01-22 13:45:05.597			Jan 22 2007 1:45PM	
631	lexkartel03	24.90.135.144 2007-01-23 09:47:20.807			Jan 23 2007 9:47AM	
632	lexkartel03	24.90.135.144 2007-01-24 11:00:17.030			Jan 24 2007 11:00AM	
633	lexkartel03	68.246.101.36 2007-01-25 14:38:42.323			Jan 25 2007 2:38PM	
634	lexkartel03	24.90.135.144 2007-01-26 10:59:06.250			Jan 26 2007 10:59AM	
635	lexkartel03	24.90.135.144 2007-01-26 12:44:54.450			Jan 26 2007 12:44PM	
636	lexkartel03	67.102.52.250 2007-01-29 14:46:16.687			Jan 29 2007 2:46PM	
637	lexkartel03	67.102.52.250 2007-01-31 15:32:55.277			Jan 31 2007 3:32PM	
638	lexkartel03	67.102.52.250 2007-02-01 10:22:43.490			Feb 1 2007 10:22AM	
639	lexkartel03	67.102.52.250 2007-02-01 11:56:38.823			Feb 1 2007 11:56AM	
640	lexkartel03	67.102.52.250 2007-02-02 10:10:19.887			Feb 2 2007 10:10AM	
641	lexkartel03	69.114.217.133 2007-02-09 15:29:49.497			Feb 9 2007 3:29PM	
642	lexkartel03	69.114.217.133 2007-02-09 15:34:06.273			Feb 9 2007 3:34PM	
643	lexkartel03	69.114.217.133 2007-02-09 20:49:27.697			Feb 9 2007 8:49PM	
644	lexkartel03	69.114.217.133 2007-02-11 15:44:42.437			Feb 11 2007 3:44PM	
645	lexkartel03	24.90.135.144 2007-02-11 22:12:35.147			Feb 11 2007 10:12PM	
646	lexkartel03	24.90.135.144 2007-02-11 23:59:03.273			Feb 11 2007 11:59PM	
647	lexkartel03	71.246.111.239 2007-02-12 15:42:54.817			Feb 12 2007 3:42PM	
648	lexkartel03	24.90.135.144 2007-02-13 12:04:48.693			Feb 13 2007 12:04PM	
649	lexkartel03	24.90.135.144 2007-02-13 14:56:26.577			Feb 13 2007 2:56PM	
650	lexkartel03	24.90.135.144 2007-02-13 17:19:23.890			Feb 13 2007 5:19PM	
651	lexkartel03	24.90.135.144 2007-02-13 18:22:26.860			Feb 13 2007 6:22PM	
652	lexkartel03	24.90.135.144 2007-02-13 18:46:58.757			Feb 13 2007 6:46PM	
653	lexkartel03	24.90.135.144 2007-02-13 19:30:14.377			Feb 13 2007 7:30PM	
654	lexkartel03	24.90.135.144 2007-02-13 20:30:30.387			Feb 13 2007 8:30PM	
655	lexkartel03	24.90.135.144 2007-02-13 21:09:59.430			Feb 13 2007 9:09PM	
656	lexkartel03	24.90.135.144 2007-02-13 22:10:15.860			Feb 13 2007 10:10PM	
657	lexkartel03	24.90.135.144 2007-02-14 10:32:18.450			Feb 14 2007 10:32AM	
658	lexkartel03	24.90.135.144 2007-02-14 10:32:33.623			Feb 14 2007 10:32AM	
659	lexkartel03	68.163.73.222 2007-02-15 10:50:30.103			Feb 15 2007 10:50AM	
660	lexkartel03	68.163.73.222 2007-02-15 10:51:41.443			Feb 15 2007 10:51AM	
661	lexkartel03	68.163.73.222 2007-02-15 10:52:45.397			Feb 15 2007 10:52AM	
662	lexkartel03	68.163.73.222 2007-02-15 10:58:03.090			Feb 15 2007 10:58AM	
663	lexkartel03	68.163.73.222 2007-02-16 13:07:46.583			Feb 16 2007 1:07PM	
664	lexkartel03	68.163.73.222 2007-02-16 20:18:42.640			Feb 16 2007 8:18PM	
665	lexkartel03	68.163.73.222 2007-02-16 20:18:44.173			Feb 16 2007 8:18PM	
666	lexkartel03	68.163.73.222 2007-02-20 09:21:28.980			Feb 20 2007 9:21AM	
667	lexkartel03	70.91.5.49 2007-02-21 09:05:44.577			Feb 21 2007 9:05AM	
668	lexkartel03	70.91.5.49 2007-02-21 09:48:52.090			Feb 21 2007 9:48AM	
669	lexkartel03	70.91.5.49 2007-02-21 09:52:57.170			Feb 21 2007 9:52AM	
670	lexkartel03	70.91.5.49 2007-02-21 10:04:39.497			Feb 21 2007 10:04AM	
671	lexkartel03	70.91.5.49 2007-02-21 17:40:34.733			Feb 21 2007 5:40PM	
672	lexkartel03	69.114.217.133 2007-02-22 15:47:54.323			Feb 22 2007 3:47PM	
673	lexkartel03	69.114.217.133 2007-02-22 16:27:53.567			Feb 22 2007 4:27PM	
674	lexkartel03	69.114.217.133 2007-02-22 17:36:26.537			Feb 22 2007 5:36PM	
675	lexkartel03	69.114.217.133 2007-02-22 17:59:42.273			Feb 22 2007 5:59PM	
676	lexkartel03	69.114.217.133 2007-02-22 19:33:07.463			Feb 22 2007 7:33PM	
677	lexkartel03	69.143.139.165 2007-02-23 15:05:28.730			Feb 23 2007 3:05PM	
678	lexkartel03	68.163.73.222 2007-02-23 15:44:22.657			Feb 23 2007 3:44PM	
679	lexkartel03	69.143.139.165 2007-02-23 15:59:23.153			Feb 23 2007 3:59PM	
680	lexkartel03	69.143.139.165 2007-02-24 04:13:29.460			Feb 24 2007 4:13AM	
681	lexkartel03	68.163.73.222 2007-02-26 10:39:03.993			Feb 26 2007 10:39AM	
682	lexkartel03	68.163.73.222 2007-02-26 15:53:05.087			Feb 26 2007 3:53PM	
683	lexkartel03	68.163.73.222 2007-02-27 03:13:52.637			Feb 27 2007 3:13AM	
684	lexkartel03	24.90.135.144 2007-02-27 21:16:43.830			Feb 27 2007 9:16PM	

	A	B	C	D	E	F
685	lexkartel03	24.90.135.144 2007-02-28 02:02:30.257			Feb 28 2007 2:02AM	
686	lexkartel03	24.90.135.144 2007-02-28 07:57:03.160			Feb 28 2007 7:57AM	
687	lexkartel03	68.245.125.182 2007-02-28 17:50:15.140			Feb 28 2007 5:50PM	
688	lexkartel03	24.90.135.144 2007-03-01 11:34:40.390			Mar 1 2007 11:34AM	
689	lexkartel03	24.90.135.144 2007-03-02 10:33:33.350			Mar 2 2007 10:33AM	
690	lexkartel03	72.75.146.207 2007-03-02 23:53:33.600			Mar 2 2007 11:53PM	
691	lexkartel03	70.165.230.202 2007-03-06 12:39:34.187			Mar 6 2007 12:39PM	
692	lexkartel03	70.165.230.202 2007-03-06 12:40:11.653			Mar 6 2007 12:40PM	
693	lexkartel03	69.114.217.133 2007-03-22 10:32:23.997			Mar 22 2007 10:32AM	
694	lexkartel03	69.114.217.133 2007-03-22 11:32:52.477			Mar 22 2007 11:32AM	
695						
696	(692 row(s) affected)					

INCOMING WIRES

450.00 FED WIRE CREDIT FED 0032201340FF SAME 08:20 STRAIGHT
YOUR REF: BLAT-6JSSTY
REC FROM: COMMERCE BANK, NATIONAL ASSOCIATION NEW YORK NY
FED ID: [REDACTED] 3673
B/O CUSTOMER: /7917942109 ALEXIS G AMPUDIA MILCIADES A ROJAS POUGHKEEPSIE,
NY
12601-
REMARK: ALEXIS AMPUDIA ACCOUNT # 32372971
FED TIME: 08:17
REC GFP: 12061317
MRN SEQ: 051206081437XI00
FED REF: 1206 C1B76E1C 000084 **VIA FED**

1,000.00 FED WIRE CREDIT FED 0544503018FF SAME 15:26 STRAIGHT
YOUR REF: O/B COMPASS BANK
REC FROM: COMPASS BANK PO BOX 10566 BIRMINGHAM AL 35296-0001
FED ID: [REDACTED] 1186
B/O CUSTOMER: /650018384442 NATHAN A HODGE [REDACTED] FORT WALTON
BEACH FL 32547
REMARK: ALEXIS AMPUDIA ACCT: 32372971
FED TIME: 15:23
REC GFP: 01182023
MRN SEQ: 060118135807HS00
FED REF: 0118 F2QCZ60C 000931 **VIA FED**

1,000.00 FED WIRE CREDIT FED 0597002125FF SAME 16:13 05/05/2006 STRAIGHT
YOUR REF: O/B BANK UNITED
REC FROM: BANK UNITED, FSB CORAL GABLES FL
FED ID: [REDACTED] 0594
B/O CUSTOMER: /0192007637 TARA REDMOND [REDACTED] CORAL SPRINGS
FL
33067
REMARK: FFC:ALEXIS AMPUDIA [REDACTED] NEW ROCHELLE, NEW YORK
10801 ACCOUNT 32372971
FED TIME: 16:07
REC GFP: 05052008
MRN SEQ: 20060505R978492
FED REF: 0505 F7B74M2C 000254 **VIA FED**

6,000.00 BOOK TRANSFER CREDIT BOOK 0045800174ET SAME 13:14 06/23/2006
STRAIGHT
YOUR REF: CSB OF 06/06/23
REC FROM: 00000907418196065 ALEXIS AMPUDIA [REDACTED] NEW
ROCHELLE NY 10801
REMARK: /BNF/ALEXIS AMPUDIA; ACCT. #32372971
REC GFP: 06231710

6,000.00 BOOK TRANSFER CREDIT BOOK 0142300212ET SAME 16:04 07/31/2006
STRAIGHT
YOUR REF: CSB OF 06/07/31
REC FROM: 00000907418196065 ALEXIS AMPUDIA [REDACTED] NEW
ROCHELLE NY 10801
REMARK: /BNF/ALEXIS AMPUDIA ACCOUNT: 32372971
REC GFP: 07312002

195.00 FED WIRE CREDIT FED 0331814006FF SAME 13:14 STRAIGHT
YOUR REF: LPAA-6KSMTY
REC FROM: COMMERCE BANK, NATIONAL ASSOCIATION NEW YORK NY
FED ID: [REDACTED] 3673
B/O CUSTOMER: /7920933558 IMMANUEL L MATHIS [REDACTED] BROOKLYN, NY
11225-
REMARK: TO ALEXIS AMPUDIA ACCNT# 32372971
FED TIME: 13:12
REC GFP: 01061812
MRN SEQ: 060106124351X102
FED REF: 0106 C1B76E1C 001000 **VIA FED**

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$20000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 11/22/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$1108.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 11/24/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$4000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 11/28/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$10000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 11/29/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$9000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 11/30/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$5000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/01/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$8725.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/04/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$9500.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/07/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$2290.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/14/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$2472.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity

CUSIP

Quantity 2

CUSIP2

Quantity 3

CUSIP3

Other Information

Process Date 12/15/2006

Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$8230.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/26/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$27000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/28/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$5000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 12/29/2006
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$3500.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 01/03/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$2400.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity

CUSIP

Quantity 2

CUSIP2

Quantity 3

CUSIP3

Other Information

Process Date 01/04/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$9000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 01/05/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$15000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 01/23/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$5200.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 01/26/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance Yes
Amount \$6384.68 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/02/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance Yes
Amount \$6384.68 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/02/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$7000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/14/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$1200.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 5065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/16/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$6500.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Thlrd Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/20/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$7000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Thirld Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/21/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$15000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/22/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$21000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 02/27/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$113285.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 03/02/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$21000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 03/06/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$21000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity
CUSIP
Quantity 2
CUSIP2
Quantity 3
CUSIP3

Other Information

Process Date 03/06/2007
Charge Domestic Wire Fee To Customer

Fee Summary

Domestic Wire Fee 15.00
Total Fees 15.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature

Date

• Wire Transfer •

Penson Account Information

Account 07-32372971
Account Name ALEXIS AMPUDIA
Account Type 2 - Margin
Account Balance No
Amount \$12000.00 (USD)

Recipient Bank Information

Bank ABA Number 021000021
Foreign Wire No

Beneficiary Information

Bank Account Number [REDACTED] 6065
Third Party No
Beneficiary Name ALEXIS AMPUDIA
Beneficiary Address Line 1 [REDACTED]
Beneficiary Address Line 2 [REDACTED]

For Further Credit To

Intermediate Account No

Security Detail

Quantity

CUSIP

Quantity 2

CUSIP2

Quantity 3

CUSIP3

Other Information

Process Date 03/27/2007
Charge Prepay Fee To Customer
Charge Domestic Wire Fee To Customer

Fee Summary

Pre-pay Fee 20.00
Domestic Wire Fee 15.00
Total Fees 35.00

Your request has been submitted successfully.

I agree to hold all parties acting on this request, including the introducing broker and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

Signature _____

Date _____

Incoming Wire Transfer 650.00

REF1 : 2005111600000303

REF2 : JTNI-6J6Q97

SENDER : [REDACTED] 2109

: ALEXIS G AMPUDIA

: MILCIADES A ROJAS

: [REDACTED]

: POUGHKEEPSIE, NY 12601

SENDING BANK : COMMERCE BANK, NA

: CHERRY HILL, NJ

BANK TO BANK INFO : /OBI/FFC

: /BNF/[REDACTED] 4221

: ALEXIS G AMPUDIA

VALUE DATE : 051116

CURRENCY CODE : USD

FED REFERENCE : 00199

Incoming Wire Transfer 500.00

REF1 : 050714001345

REF2 : 000114723

SENDER : SASHA ALLEYNE

SENDING BANK : US CENTRAL CREDIT UNION

: LENEXA, KS

BANK TO BANK INFO : /BNF/[REDACTED] 4221

: ALEXIS AMPUDIA

: /REC/FFC

VALUE DATE : 050714

CURRENCY CODE : USD

FED REFERENCE : 00259

Incoming Wire Transfer 220.00

REF1 : 050624000918

REF2 : 050624450021

SENDER : / [REDACTED] 8710

: SHALANA A ALLEYNE

: [REDACTED]

: [REDACTED]

: BLADENSBURG MD 20710

SENDING BANK : WACHOVIA BANK NA OF CONNECTICUT

: NEWARK, NJ

BANK TO BANK INFO : /OBI/FOR FURTHER CREDIT TO ALEXIS AMPUD

: IA 206 CREEK RD POUGHKEEPSIE NE 126

: 01 ACCOUNT NO [REDACTED] 4221

VALUE DATE : 050624

CURRENCY CODE : USD

FED REFERENCE : 00071

Incoming Wire Transfer 400.00

REF1 : 050617001801

REF2 : 050617300044

SENDER : / [REDACTED] 8308

: SHALANA A ALLEYNE

: [REDACTED]

: [REDACTED]

: BLADENSBURG MD 20710

SENDING BANK : WACHOVIA BANK NA OF MARYLAND

: BETHESDA, MD

BANK TO BANK INFO : /OBI/FOR FURTHER CREDIT ALEXIS AMPUDIA F

: OR ACC [REDACTED] 4221

VALUE DATE : 050617

CURRENCY CODE : USD

FED REFERENCE : 00242

Incoming Wire Transfer 400.00

REF1 : 050517000818

REF2 : DLOB 6CGHSR

SENDER : [REDACTED] 2109

: ALEXIS G AMPUDIA

: MILCIADES A ROJAS

[REDACTED]

: POUGHKEEPSIE, NY 12601

SENDING BANK : COMMERCE BANK, NATIONAL ASSOCIATION

: NEW YORK, NY

BANK TO BANK INFO : /REC/NO FFC

VALUE DATE : 050517

CURRENCY CODE : USD

FED REFERENCE : 00345

Incoming Wire Transfer 200.00

REF1 : 050502003449

REF2 : BLAT 6BZMUK

SENDER : [REDACTED] 2109

: ALEXIS G AMPUDIA

: MILCIADES A ROJAS

[REDACTED]

: POUGHKEEPSIE, NY 12601

SENDING BANK : COMMERCE BANK, NATIONAL ASSOCIATION

: NEW YORK, NY

BANK TO BANK INFO : /OBI/FURTHER CREDIT TO ALEXIS AMPUDIA AC

: CT # [REDACTED] 4221 ADDRESS: [REDACTED]

: POUGHKEEPSIE NY 12601

VALUE DATE : 050502

CURRENCY CODE : USD

FED REFERENCE : 01676

Incoming Wire Transfer 893.00
REF1 : 2005100300003110
REF2 : MBUT-6GTJB3
SENDER : [REDACTED] 2109
: ALEXIS G AMPUDIA
: MILCIADES A ROJAS
: [REDACTED]
: POUGHKEEPSIE, NY 12601
SENDING BANK : COMMERCE BANK, NATIONAL ASSOCIATION
: NEW YORK, NY
BANK TO BANK INFO : /OBI/FFC
: /BNF/[REDACTED] 8011
: ALEXIS AMPUDIA
VALUE DATE : 051003
CURRENCY CODE : USD
FED REFERENCE : 01529

3771: AMPUDIA ALEXIS		Margin: No	Money Mkt: No	Approved
Account Closed - CUSTOMER REQUEST (03/22/2007)				
Cash Disbursement: 3254553				
Amount:	\$1,500.00	Requested On:	03/16/2007 13:41:44	
Date To Send:	03/16/2007	Created By:	BURGJL / BOS	
End Date :		Send Now Flag:	No	
Frequency:	One-Time	Money Mkt Redemp:	No	
Transfer Type:	Wire	Charge Fees To:	3771	
Transfer Method:	Domestic: One Bank	Fee Ovr Initials:		
Bank ID:	FNB	Tracking #:		
Transaction Comments				
no comment entered		no comment entered		
Contact Information				
Name: AMPUDIA ALEXIS	Phone:	Email:		
Street:				
City: POUGHKEEPSIE	State: NY	Zip: 12601-1245	Nation:	
Domestic: One Bank Information				
LOA on File:	No	AWD Number:		
Bank Name:	CHASE MANHATTAN	City:	BROOKLYN	
ABA/Routing #:	021000021	State:	NY	
For Benefit of:	ALEXIS AMPUDIA	Account Number:	6065	
Notes		Fees		
		WIRE FEE	\$20.00	
		Total Fees:	\$20.00	
Pending Messages				
Cashiering Department: Approved by User BRO125 on 03/16/2007 13:42:08				
- Send WIRE requests for Cashiering review				
Close Window		Print Cash Disb		Show W-4P

EXHIBIT 2

Declaration of Lisa A. Amador

I, Lisa A. Amador, pursuant to 28 U.S.C. §1746, declare as follows:

1. I make this Declaration of my personal knowledge and am competent to testify thereto.
2. I am an adult resident of the State of California.
3. I am employed by Wells Fargo Investments, LLC ("Wells Fargo") as Senior Vice President, Compliance Manager.
4. I have been employed by Wells Fargo since 1994. I have been employed in the securities industry since 1979 and I hold Series 4, 7, 8, 24, 63 and 65 Registrations.
5. Wells Fargo is a member broker-dealer of the National Association of Securities Dealers. Its principal office is located in San Francisco, California.
6. Wells Fargo customers are able to place orders to purchase or sell securities by accessing their brokerage accounts online. A Wells Fargo brokerage account can only be accessed online by entering into the website a unique username and password that is assigned to each customer.
7. Wells Fargo account number 6800-7022 ("Account"), titled in the name of John J. Murphy & Donna M. Murphy, as Joint Tenants, was initiated online on February 1, 2007, via an electronic deposit in the amount of \$98,500.00 using funds from a Citibank bank account held by John J. Murphy. Numerous trades in stocks with the ticker symbols MINT were placed in the Account via the Internet from the IP address 24.90.135.144 . On February 9, 2007, 50,000 shares of MINT were purchased at .49 per share, and another 35,000 shares purchased at .3914 per share.

8. Wells Fargo compliance personnel assessed the Account activity to determine whether fraudulent or manipulative activity had occurred in the Account

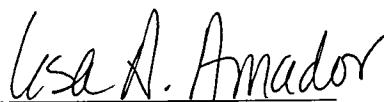
9. We were contacted by the true John Murphy notifying us that his identity had been stolen and he had not authorized the transfer of \$98,500 from his Citibank account, nor had he authorized the opening of the Account.

10. Wells Fargo closed the Account on March 2, 2007. The unauthorized trades of MINT in the Account resulted in trading losses of \$29,201.04 which were borne by Wells Fargo.

11. Wells Fargo has provided to the Securities and Exchange Commission records relating to the unauthorized trades, including, but not limited to: (a) the aforementioned Account number and account opening documents; and (b) the IP addresses used to access the Account.

I declare under penalty of perjury that the foregoing is true and correct.

Executed: 3/27, 2007
San Francisco, California



Lisa A. Amador

EXHIBIT 3

Declaration of Cynthia Temple

I, Cynthia Temple, pursuant to 28 U.S.C. §1746, declare as follows:

1. I make this Declaration of my personal knowledge and am competent to testify thereto.
2. I am an adult resident of the Commonwealth of Massachusetts.
3. I am employed by Banc of America Investment Services, Inc. ("BAI") as Senior Vice President, Business Executive – Operations.
4. I have been employed by BAI since June 1999. My primary duties include operations and regulatory supervision. I have been employed in the securities industry since 1994 and I hold Series 4, 6, 7, 24, 27, 28, 53, 63, and 65 Registrations.
5. BAI is a member broker-dealer of the NASD, Inc. BAI's principal office is located in Boston, Massachusetts.
6. Certain BAI customers are able to place orders to purchase or sell securities by accessing their brokerage accounts online. Such BAI brokerage accounts can only be accessed online by entering into the website a unique username and password.
7. As part of its operations, BAI assesses account activity to determine whether fraudulent or manipulative activity has occurred in an account. BAI also monitors for unauthorized trades using a multi-method approach, including, but not limited to: (i) data obtained from Internet Protocol ("IP") address monitoring, (ii) information sharing among other brokerage firms, (iii) utilizing BAI's trading system to identify manipulative trades and trades out of the client's normal pattern, (iv) the monitoring of spam e-mails, and (v) inquiries from clients.

8. Further, as part of its operations, BAI, among other things, takes the following steps:

- (a) review accounts for suspicious or unusual behavior;
- (b) restrict from further trading any accounts identified as having a history of suspicious behavior;
- (c) contact clients to attempt to determine the validity of orders placed;
- (d) utilize IP addresses and other historical data to confirm the client's statements, should the client verifies that an order is unauthorized; and
- (e) cross-reference any suspicious IP address against BAI's account database to identify other accounts that may be connected to the intrusion, and take action to protect those accounts.

9. BAI account number CV4-208256, titled in the name of Peter W. Morgan, was opened online on December 18, 2006. An online order was placed on December 20, 2006 to buy shares of a security with the ticker symbol LPHCW. On December 26, 2006, \$1,995.00 was transferred into account number CV4-208256 from a Bank of America Corp. ("Bank of America") bank account held by Peter W. Morgan. BAI account number CV4-208256 was accessed via the Internet from the IP address 70.116.107.167. On December 27, 2006, Peter Morgan, a Bank of America account holder, and his Bank of America Premier Client Manager, Barbara Miller, notified BAI that Mr. Morgan did not open BAI account number CV4-208256, request the transfer of funds from his Bank of America account, or place any other orders to trade. BAI has closed account number CV4-208256. The unauthorized trades of LPHCW in account number CV4-208256 resulted in trading losses of \$1,890.01, which were borne by BAI.

10. BAI account number NY3-057860, titled in the name of John Murphy, was opened online on December 8, 2006. On December 11, 2006, an individual who identified himself as John Murphy contacted BAI to set up an Automated Clearinghouse Debit (ACH) link between BAI account number NY3-057860 and a joint Citibank bank account held by John and Donna Murphy (which would allow the transfer of funds from the Citibank bank account to the brokerage account). Upon receiving notification that a brokerage account had been opened in his name, on December 12, 2006, the true John and Donna Murphy contacted BAI, and Mr. Murphy confirmed that he did not open BAI account numbers NY3-057860. On December 14, 2006, an online trade was placed in BAI account number NY3-057860 to purchase shares of a security with the ticker symbol DKGR, but was promptly rejected. No funds were transferred from the Citibank bank account to BAI account number NY3-057860, which was subsequently closed. BAI account number NY3-057860 was accessed via the Internet from the IP address 70.5.118.15.

11. BAI account number NY3-056871, titled in the name of Donna Murphy, was opened online on December 6, 2006. Upon receiving notification that a brokerage account had been opened in the name of Donna Murphy, on December 12, 2006, the true John and Donna Murphy contacted BAI, and Mrs. Murphy confirmed that she did not open BAI account number NY3-056871. No funds were transferred from the Citibank bank account to BAI account number NY3-056871, which was subsequently closed. BAI account number NY3-056871 was accessed via the Internet from the IP address 69.122.128.66.

12. BAI account number NY3-060003, titled in the name of Larisa Korshak, was opened online on December 18, 2006. On December 19, 2006, an individual who identified herself as Larisa Korshak provided BAI with information and documentation to establish an electronic funds transfer link between BAI account number NY3-060003 and a Washington Mutual Inc. ("Washington Mutual") bank account held by Larisa Korshak. On December 20, 2006, a request was made to transfer \$100,000.00 into BAI account number NY3-060003 from the Washington Mutual bank account. Online trades in securities with the ticker symbols LPHCW, LDGIW, LEXI, and MDJT were placed between December 21, 2006 and December 22, 2006 in the account via the Internet. BAI account number NY3-060003 was accessed via the Internet from the IP address 70.5.159.171. On December 22, 2006, an additional transfer of \$100,000 was requested into BAI account number NY3-060003 from the Washington Mutual bank account. BAI identified suspicious trading activity in this account on December 27, 2006, and attempted to contact Ms. Korshak, but was unsuccessful. That same day, BAI suspended online access to the account. On December 29, 2006, Washington Mutual Bank notified BAI that a \$100,000 transfer was returned due to insufficient funds. On January 4, 2007, BAI was notified by the true Korshak that she had not opened the account, authorized the funds transfers or ordered the trading in BAI account number NY3-060003. The unauthorized trades of LPHCW, LDGIW, LEXI, and MDJT in account number NY3-060003 resulted in trading losses of \$179,582.00, which were borne by BAI.

13. BAI account number NY3-064033, titled in the name of Peter Marshall, was opened online on January 4, 2007. On January 9, 2007, an individual who identified himself as Peter Marshall provided BAI with information and documentation to establish

an electronic funds transfer link between BAI account number NY3-064033 and a Citibank bank account held by Peter Marshall. On January 10, 2007, \$250,000.00 was transferred into BAI account number NY3-064033 from the Citibank bank account. Online trades in securities with the ticker symbols TMOL and STEK were placed on January 11, 2007 in the account via the Internet. BAI account number NY3-064033 was accessed via the Internet from the IP address 24.90.135.144. BAI identified this activity as being similar to that of other fraudulently opened accounts and rejected the trades. BAI closed account number NY3-064033. The true Mr. Marshall contacted BAI and he verified that he did not open BAI account number NY3-064033 or request the transfer of funds from his Citibank bank account.

14. BAI account number NY3-065714, titled in the name of Josefa Rosa, was opened online on January 8, 2007. On January 9, 2007, an individual who identified herself as Josefa Rosa provided BAI with information and documentation to establish an electronic funds transfer link between BAI account number NY3-065714 and a Washington Mutual bank account held by Josefa Rosa. On January 11, 2007, requests were placed to transfer \$58,000 and \$97,000, respectively, from Washington Mutual bank to BAI account number NY3-065714. That same day, online trades in securities with the ticker symbols GOOG, FCCN, DKGR, and STEK were placed in the account via the Internet. BAI account number NY3-065714 was accessed via the Internet from the IP address 24.90.135.144. The requested transfer of \$97,000 was rejected due to insufficient funds. BAI identified this activity as being similar to that of other fraudulently opened accounts and rejected the trades. On January 18, 2007, Mrs. Rosa contacted BAI and confirmed that she did not open account number NY3-065714, or

3-29-07 3:26PM:Bank of America

1401 842 6444

1 / 1

request any transfers of money in connection with that account. BAI has closed account number NY3-065714 and returned the funds to Washington Mutual bank.

15. BAI account number NY3-064068, titled in the name of Ralph Saal, was opened online on January 3, 2007. On January 9, 2007, an individual who identified himself as Ralph Saal provided BAI with information and documentation to establish an electronic funds transfer link between BAI account number NY3-064068 and a Citibank bank account held by Ralph Saal. On January 24, 2007, a request was made to transfer \$103,550.00 from the Citibank bank account to BAI account number NY3-064068. On January 25, 2007, BAI identified this activity as being similar to that of other fraudulently opened accounts and did not initiate the cash transfer. No funds were transferred into BAI account number NY3-064068 and this account has been restricted. BAI account number NY3-064068 was accessed via the Internet from the IP address 24.90.135.144.

16. The total losses incurred by BAI as a result of the aforementioned activity are approximately \$180,000.00.

17. BAI has provided to the U.S. Securities and Exchange Commission records relating to the unauthorized trades detailed in paragraphs 9 – 16 above, including, but not limited to (a) the aforementioned account numbers and account opening documents, and (b) the IP addresses used to access each of the accounts.

I declare under penalty of perjury that the foregoing is true and correct.

Executed: March 29, 2007
Boston, Massachusetts

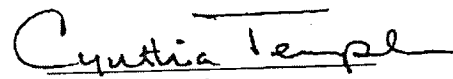

Cynthia Temple

EXHIBIT 4

Declaration of James M. Heeney

I, James M. Heeney, pursuant to 28 U.S.C. §1746, declare as follows:

1. I make this Declaration of my personal knowledge and am competent to testify thereto.
2. I am an adult resident of the State of Nebraska.
3. I am employed by TD Ameritrade, Inc. ("TD Ameritrade") as Managing Director, Compliance Operations.
4. I have been employed by TD Ameritrade since February 9, 1998. My primary duties include day-to-day management of TD Ameritrade's Client and Regulatory Relations.
5. I have been employed in the securities industry since February 1998 and I hold Series 63, 7 and 24 Registrations.
6. TD Ameritrade is a broker-dealer and member of the National Association of Securities Dealers. Its principal office is located in Bellevue, Nebraska.
7. TD Ameritrade customers are able to place orders to purchase or sell securities by accessing their brokerage accounts online. A TD Ameritrade brokerage account can only be accessed online by entering into the website a unique username and password that is assigned to each customer.
8. TD Ameritrade has procedures reasonably designed to detect and prevent fraudulent or manipulative activity from occurring in client accounts. TD Ameritrade Compliance and Fraud Analysts are responsible for the assessment of account activity to determine whether fraudulent or manipulative activity has occurred in an account.

9. TD Ameritrade account number 785-934062, titled in the name of Beth A. Rothstein, was opened on November 13, 2006 via an electronic deposit in the amount of \$85,500.00 with funds from a Citibank bank account held by Beth A. Rothstein. Between November 17, 2006 and November 29, 2006, trades for the purchase of 357,000 shares of WMDB; 348,647 shares of OWLD; 826,600 shares of ACMM; and 1,664,667 shares of LPHCW were placed in the account via the Internet from the IP address 24.90.135.144. . On December 6, 2006, TD Ameritrade contacted Citibank and confirmed that bank account holder, Ms. Rothstein, had not authorized the electronic funding deposit of \$85,000.00 to open TD Ameritrade account number 785-934062. TD Ameritrade contacted Ms. Rothstein on December 7, 2006, and she verified that she did not open an account with TD Ameritrade or request the transfer of funds from her Citibank bank account. TD Ameritrade closed account number 785-934062. The unauthorized trades of WMDB, OWLD, ACMM and LPHCW in account number 785-934062 resulted in trading losses of \$80,123.11, which were borne by TD Ameritrade.

10. TD Ameritrade account number 785-934420, titled in the name of Ronald S. Frankel, was opened November 13, 2006, via an electronic deposit in the amount of \$95,750.00. On November 29, 2006, an Automated Clearinghouse Debit (ACH) deposit in the amount of \$100,000.00 was posted to the account. Both deposits were funded by a Citibank bank account held by Ronald S. Frankel. Between the dates of November 24 and November 27, 2006, trades for purchases of 370,000 shares of WMDB and 428,300 shares of CDDJ were placed in the account via the Internet from the IP addresses 24.90.135.144 and 67.102.52.250. On December 4, 2006, TD Ameritrade was notified by the Citibank bank account holder, Mr. Frankel, that he had not authorized the

electronic funding deposits to open and fund TD Ameritrade account number 785-934420. TD Ameritrade also contacted Mr. Frankel on December 8, 2006, and he again verified that he did not open an account with TD Ameritrade or request the transfer of funds from his Citibank bank account. TD Ameritrade closed account number 785-934420. The unauthorized trades of WMDB and CDDJ in account number 785-934420 resulted in trading losses of \$89,263.07, which were borne by TD Ameritrade.

11. TD Ameritrade account number 785-979281, titled in the name of Albert Melino, was opened November 20, 2006, via an electronic deposit in the amount of \$55,000.00 using funds from a Citibank bank account held by Albert Melino. On November 21, 2006, TD Ameritrade contacted Citibank and confirmed the bank account identified had insufficient funds to cover the electronic funding deposit. TD Ameritrade attempted to contact Mr. Melino but was unsuccessful. TD Ameritrade closed account number 785-979281 and did not incur any losses in this account. This account was opened and funded via the internet by the IP address 24.90.135.144.

12. TD Ameritrade account number 786-309355, titled in the name of Peter Marshall, was opened January 12, 2007, via an electronic deposit in the amount of \$150,000.00 using funds from a Citibank bank account held by Peter Marshall. On January 19, 2007, TD Ameritrade was notified by Citibank Fraud Investigator, Louis Rapp that the Citibank bank account identified had been frozen. TD Ameritrade

contacted Mr. Marshall on January 19, 2007, and he verified that he did not open an account with TD Ameritrade. TD Ameritrade closed account number 785-309355 and did not incur any losses in this account. This account was opened and funded via the internet by the IP address 24.90.135.144.

13. The total losses incurred by TD Ameritrade as a result of the aforementioned activity is \$169,386.18.

14. TD Ameritrade has provided to the Securities and Exchange Commission records relating to the unauthorized trades, including, but not limited to: (a) the aforementioned account numbers and account opening documents; and (b) the IP addresses used to access each of the accounts.

I declare under penalty of perjury that the foregoing is true and correct.

Executed: March 22, 2007
Bellevue, Nebraska

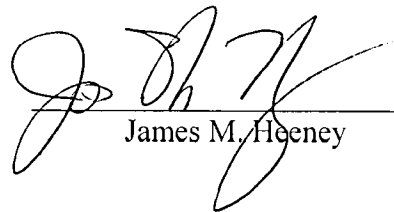

James M. Heeney

EXHIBIT 5

Declaration of Ellis Hough

I, Ellis Hough, pursuant to 28 U.S.C. §1746, declare as follows:

1. I make this Declaration of my personal knowledge and am competent to testify thereto.
2. I am an adult resident of the State of Missouri.
3. I am employed by Scottrade Inc. ("Scottrade") as the Risk Manager.
4. I have been employed by Scottrade since March 7, 1997. I have been employed in the securities industry since 1992 and I hold Series 63, 7 and 24 Registrations.
5. Scottrade is a member broker-dealer of the National Association of Securities Dealers. Its principal office is located in St Louis, Missouri.
6. Scottrade customers are able to place orders to purchase or sell securities by accessing their brokerage accounts online. A Scottrade brokerage account can only be accessed online by entering into the website a unique username and password that is assigned to each customer.
7. Scottrade Risk Management personnel are responsible for the assessment of account activity to determine whether fraudulent or manipulative activity has occurred in an account.
8. Scottrade account number 70611570, titled in the name of Beth Rothstein, was initiated online on November 7, 2006. The account was funded via an Automated Clearinghouse Credit in the amount of \$98,500.00 using funds from a Citibank bank account. Numerous trades in stocks with the ticker symbols ATOM and CMDCQ were placed in the account via the Internet from the IP address 24.90.135.144 .

9. Scottrade was notified of the fraudulent account opening by Ms. Rothstein when funds from her Citibank account were transferred to her Scottrade account.

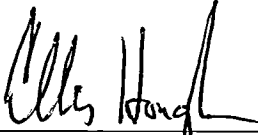
10. Scottrade closed account number 70611570 on November 22, 2006. The unauthorized trades of ATOM and CMDQC in account number 70611570 resulted in trading losses of \$71,116.50, which were borne by Scottrade.

11. Scottrade has provided to the Securities and Exchange Commission records relating to the unauthorized trades set forth above, including, but not limited to: (a) account documents; and (b) the IP addresses used to access the account.

I declare under penalty of perjury that the foregoing is true and correct.

Executed:

March 27, 2007
St. Louis, Missouri



Ellis Hough

EXHIBIT 6

Declaration of Peter Marshall

I, PETER MARSHALL, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am 59 years old and reside in New York, New York. I am currently self-employed as a private investor. I make this declaration based upon information and belief. The sources of my information and the bases of my belief are my own personal knowledge and documents obtained by and/or reviewed by me. Original or true and correct duplicates of these documents are annexed as exhibits to this declaration.
2. I do not know of and have never met an individual named Alexis Ampudia.
3. I am customer of Citibank and have a money market account with Citibank ("Citibank Account").
4. I understand that on January 3, 2007, an online brokerage account was opened in my name at Banc of America Investment Services, Inc. (Account No. NY364033) ("Brokerage Account"). I did not open this Brokerage Account and I did not authorize anyone to open this account on my behalf. Attached as Exhibit A is a copy of the Brokerage Account opening information. The information contained in Exhibit A was not supplied by me and the email address (peterfs32@aol.com) and home telephone number (212-526-2920) provided under Contact Information do not belong to me. However, the social security number and date of birth contained in Exhibit A do belong to me.
5. Attached as Exhibit B is a Banc of America Investment Services, Inc. Electronic Funds Transfer Link Request form ("Form"). This Form was not completed by me and I did not authorize anyone to complete the Form on my behalf. The signature at the bottom the Form does not belong to me.

6. Page 2 of Exhibit B is a copy of a check bearing the name "Peter Marshall" with my Citibank Account number. My Citibank Account does not issue checks and I did not provide this check to Banc of America Investment Services, Inc.
7. On January 11, 2007, \$250,000 was wired from my Citibank Account to the Brokerage Account. I did not initiate this transfer and I did not authorize anyone to initiate this transfer on my behalf. Attached as Exhibit C is a copy of my Citibank Account transaction journal which indicates that on January 11, 2007, \$250,000 was debited from my Citibank Account.
8. I have been informed that on January 11, 2007, a buy order for 50,000 shares of Trimol Group Inc. and four separate buy orders for a total of 205,000 shares of Systemone Technologies Inc. were entered for the Brokerage Account. I did not place these orders and I did not authorize anyone to enter these orders on my behalf.
9. Prior to January 11, 2007, I had never heard of Trimol Group Inc. (Ticker: TMOL). I have never purchased or authorized anyone to purchase on my behalf any stock of Trimol Group Inc.
10. Prior to January 11, 2007, I had never heard of Systemone Technologies Inc. (Ticker: STEK). I have never purchased or authorized anyone to purchase on my behalf any stock of Systemone Technologies Inc.

I declare under penalty of perjury that the foregoing is true and correct.

Executed: NEW YORK, NEW YORK
Date: March 23, 2007


Peter Marshall

Exhibit A

Data Archive for Account: NY3-064033**Date: 01/03/2007 10:09:43****Registration****Registration****OSJ: NY3 - New York****Associate: DST - House Primary****Product Type: Standard Brokerage****Registration Type: Individual****Tax Status: U.S. Citizen****SSN: [REDACTED]****Check here if the client is a BofA employee: No****Legal Country of Residence: United States****Legal State of Residence: New York****Annulty Related Account: No****529 Related Account?: No****Collateral Account: No****Online Channel: Online****Channel Type: Online****Account Parties****PrimaryParty (Individual)****Inherent Risk Score: [REDACTED]****Tax Status: U.S. Citizen****SSN: [REDACTED]****Legal Country of Residence: United States**

If a significant portion of the client's source of wealth/revenue/income is derived from a country other than those captured in the country of Citizenship or Residency, please select the country from the drop down.: N/A

Name and Tax Information**Salutation: N/A****First Name: Peter****Middle Name: N/A****Last Name: Marsha****Title: N/A****Date of Birth: [REDACTED]****Identification Information****Identity Verification Method: Drivers License**

Driver's License/Government ID Number: [REDACTED]

Issuing State: New York

Expiration Date: [REDACTED]

Date Of Issuance: [REDACTED]

Did you physically review original ID?: No

Describe method of verification: KYC - IC Exception

Date of verification: 01/03/2007

Describe verification discrepancy results: KYC - IC Exception

Legal Address

Mailing Address Same as Legal Address: Yes

Attention: N/A

Street Address Line 1: [REDACTED]

Street Address Line 2: N/A

City: new york

Legal State of Residence: New York

ZIP Code: [REDACTED]

Employment Information

Source of Income/Revenue: Investment Income

Provide additional details on Income: IC Exception

Employment Status: Not Employed

Previous Occupation/Employment: Movie Director

Contact Information

E-mail Address: peterfs32@aol.com

Home Phone: (212) 526-2920

Additional Contact: No

Work Phone: N/A

Additional Contact: No

Affiliation Information

Are you employed by or related to an employee of Bank of America or any of its affiliates?: No

Director, Shareholder or Policy Making Officer of Publicly Traded Company: No

Affiliated with a Financial Institution: No

Know Your Customer Information

Estimated Annual Income: \$105,000

Comments (Explain any additional information or documentation, including source of funds for initial investment and source of net worth.): IC Exception

Are you, or any authorized signatories, beneficial owners, trustees, power of attorney or other individuals with authority to effect transactions, a Politically Exposed Person (PEP) also known as Senior Non U.S. Political Figure?: No

Confidential Treatment Requested by BAI under FOIA

SEC_VPFEB07_000011

Is the client a 25% owner of the following business types?: DEFAULT - Individual

Is the client a 25% owner of the additional following business types?: N/A

Instructions

Instructions

Trade Pending

Trade Pending: No

All account information and agreements sent to each account holder?: No

Sweep Instructions

Preferred Sweep Vehicle: Money Market

Money Market: NSHXX

Link an Account: No

Suitability

Suitability

General Suitability Information

Marital Status: S

Number of Dependents: 0

Specific Investment Knowledge

Stocks: 1982

Bonds: NA

Mutual Funds: NA

Options: NA

Other: NA

Investment Objectives

Preservation of Capital: 2

Income: 3

Appreciation: 1

Speculation: 4

Other: No

Risk Tolerance: Moderate

Initial Portfolio Horizon: Long (Over 10 Years)

Net Worth (Primary and Additional Account Holders)

Combined Annual Income: \$105,000

Household net worth: \$1,230,000

Describe source of net worth: KYC - IC Exception

How was Estimated Net Worth determined?: Other - Explain

Other/Discussion: UNKNOWN

Combined Investable Assets: \$500,000

Federal Tax Bracket: Over 27.5%

Know Your Customer Information

Initial Funding Amount: \$500,000

Primary Source of funds for Initial Investment: Savings

Provide additional details: KYC - IC Exception

Purpose Of Account: Children school/Ed Dally Exp

Expected number of monthly deposits (including wire transactions): 0

Expected monthly wire activity (Incoming): 0

Expected number of monthly withdrawals (including wire transactions): 0

Expected monthly wire activity (Outgoing): 0

Expected Balance to be maintained in the account: \$500,001 - \$1,000,000

Authorization / Referral

There are no Authorizations for this account.

Referral Information

Referral

Referred Account: No

Interested Party

There are no Interested Parties for this account.

Beneficiary

There are no IRA Beneficiaries for this account.

Submitted User

House Primary

Principaled By

Thomas Fagan

Comments

Previous Comments

No comments have been logged to this account.

Exhibit B

01/09/2007 11:15 7166223850

PARK HEIGHTS STATION

PAGE 01/02

Bank of America Investment Services, Inc.*

For use 784-412-1218 (Call Service Line) or 784-412-1245 (Fax Service Line) or 784-412-1245 (Toll-Free Service Line)

Electronic Funds Transfer (EFT) Link Request

Please complete a separate form for each Financial Institution (FII) you wish to link to your Bank of America Investment Services, Inc. (BAI) account. This form will enable you to establish an Electronic Funds Transfer (EFT) link between your bank account and your BAI account. This form is valid for use only for the purpose of establishing an EFT link between your bank account and your BAI account. It is not valid for use for any other purpose. Please read the instructions carefully and complete the form accurately. If you have any questions, please call 784-412-1218.

For all EFT/ACH transactions, the account title and expiration of the EFT/ACH link must match exactly.

Client Information

Print your name as it appears on your BAI/Investment account.

Name(s) on Account Peter Marshall

Account Number: NY8064033

Home Phone: (212) 526 2920

Work Phone: (212) 526 2920

Bank Information (For remote access transactions only - for EFT/ACH link)

Complete the following information for all bank accounts that you wish to link to your BAI/Investment account. A valid check is required for all banks.

Indicate EFT link for: ☒ Checking ☐ Savings ☐ Other

Bank Name: Citi Bank

Name(s) on your Bank Account: Peter Marshall

Bank Account Number: XXXXXXXXXX

Bank ABA/Routing Number: XXXXXXXXXX

☒ For all transactions (ONLY available for non-employment bank accounts)

☐ For ACH transactions

☐ For investment distributions

Periodic Investment Plan (PIP) EFT Link (Indicate how you PIP EFT link is used)

Complete the following information for all bank accounts that you wish to link to your BAI/Investment account. A valid check is required for all banks.

☐ Check only (our Bank of America bank account)

Bank Account Number: XXXXXXXXXX

Bank ABA/Routing Number: XXXXXXXXXX

Date: 1/5/07

Check type of bank account (check only): ☐ Checking ☐ Savings

☒ Check only (our Bank of America bank account) (Valid check is required)

Bank Name: Citi Bank

Bank Account Number: XXXXXXXXXX

Bank ABA/Routing Number: XXXXXXXXXX

Date: 1/5/07

Check type of bank account (check only): ☐ Checking ☐ Savings

Postnote: Postnote accounts are not eligible and your bank must be a member of the Automated Clearing House (ACH) system.

Authorization

Peter Marshall
By: Peter Marshall
Signature of Client/Investor or Authorized Agent

Date: 1/5/07

Signature of Bank Representative (if required) (if applicable)

Date: 1/5/07

We warrant you in favor of the investment products such as checks, bank and mutual fund provided by Bank of America Investment Services, Inc.:

☒ Are Not FDIC Insured ☒ May Lose Value ☒ Are Not Bank Guaranteed

Bank of America Investment Services, Inc. is a registered broker-dealer member of FINRA and SIPC, and is not a bank. Bank of America Investment Services, Inc. is not a bank. Please read the prospectus for the investment product carefully. Bank of America Investment Services, Inc. is not a bank. Please read the prospectus for the investment product carefully.

OMM
1/9/07

81/09/2007 11:15 7186223868

PARK HEIGHTS STATION

PAGE 02/02

PETER HANFALL	0120
Pay to the order of	\$
citibank	
40160000840	0120

Exhibit C



Transaction Journal

PETER MARSHALL
[REDACTED]
[REDACTED]

XXXXXX452
CITI(R) MMA

<u>Date</u>	<u>Description</u>	<u>Credit Amount</u>	<u>Debit Amount</u>	<u>Balance</u>
01/11/2007	Premath debit NAT'L FIN SVC LLC EFT 000000000		\$250,000.00	[REDACTED]

EXHIBIT 7

Declaration of Beth Rothstein

I, BETH ROTHSTEIN, pursuant to 28 U.S.C. § 1746, declare as follows:

1. I am 59 years old and reside in New York, New York. I am currently employed as a Financial Executive at Citicorp Investment Services. I make this declaration based upon information and belief. The sources of my information and the bases of my belief are my own personal knowledge and documents obtained by and/or reviewed by me.

Original or true and correct duplicates of these documents are annexed as exhibits to this declaration.

2. I do not know of and have never met an individual named Alexis Ampudia.

3. I am a customer of Citibank and have a savings account with Citibank ("Citibank Account").

4. It is my understanding that on November 7, 2006, an online brokerage account was opened in my name at Scottrade Inc. (Account No. 70611570) ("Scottrade Account"). I did not open this Scottrade Account and I did not authorize anyone to open this account on my behalf. Attached as Exhibit A is a copy of the Scottrade Account opening information. The information contained in Exhibit A was not supplied by me and the email address (bethstein1@yahoo.com), home phone (212-325-6944), and address (868 United Nations Plz, Apt 11F, New York, NY 10017) provided under Applicant Information do not belong to me. However, the social security number and date of birth contained in Exhibit A do belong to me.

5. It is my understanding that on November 13, 2006, an online brokerage account was opened in my name at TD Ameritrade Inc. (Account No. 785-934062) ("TD

Ameritrade Account"). I did not open this TD Ameritrade Account and I did not authorize anyone to open this account on my behalf. Attached as Exhibit B is a copy of the TD Ameritrade Account opening information. The information contained in Exhibit B was not supplied by me and the email address (BETHSMOKER1@GOOWY.COM), address (866 1st Ave, Apt 11F, New York, NY 10017), telephone (212-723-4123) and mother's maiden name (Johnson) provided under New Account Information do not belong to me. However, the social security number and date of birth contained in Exhibit B do belong to me.

6. On or about November 16, 2006, \$98,500 was wired from my Citibank Account to the Scottrade Account. I did not initiate this transfer and I did not authorize anyone to initiate this transfer on my behalf. Attached as Exhibit C is a copy of my Citibank Account transaction journal which indicates that on November 16, 2006, \$98,500 was transferred from my Citibank Account.

7. On or about November 14, 2006, \$85,500 was wired from my Citibank Account to the TD Ameritrade Account. I did not initiate this transfer and I did not authorize anyone to initiate this transfer on my behalf. See Exhibit C, which indicates that on November 14, 2006, \$85,500 was transferred from my Citibank Account.

8. I have been informed that between November 17, 2006 and December 6, 2006, buy and sell orders were entered in the Scottrade Account and TD Ameritrade Account for stocks in Wendt-Bristol Health Services Corp., OneWorld Systems, Inc., Accom, Inc., Atomic Burrito Inc., Carematrix Corp., and Locateplus Holdings Corp. warrants. I did not place these orders and I did not authorize anyone to enter these orders on my behalf.

9. Prior to November 17, 2006, I had never heard of Wendt-Bristol Health Services Corp. (Ticker: WMDB), OneWorld Systems, Inc. (Ticker: OWLD), Accom, Inc. (Ticker: ACMM), Atomic Burrito Inc. (Ticker: ATOM), Carematrix Corp. (Ticker: CMDCQ), or Locateplus Holdings Corp. warrants (Ticker: LPHCW).

I declare under penalty of perjury that the foregoing is true and correct.

Executed: _____
Date: March 22, 2007

Queens New York

Beth Rothstein

Beth Rothstein

Exhibit A

Account Accepted by Scottrade Branch on 11/8/2006.

Account Accepted by Mark Finnegan on 11/8/2006.

Scottrade
More broker for your money.

Account #: 70611570

**BROKERAGE ACCOUNT APPLICATION**

Branch Address: 230 Park Ave Ground Floor (entrance on 45th St)

Branch Phone Number: 212-681-1980

Branch 800 Number: 877-395-1980

Branch Fax Number: 212-681-6114

Account Information

Account Type: Individual
Trading Type: Internet
Margin Borrowing: Yes
Options Trading: No
Referred By:

Applicant Information

Name: beth ROTHSTEIN
E-mail Address: bethstein1@yahoo.com
Home Phone: 212-325-6944 (Primary)
Work Phone: --
Cell Phone:
Fax Number:
Date of Birth:
Social Security Number:

Applicant Street Address
868 United Nations Plz Apt 11F
New York NY 10017-1808

Applicant Mailing Address
868 United Nations Plz Apt 11F
New York NY 10017-1808

Applicant Citizenship

Are you a U.S. Citizen? Yes
Country of Citizenship: USA
Are you a U.S. Permanent Resident? NA
Alien Registration Number: NA
Visa Type: NA

Applicant Employment Information

Status: Retired
Occupation:
Employer:
Employer Address:
City, State, Zip

Applicant Regulatory Information

Is any applicant employed by or affiliated with a securities firm, a securities exchange, or the NASD?

Yes ☐ No ☒

Name and Address of Compliance

Is an applicant a "control person" or "affiliate" of a public company as defined by the SEC?

Yes ☐ No ☒

Company Name:

Trading Symbol:

Is any applicant or member of immediate family or business associate a senior foreign political official?

Yes ☐ No ☒

Electronic Delivery

Electronic Delivery of Account

Yes

Electronic Delivery of Trade

Yes

Broker Assisted Trading

(Traditional Accounts Only)

Mail or Hold Proceeds:

NA

Mail or Hold Dividends:

NA

I certify under penalties of perjury (1) the number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. person (including a U.S. resident alien). The IRS does not require your consent to any provision of this Agreement other than the certification required to avoid backup withholding.

☐ I have been notified by the IRS that I am subject to backup withholding.

By signing this agreement I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement which contains a pre-dispute arbitration clause at paragraph 29.

Electronically Signed By beth ROTHSTEIN ON Nov 7 2006 4:35PM(Eastern)

Date

Applicant/Authorized Person's Signature

MARGIN AGREEMENT - ALSO SIGN BELOW ONLY IF YOU DESIRE A MARGIN ACCOUNT

By signing this agreement I acknowledge that I have received and read the accompanying Brokerage Account Agreement Paragraphs 53 through 58, and addendum 7, and agree to be bound by the terms and conditions described therein.

Electronically Signed By beth ROTHSTEIN ON Nov 7 2006 4:35PM(Eastern)

Date

Applicant/Authorized Person's Signature

Exhibit B

BEGIN FORM - Terms and Conditions Effective Wednesday, December 27, 2006 at 15:32:21

**AMERITRADE****For Internal Use Only**

Account Number: 785-934062
 Type: Individual
 Registration: Cash & Margin
 Branch ID: NYC 92392
 Referrer: direct
 Ad Code: WEB
 Offer Code: 170

Mailing Address
 Standard Delivery:
 Overnight:
 Fax:

PO Box 2760, Omaha, NE 68103-2760
 1005 N. Ameritrade Place, Bellevue, NE 68005
 816-243-3769

New Account Information

Type: Individual
 Registration: Cash & Margin
 Receive Corp. Communications: Yes
 E-mail Address: BETHSMOKER1@GOOWY.COM
 Account Statement: Monthly Electronic
 Trade Confirmation: Electronic
 Funding: Express Funding
 Sweep Vehicle: TD AMERITRADE Cash (Protected by SIPC)

Account Owner

Name: BETH ROTHSTEIN
 Street Address: 868 1ST AVE APT 11F
 NEW YORK, NY 10017
 UNITED STATES OF AMERICA
 Marital Status: Married
 Home Phone: (212) 7234123
 Social Security Number: [REDACTED]
 Date of Birth: [REDACTED]
 Mother's Maiden Name: JOHNSON
 Citizenship: US Citizen/Permanent Resident
 Employment Status: Retired
 Corporate Affiliation: No
 NASD Affiliation: No

Financial Questionnaire

Income: \$100,000+
 Networth: \$100,000 - 499,999
 Liquid Networth: \$50,000 - 99,999
 Number of Dependents: 1

ACH Information

Deposit Amount: 85500.00
 Bank Account Name: BETH ROTHSTEIN
 Bank Name: CITI BANK
 Bank Nickname:
 Bank City: NEW YORK
 Bank State: NY
 ABA Routing Number: [REDACTED]
 Bank Account Number: [REDACTED]
 Bank Account Type:
 EFT Tracking Number: 78593406213135301
 EFT Transaction Date: 11/13/2006 13:53:31

Exhibit C

FINAL

000
CITIBANK, N. A.
Account

Page 1 of 2

SAVINGS ACTIVITY

11/14	Authorized Transfer		
	ADV CLEARING SEC TR		85,500.00
11/16	Authorized Transfer		
	SCOTTRADE MONEYDIRECT		98,500.00

EXHIBIT 8



December 27, 2006

VIA FACSIMILE (718) 330-1809
AND REGULAR MAIL

Police Officer Andrew Shore
Identity Theft Squad
Police Department of the City of New York
300 Gold Street, 6th Floor
Brooklyn, New York 11201

RE: SUBPOENA**IP ADDRESS: 24.90.135.144 for the dates and times listed on the attached**

Dear Officer Shore:

The above referenced Subpoena (copy enclosed) was served upon Time Warner Cable via facsimile, seeking certain records and other information for the address referenced above.

In response to this request, we are providing you with the following account record information regarding her Road Runner account, that we certify to be a true and accurate record maintained by our New York City division for this address.

NAME: ALEXIS EMIAS
ADDRESS: [REDACTED]
[REDACTED]
Brooklyn, New York 11238-1524

TELEPHONE: [REDACTED]**EMAIL ADDRESS:** [REDACTED]**METHOD OF PAYMENT:** Check/Money Order

Mr. Emias was connected as a Residential Road Runner account on March 10, 2006 and is currently active as of this date.

We do not make any representations as to the identity of the individual who actually used the above IP address on the date and time in question.

If you need additional information in the future, please contact me at (212) 598-1707.

Very truly yours,

Lori Sklover
Director, Legal Affairs

P.S. Pcmac Address: 000ce54c25f9

CABLE

HIGH-SPEED ONLINE

DIGITAL PHONE

EXHIBIT 9

AutoTrackXP Faces of the Nation

Subject Information	
Name: ALEXIS G G AMPUDIA	Age:
SSN: [REDACTED]-2913	DOB:
SSN WAS ISSUED IN NEW YORK BETWEEN 1985 AND 1987	
Address Information	
Address: [REDACTED] BROOKLYN NY 11238	County: KINGS Date Reported: 07/2006
Address: [REDACTED] BROOKLYN NY 11238	County: KINGS Date Reported: 05/2006
Address: [REDACTED] POUGHKEEPSIE NY 12601	County: DUTCHESS Date Reported: 11/2005
Source Information	
Source:	Consumer Bureau 3

EXHIBIT 10

11/9/2007 2:19:37 PM 3 of 4

APPLICATION FOR IMMIGRANT VISA AND ALIEN REGISTRATION

SOLICITUD DE VISA DE INMIGRANTE Y REGISTRO DE EXTRANJERO

Form must be filled out in DUPLICATE by typewriter, or if by hand in legible block letters. All questions must be answered, if applicable. Questions which are not applicable should be marked "N/A". If there is insufficient room on the form, answer on separate sheets, in duplicate, using the same numbers as appear on the form. Attach the sheets to the forms. DO NOT SIGN this application until you are instructed to do so by the consular officer. The fee for filing this application for an immigrant visa is \$ 25.00. The fee should be paid in United States dollars or local currency equivalent or by check, when you appear before the consular officer.

WARNING: Any false statement or concealment of a material fact may result in your permanent exclusion from the United States. Even though you should be admitted to the United States, a fraudulent entry could be grounds for your prosecution and/or deportation.

INSTRUCCIONES: Este formulario debe ser completado por duplicado a máquina o de hacerlo a mano, en letras de imprenta legibles. Deben contestarse todas las preguntas si corresponden. Cuando una pregunta no es pertinente debe indicarse. Si no hay suficiente espacio en el formulario, conteste en hojas separadas, por duplicado, utilizando los mismos números tal como figuran en el formulario. Adjunte esas hojas a los formularios. No firme este formulario hasta que se lo indique el funcionario consular. La tarifa para presentar esta solicitud de visa de inmigrante es de US\$ 25.00. La tarifa debe abonarse en dólares de los Estados Unidos o en su equivalente en moneda local, o por transferencia bancaria, cuando se presente al funcionario consular.

ADVERTENCIA: TODA DECLARACION FALSA O ENCUBRIMIENTO DE UN HECHO MATERIAL PUEDE RESULTAR EN SU EXCLUSION PERMANENTE DE LOS ESTADOS UNIDOS. AUN CUANDO USTED HAYA SIDO ADMITIDO A LOS ESTADOS UNIDOS, UNA DECLARACION FRAUDULENDA PUEDE SER CAUSA DE SU PROCESAMIENTO Y/O DEPORTACION.

1. Apellidos (Family name) AMPUDIA NÁVALO Nombre (First Name) ALEXIS Segundo Nombre (Middle Name) GEANCARLOS
2. Otros nombres por los cuales se le conozca (si es mujer casada, indique el apellido de soltera). (Other names used or by which known, if married woman, give maiden name)

3. Nombre completo en alfabeto nativo (si no se utilizan caracteres romanos)
(Full name in native alphabet. If Roman letters not used.)

4. Fecha de Nacimiento (Date of birth) Día (Day) Mes (Month) Año (Year) 85 5 1/2 MESES PANAMA Provincia/Departamento/Estado (Province/State) PANAMA País (Country) PANAMA
5. Edad (Age) 5 1/2 MESES
6. Lugar de Nacimiento (Place of birth) Ciudad o pueblo (City or town)
7. Nacionalidad (Nationality) PANAMEÑO 8. Sexo (Sex) ☒ Masculino (Male) ☐ Femenino (Female)
9. Estado Civil (Marital Status) ☒ Soltero (Que nunca se ha casado) (Single) ☐ Casado (Married) ☐ Viudo (Widowed) ☐ Divorcedo (Divorced) ☐ Separado (Separated)
10. Ocupación (Occupation)

11. Domicilio actual (Present address)

12. Nombre, domicilio, fecha y lugar de nacimiento del/de la esposo/a (Name, address, date and place of birth of wife/husband.)
(Dar apellido de soltera de la esposa) (Give maiden name of wife)

Fecha y lugar de matrimonio. (Date and place of marriage)

13. Nombres, domicilios, fechas y lugares de nacimiento de todos los hijos (Names, addresses, dates and places of birth of all children.)

14. La/s persona/s nombrada/s en 12 y 13 que me acompañará/n o se reunirá/n conmigo en los Estados Unidos.
(Person/s named in 12 and 13 who will accompany or follow me to the United States.)

15. Domicilio definitivo que tendrá en los Estados Unidos.
(Final address in the United States)

16. Persona con quien tiene intención de reunirse. Indique nombre, dirección y parentesco, si alguno.
(Person you intend to join. Give name, address and relationship, if any.)

17. Nombre y domicilio de la persona u organización que es su fiador (garante). Si difiere del punto 16.
(Name and address of sponsoring person or organization - if different from 16.)

18. Descripción personal (Personal description)

a) Color de cabello (Color of hair) N. NEGRO
b) Color de ojos (Color of eyes) CHOCOLATE
c) Altura (Height). Pies (Feet) 6.20 Pulgadas (Inches)
d) Tez (Complexion) N. NEGRO

19. Señas particulares (Marks of identification)

20. Propósito del viaje a los Estados Unidos.
(Purpose in going to the United States)

RESIDENCIA

21. Duración de su estadía propuesta - Si permanentemente, indíquelo.
(Length of intended stay - If permanently, so state)

PERMANENTE

22. Puerto de entrada propuesto.
(Intended port of entry)

NUOVA YORK

23. ¿Tiene Ud. pasaje para su destino final?
(Do you have a ticket to final destination?)

SI

24. Recursos financieros personales.
(Personal financial resources)

a) Efectivo (Cash)
b) Depósitos bancarios (Bank deposits)

c) Bienes Raíces - Valor (Real estate - Value)
d) Otros - Describa (Others - Describe)

ESTE FORMULARIO PUEDE OBTENERSE GRATIS EN LAS OFICINAS CONSULARES DE LOS ESTADOS UNIDOS DE AMERICA.

No se puede utilizar la versión anterior.

BNS - 5/83.

THIS FORM MAY BE OBTAINED GRATIS AT CONSULAR OFFICES OF THE UNITED STATES OF AMERICA.

Previous edition not usable.

CIMIDN
COMMAND:IMMIGRATION AND NATURALIZATION SERVICE
CENTRAL INDEX SYSTEM - ID # SEARCH/DISPLAY01/19/07
12:27:24

ID # (A/AA/AB/C/DA) : [REDACTED]

A# : [REDACTED]

DOB : [REDACTED] 1985

(DL/FB/FP/I/PP/SS/TD)

LAST: AMPUDIA

FIRST: ALEXIS GEANCARLOS

MIDDLE: G

ALIASES: NAVALO

ALEXIS GEANCARLO

NATZ DATE:

COURT:

LOCATION:

SEX: M

POE: NYC

COB: PANAM

DOE: [REDACTED] 1985

FCO: NRC

COA: P23

COC:

FTC: 02142000

FATHER: ALEXIS AMPUDIA

PFCO: NYC

SFCO:

DFO: 00000000

BIN:

MOTHER: JAPA NAVALO

SSN:

CONSOLIDATED A-NOS

--OTHER INFORMATION--
CARD-X

I-94 ADM #:

PASSPORT #:

FBI #:

DRIVER LIC:

FINGER CD#:

OVER-KEY ID# TO DISPLAY NEW PERSON, PRESS ENTER. CLEAR EXIT PF1 NEXT CONS A#
PF2 PRIOR CONS A# PF3 REFRESH PF4 RETURN PF5 HELP PF6 MAIN MENU PF8 HISTORY
PF9 EAD PF11 EOIR

FSXMIAP3

CLAIMS MAINFRAME SYSTEM
CARD PRODUCTION UPDATE PROCESSING01/19/2007
16:19
NYC2582B
OWNER: EACFORM NBR: I90 RCPT NBR: EAC0405251344 REF NBR:
A-NBR: A0 [REDACTED] PART 2: A RECEIVED DATE: 07/11/2003
NAME: AMPUDIA ALEXIS GC/O:
STREET: [REDACTED] CITY: BROOKLYN
STATE: NY PROVINCE: CNTRY: ZIP/POST: 11238
DOB: [REDACTED] 1985 COB: PANAM SOC SEC NBR: [REDACTED] 2813 PRIORITY DT:

CARD TYPE: 1 TRANSACT CODE: 7 REASON FOR APPL: J SEX: M

MOTHER'S FIRST NAME: JAPA NAVALO

FATHER'S FIRST NAME: ALEXIS AMPUDIA

CLASS: P23 CR EXPIRES: ADM/ADJ DATE: 09291985

POE: NYC AMC: I-89 EXEC LOC: NYC

OTHER FP: TEMP RES DATE: I-89 EXEC DATE: 10082003

SIG WVR: N PHOTO WVR: N FNGPRNT WVR: N

VIEW SPONSORS: N

ACTION: MA CARD PRODUCED

INTERVIEW REASONS:

DC997961 - VIEW MODE

PF1 PF2 PF3 PF4 PF5 PF6 PF7 PF8 PF9 PF10 PF11 PF12
CRD INFO CIS CANCEL BACK RSN MAIN MENU CODES LOGOFF REMIT ATTY STAT HIS REAS

14:54
TID= NOQC

CIS DATA DISPLAY

01/11/2007 T2MXD801
T2PXD801

LAST NAME AMPUDIA
FIRST NAME ALEXIS
MIDL NAME G
DOB [REDACTED] 1985
DOC NBR EAC0405251344
DOC TYPE C PERMANENT RESIDENT CARD
DOC CNTRY USA
DOC ISS 07/19/2005
DOC EXP 07/18/2015
SEX M
COB PAN
REG NBR
TECS ID CI000320939B16

MORE DATA - IMAGE : M

TO VIEW IMAGE TYPE OVER "M" WITH "X" AND PRESS PF8
(F1/F2=HELP) (F3=MAIN) (F4=PREV) (F10=SBJ QRY)
##